On a 2+1-Dimensional Whitham–Broer–Kaup System: A Resonant NLS Connection

By Colin Rogers and Oktay Pashaev

It is established that the Whitham–Broer–Kaup shallow water system and the "resonant" nonlinear Schrödinger equation are equivalent. A symmetric integrable 2+1-dimensional version of the Whitham–Broer–Kaup system is constructed which, in turn, is equivalent to a recently introduced resonant Davey–Stewartson I system incorporating a Madelung–Bohm type quantum potential. A bilinear representation is adopted and resonant solitonic interaction in this new 2+1-dimensional Kaup–Broer system is exhibited.

1. Introduction

In [1], Kaup derived via a higher-order Boussinesq-type approximation the nonlinear system

$$\Pi_{T} = \Phi_{XX} + \delta^{2} \left(\frac{1}{3} - \sigma \right) \Phi_{XXXX} - \epsilon (\Phi_{X} \Pi)_{X},$$

$$\Pi = \Phi_{T} + \frac{1}{2} \epsilon \Phi_{x}^{2}$$
(1)

descriptive of water wave propagation in a long narrow channel. Therein, δ is a depth/wave length parameter, ϵ is a wave amplitude/depth ratio, while Φ is the velocity potential and Π is the amplitude of the surface wave. The system had been previously obtained in [2] in a study of eigenvalue problems associated with the inverse scattering method. The detailed IST analysis was

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STUDIES IN APPLIED MATHEMATICS 127:141–152

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carried out in [1]. A system equivalent to (1) was obtained independently by Broer [3, 4] in a Hamiltonian treatment of long wave propagation.

On introduction of the change of variables

$$x = \alpha X, \ t = \beta T,$$

$$\Phi_x = \frac{2\delta}{\epsilon} \sqrt{\left(\frac{1}{3} - \sigma\right)} u,$$

$$\Pi = -\frac{2\delta^2}{\epsilon} \left(\frac{1}{3} - \sigma\right) \alpha^2 \left(h - u_x - \frac{\alpha^2}{2\beta^2}\right)$$
(2)

where

$$\beta = -\alpha^2 \delta \sqrt{\frac{1}{3} - \sigma} \; ,$$

one obtains what is sometimes termed the Broer-Kaup system [5]

$$\begin{aligned} h_t - h_{xx} + 2(u \ h)_x &= 0, \\ u_t - h_x + u_{xx} + 2uu_x &= 0. \end{aligned}$$
 (3)

In fact, the provenance of both of the systems (1) and (3) goes back to a paper by Whitham [6] in 1967 on a variational approach to nonlinear wave theory. Therein, in a dispersive correction to irrotational shallow water theory, the long wave system

$$h_{t} + (h \ F_{x_{i}})_{x_{i}} + \frac{1}{3} \ h_{0}^{2} \ \nabla^{4}F = 0,$$

$$F_{t} + \frac{1}{2} \ F_{x_{i}}^{2} + gh = 0,$$
(4)

was derived. Here, F represents the velocity potential at the water surface $y = h(\mathbf{x}, t)$ while the approximation assumes that the amplitude parameter a/h_0 is small in addition to h_0^2/λ^2 where λ is a typical wave length. In the 1+1-dimensional reduction, the Whitham system (4) and the system (1) are equivalent, up to scaling, under the transformation

$$\Pi = \frac{(1-gh)}{\epsilon}, \ \Phi = \frac{(F+T)}{\epsilon},$$

$$t = T.$$
 (5)

The integrability of the Broer-Kaup system as validated by the existence of its inverse scattering scheme [1], and its invariance under a Bäcklund transformation [5], implies that the equivalent 1 + 1-dimensional Whitham system is integrable. However, the n + 1-dimensional Whitham system (4) appears not to be integrable for n > 1 and so does not provide an integrable higher-dimensional extension of the Broer-Kaup system. However, it will be shown here that an integrable symmetric generalization may be obtained via a 2 + 1-dimensional resonant Davey-Stewartson I system recently introduced

by Rogers et al. [7] in the context of a capillarity model. A recent Painlevé analysis of this system is consistent with its integrability [8].

A nonlinear Schrödinger (NLS) equation of the type

$$i\Psi_t + \nabla^2 \Psi + \nu |\Psi|^2 \Psi = s \left(\frac{\nabla^2 |\Psi|}{|\Psi|}\right) \Psi$$
(6)

incorporating a de Broglie–Bohm type quantum potential term [9, 10], namely $\nabla^2 |\Psi|/|\Psi|$, could be derived via Maxwell's equations in the setting of the self-trapping of optical beams by Wagner et al. in [11]. In that context, s < 1 and reduction of (6) may be readily made to the conventional NLS equation with the de Broglie–Bohm term removed [12].

A 1 + 1-dimensional variant (6) of the cubic NLS equation was subsequently derived by Pashaev and Lee [13] in the setting of the Jackiw–Teitelbaum gravity model in general relativity. However, there the parameter s > 1 and reduction of (6) to the conventional NLS equation are no longer available. In that case, rather reduction may be made to a coupled nonlinear system of the type [13]

$$\hbar \partial e^{+} / \partial t + (\hbar^{2} / 2) \nabla^{2} e^{+} - \nu e^{+} e^{-} e^{+} = 0, - \hbar \partial e^{-} / \partial t + (\hbar^{2} / 2) \nabla^{2} e^{-} - \nu e^{+} e^{-} e^{-} = 0.$$

$$(7)$$

The appearance of resonant solitonic behavior in the latter system involving novel fusion and fission solitonic phenomena has led to introduction of the terminology *resonant* NLS equation for (6) in the case s > 1.

The resonant NLS equation has subsequently been derived in plasma physics, where it describes the propagation of 1-dimensional, long magneto-acoustic waves in a cold collisionless plasma subject to a transverse magnetic field [14]. An auto-Bäcklund transformation was constructed therein. The resonant NLS equation as an integrable capillarity model was introduced in [15]. Indeed, it turns out that a wide class of NLS equations with underlying Hamiltonian structure may be reduced to consideration of the resonant NLS equation [16].

Here, it is shown that, remarkably, the well-known Whitham–Broer–Kaup system of shallow water theory and the 1+1-dimensional resonant NLS equation are equivalent. Further, an integrable 2+1-dimensional symmetric version of the Whitham–Broer–Kaup system is constructed and a bilinear representation is used to isolate solitonic solutions which exhibit resonance.

2. A 2+1-dimensional Whitham–Broer–Kaup system

The 2+1-dimensional resonant Davey–Stewartson system as introduced in Rogers et al. in [7] adopts the form

$$i\Psi_t + \nabla^2 \Psi + (\delta - 1) \left(\frac{\nabla^2 |\Psi|}{|\Psi|}\right)^2 \Psi + \gamma |\Psi|^2 \Psi + \frac{1}{2} \Pi \Psi = 0, \qquad (8)$$
$$\Pi_{xx} - \Pi_{yy} + 4\gamma \ (|\Psi|^2)_{xx} = 0.$$

The decomposition $\Psi = e^{R-iS}$ results in the system

$$R_{t} - S_{xx} - S_{yy} - 2R_{x}S_{x} - 2R_{y}S_{y} = 0,$$

$$S_{t} + \delta(R_{xx} + R_{x}^{2} + R_{yy} + R_{y}^{2}) - S_{x}^{2} - S_{y}^{2} + \gamma e^{2R} + \frac{1}{2} \Pi = 0,$$
 (9)

$$\Pi_{xx} - \Pi_{yy} + 4\gamma(e^{2R})_{xx} = 0.$$

Setting

$$\rho = e^{2R}, \ \mathbf{v} = -2\nabla S \tag{10}$$

leads to a Madelung-type hydrodynamischen system

$$\rho_t + \nabla(\rho \mathbf{v}) = 0,$$

$$\mathbf{v}_t + \mathbf{v} \cdot \nabla \mathbf{v} - \nabla \left[2\gamma \rho + \Pi + \frac{2\delta \nabla^2 \sqrt{\rho}}{\sqrt{\rho}} \right] = \mathbf{0},$$
 (11)

where

$$\Pi_{xx} - \Pi_{yy} + 4\gamma \rho_{xx} = 0. \tag{12}$$

This system was originally introduced in a capillarity model context in [7].

In the 1 + 1-dimensional reduction with $\Pi_y = 0$, the system (8) reduces to the resonant NLS equation. Therein, if $\delta = 1 - s$ and s < 1 then reduction may be obtained to the conventional NLS equation. However, if s > 1 this reduction is not available but, rather, a novel coupled nonlinear system may be derived which admits resonant solitonic behavior. Here, these considerations are extended to the 2 + 1-dimensional resonant Davey–Stewartson system (8).

Thus, in the supercritical case $\delta = 1 - s < 0$, on introduction of the scaling

$$\widetilde{t} = (s-1)^{1/2}t, \quad \widetilde{S} = (s-1)^{-1/2}S, \quad \widetilde{R} = R,$$
 (13)

and setting

$$e^+ = e^{R+S}, e^- = e^{R-S}$$
(14)

the system (9) may be re-written, on dropping the tilde, as

$$-e_{t}^{+}+e_{xx}^{+}+e_{yy}^{+}+\frac{\gamma}{s-1}e^{+}e^{-}e^{+}-\frac{\Pi}{2(s-1)}e^{+}=0,$$

$$e_{t}^{-}+e_{xx}^{-}+e_{yy}^{-}+\frac{\gamma}{s-1}e^{-}e^{+}e^{-}-\frac{\Pi}{2(s-1)}e^{-}=0,$$

$$\Pi_{xx}-\Pi_{yy}-4\gamma(e^{+}e^{-})_{xx}=0.$$
(15)

The latter system admits a bilinear representation. Thus, if we set

$$e^+ = \frac{G^+}{F}, e^- = \frac{G^-}{F}$$
 (16)

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then $(15)_{1,2}$ produce the bilinear system

$$(-D_t + D_x^2 + D_y^2)(G^+ \cdot F) = 0, (D_t + D_x^2 + D_y^2)(G^- \cdot F) = 0, (D_x^2 + D_y^2 + \frac{\Pi}{2(s-1)})(F \cdot F) = \frac{\gamma}{s-1}G^+G^-.$$
 (17)

Accordingly, if we set

$$\Pi = -8(s-1)(\ln F)_{xx}$$
(18)

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then the bilinear representation

$$(-D_t + D_x^2 + D_y^2) (G^+ \cdot F) = 0,$$

$$(D_t + D_x^2 + D_y^2) (G^- \cdot F) = 0,$$

$$(-D_x^2 + D_y^2) (F \cdot F) = \frac{\gamma}{s-1} G^+ \cdot G^-$$
(19)

results.

In the subcritical case s < 1, the transformation

$$\widetilde{t} = (1-s)^{1/2} t, \quad \widetilde{S} = (1-s)^{-1/2} S, \quad \widetilde{R} = R$$
 (20)

leads to the standard Davey–Stewartson I system with origin in the work of Benney and Roskes [17].

To construct a symmetric 2+1-dimensional version of the Whitham–Broer– Kaup system, it proves convenient to introduce the variables

$$\rho = -e^+e^-, \quad \theta = \frac{-e_x^-}{e^-}, \quad \psi = \frac{-e_y^-}{e^-}.$$
(21)

Multiplication of $(15)_1$ and $(15)_2$ by e^- and e^+ , respectively, and subtraction yield

$$(e^+e^-)_t = (e^+_x e^- - e^+ e^-_x)_x + (e^+_y e^- - e^+ e^-_y)_y$$

= $[(e^+e^-)_x + 2(e^+e^-)\theta]_x + [(e^+e^-)_y + 2(e^+e^-)\psi]_y$

whence

$$\rho_t = (\rho_x + 2\rho\,\theta)_x + (\rho_y + 2\rho\,\psi)_y. \tag{22}$$

Moreover,

$$\begin{aligned} \theta_t &= -\left(\frac{e_t^-}{e^-}\right)_x = \left[\left[e_{xx}^- + e_{yy}^- + \frac{\gamma}{s-1} e^- e^+ e^- - \frac{\Pi}{2(s-1)} e^- \right] / e^- \right]_x \\ &= \left(\frac{e_{xx}^-}{e^-}\right)_x + \left(\frac{e_{yy}^-}{e^-}\right)_x + \frac{\gamma}{s-1} (e^+ e^-)_x - \frac{\Pi_x}{2(s-1)} \\ &= (-\theta_x + \theta^2)_x + (-\psi_y + \psi^2)_x - \frac{\gamma}{s-1} \rho_x - \frac{\Pi_x}{2(s-1)}, \end{aligned}$$

so that

$$\theta_t = \left[-\theta_x + \theta^2 - \psi_y + \psi^2 - \frac{\gamma}{s-1}\rho - \frac{\Pi}{2(s-1)} \right]_x$$
(23)

where

$$\theta_{v} = \psi_{x} \tag{24}$$

and

$$\Pi_{xx} - \Pi_{yy} + 4\gamma \rho_{xx} = 0.$$
 (25)

In the case of y-independence, (22)–(25) reduce to the Whitham–Broer–Kaup system. Accordingly, the latter and the resonant NLS equation are seen to be equivalent. In 2+1-dimensions, the system (22)–(25) is equivalent to the integrable resonant 2+1-dimensional Davey–Stewartson system introduced by Rogers et al. in [7].

2.1. Summary

The system

$$\rho_t = (\rho_x + 2\rho\theta)_x + (\rho_y + 2\rho\psi)_y,$$

$$\theta_t = \left(-\theta_x + \theta^2 - \psi_y + \psi^2 - \frac{\gamma\rho}{s-1} - \frac{\Pi}{2(s-1)}\right)_x,$$

$$\theta_y = \psi_x,$$

$$-\Pi_{yy} + 4\gamma\rho_{xx} = 0$$
(26)

constitute a symmetric integrable 2+1-dimensional version of the Whitham–Broer–Kaup system.

On introduction of a potential ϕ according to $\theta = \phi_x$, $\psi = \phi_y$ we obtain an alternative Madelung type form of the system (26), namely

$$\rho_t = \nabla^2 \rho + 2\nabla \cdot (\rho \nabla \phi),$$

$$\phi_t - |\nabla \phi|^2 + \nabla^2 \phi + \frac{\gamma}{s-1}\rho + \frac{\Pi}{2(s-1)} = 0$$
(27)

$$\Box \Pi + 4\gamma \rho_{xx} = 0$$

where

$$\nabla^2 := \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}, \Box := \frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial y^2},$$

It is noted that either Π or ρ may be eliminated in this system. If e^- and e^+ are interchanged in the above procedure then the same system results but with $t \rightarrow -t$.

3. Solitonic resonance in the 2+1-dimensional Whitham–Broer–Kaup system

The bilinear representation (19) may now be exploited to construct soliton solutions of the 2+1-dimensional Whitham–Broer–Kaup system (27) via the relations

$$\phi = -\ln e^{-} = -\ln(-G^{-}/F), \qquad (28)$$

$$\rho = -(e^+e^-) = \frac{-G^+G^-}{F^2} = \frac{1}{F^2} \left(D_x^2 - D_y^2 \right) (F \cdot F) \left(\frac{s-1}{\gamma} \right), \quad (29)$$

$$\Pi = -8(s-1)(\ln F)_{xx}.$$
(30)

3.1. One soliton solution

The one soliton solution is given by

$$G^{+} = e^{\eta_{1}^{+}}, \quad G^{-} = -e^{\eta_{1}^{-}}, \quad F = 1 + \frac{\gamma e^{\eta_{1}^{+} + \eta_{1}^{-}}}{2(s-1)\left[\left(k_{1}^{+} + k_{1}^{-}\right)^{2} - \left(m_{1}^{+} + m_{1}^{-}\right)^{2}\right]}$$
(31)

where $\eta_1^{\pm} = k_1^{\pm} x + m_1^{\pm} y \pm (k_1^{\pm 2} + m_1^{\pm 2})t + \eta_1^{\pm (0)}$. Defining $e^{\phi_{11}} = \frac{\gamma}{2(s-1)[(k_1^{+} + k_1^{-})^2 - (m_1^{+} + m_1^{-})^2]}$ (32) we have

$$\rho = \frac{s - 1}{2\gamma} \frac{\left[\left(k_1^+ + k_1^- \right)^2 - \left(m_1^+ + m_1^- \right)^2 \right]}{\cosh^2 \frac{\eta_1^+ + \eta_1^- + \phi_{11}}{2}}$$
(33)

$$\Pi = -2(s-1)\frac{\left(k_1^+ + k_1^-\right)^2}{\cosh^2 \frac{\eta_1^+ + \eta_1^- + \phi_{11}}{2}}$$
(34)

$$\phi = -\eta_1^- + \ln\left(1 + e^{\eta_1^+ + \eta_1^- + \phi_{11}}\right).$$
(35)

Regularity of this solution requires that the parameters be restricted by the inequality $|k_1^+ + k_1^-| > |m_1^+ + m_1^-|$.

The velocity potential (35) implies that the velocity components determining the form of the domain wall are given by

$$\theta = \phi_x = \frac{k_1^+ - k_1^-}{2} + \frac{k_1^+ + k_1^-}{2} \tanh \frac{\eta_1^+ + \eta_1^- + \phi_{11}}{2}$$
(36)

$$\psi = \phi_y = \frac{m_1^+ - m_1^-}{2} + \frac{m_1^+ + m_1^-}{2} \tanh \frac{\eta_1^+ + \eta_1^- + \phi_{11}}{2}$$
(37)

(see Figure 1). Introducing amplitudes $k = \frac{k_1^+ + k_1^-}{2}$, $m = \frac{m_1^+ + m_1^-}{2}$, and velocity components $v_x = k_1^- - k_1^+$, $v_y = m_1^- - m_1^+$ we have

$$\theta = -\frac{v_x}{2} + k \tanh[k(x - x_0 - v_x t) + m(y - y_0 - v_y t)]$$
(38)

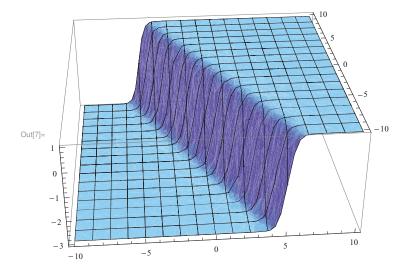


Figure 1. The domain wall for local velocity. $k = 2, m = 1, v_x = 2, v_y = 2$.

$$\psi = -\frac{v_y}{2} + m \tanh[k(x - x_0 - v_x t) + m(y - y_0 - v_y t)].$$
(39)

In this solution, the amplitude and the speed of kinks are independent. However, from the regularity condition |k| > |m| mentioned above, it is seen that the velocity field is bounded for $-\frac{v_x}{2} - k \le \theta \le -\frac{v_x}{2} + k$, $-\frac{v_y}{2} - m \le \psi \le -\frac{v_y}{2} + m$. The domain wall is located along the line $k(x - x_0 - v_x t) + m(y - y_0 - v_y t) = 0$ and propagates in the direction of the vector (k, m) with constant speed (v_x, v_y) . The density of this soliton solution is shown in Figure 2.

3.2. Two-soliton resonance

The two "dissipaton" solution is given by the specializations

$$G^{+} = e^{\eta_{1}^{+}} + e^{\eta_{2}^{+}} + \alpha_{1}^{+} e^{\eta_{1}^{+} + \eta_{1}^{-} + \eta_{2}^{+}} + \alpha_{2}^{+} e^{\eta_{2}^{+} + \eta_{2}^{-} + \eta_{1}^{+}}$$

$$G^{-} = -(e^{\eta_{1}^{-}} + e^{\eta_{2}^{-}} + \alpha_{1}^{-} e^{\eta_{1}^{+} + \eta_{1}^{-} + \eta_{2}^{-}} + \alpha_{2}^{-} e^{\eta_{2}^{+} + \eta_{2}^{-} + \eta_{1}^{-}})$$

$$F = 1 + \beta_{1} e^{\eta_{1}^{+} + \eta_{1}^{-}} + \beta_{2} e^{\eta_{2}^{+} + \eta_{1}^{-}} + \beta_{3} e^{\eta_{1}^{+} + \eta_{2}^{-}} + \beta_{4} e^{\eta_{2}^{+} + \eta_{2}^{-}} + \beta_{5} e^{\eta_{1}^{+} + \eta_{1}^{-} + \eta_{2}^{+} + \eta_{2}^{-}},$$

$$(40)$$

where $\eta_i^{\pm} = k_i^{\pm} x + m_i^{\pm} y \pm (k_i^{\pm 2} + m_i^{\pm 2})t + \eta_i^{\pm (0)}, i = 1, 2$ together with

$$\alpha_{1}^{+} = \frac{\gamma}{2} \frac{(\check{k}_{12}^{++})^{2} - (\check{m}_{12}^{++})^{2}}{((k_{11}^{+-})^{2} - (m_{11}^{+-})^{2})((k_{21}^{+-})^{2} - (m_{21}^{+-})^{2})} \\
\alpha_{2}^{+} = \frac{\gamma}{2} \frac{(\check{k}_{12}^{++})^{2} - (\check{m}_{12}^{++})^{2}}{((k_{12}^{+-})^{2} - (m_{12}^{+-})^{2})((k_{22}^{+-})^{2} - (m_{22}^{+-})^{2})} \\
\alpha_{1}^{-} = \frac{\gamma}{2} \frac{(\check{k}_{12}^{--})^{2} - (\check{m}_{11}^{--})^{2}}{((k_{11}^{+-})^{2} - (m_{11}^{+-})^{2})((k_{12}^{+-})^{2} - (m_{12}^{+-})^{2})} \\
\alpha_{2}^{-} = \frac{\gamma}{2} \frac{(\check{k}_{21}^{--})^{2} - (\check{m}_{21}^{--})^{2}}{((k_{21}^{+-})^{2} - (m_{21}^{+-})^{2})((k_{22}^{+-})^{2} - (m_{22}^{+-})^{2})} \\$$
(41)

$$\beta_{1} = \frac{\gamma}{2[(k_{11}^{+-})^{2} - (m_{11}^{+-})^{2}]}$$

$$\beta_{2} = \frac{\gamma}{2[(k_{21}^{+-})^{2} - (m_{21}^{+-})^{2}]}$$

$$\beta_{3} = \frac{\gamma}{2[(k_{12}^{+-})^{2} - (m_{12}^{+-})^{2}]}$$

$$\beta_{4} = \frac{\gamma}{2[(k_{22}^{+-})^{2} - (m_{22}^{+-})^{2}]}$$
(42)

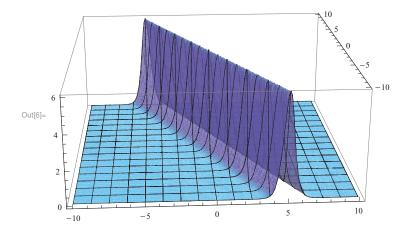


Figure 2. The density as a line soliton. $k = 2, m = 1, s = 2, \gamma = 1, v_x = 2, v_y = 2$.

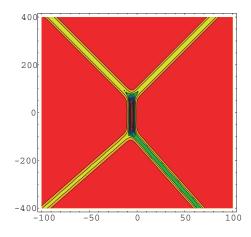


Figure 3. The two-soliton resonance.

$$\beta_{5} = \frac{\gamma^{2}[(\check{k}_{12}^{++})^{2} - (\check{m}_{12}^{++})^{2}][(\check{k}_{12}^{--})^{2} - (\check{m}_{12}^{--})^{2}]}{4[(k_{11}^{+-})^{2} - (m_{11}^{+-})^{2}][(k_{21}^{+-})^{2} - (m_{21}^{+-})^{2}][(k_{12}^{+-})^{2} - (m_{12}^{+-})^{2}][(k_{22}^{+-})^{2} - (m_{22}^{+-})^{2}]}$$

In this solution, for certain values of the parameters, β_5 can vanish or become infinite. In such cases the two-soliton solution fuses to create a single soliton solution corresponding to "resonant" soliton interaction.

In Figure 3, the density in a case of two-soliton resonance is shown in the absence of loops, at values of parameters $k_1^+ = 0.9$, $k_1^- = -0.5$, $k_2^+ = 0.1$, $k_2^- = -0.45$, $m_1^+ = 0.2$, $m_1^- = -0.1$, $m_2^+ = 0.2$, $m_2^- = -0.1$, $\eta_1^{+(0)} = -7$, $\eta_2^{+(0)} = 4$, $\eta_1^{-(0)} = -7$, $\eta_2^{-(0)} = 4$.

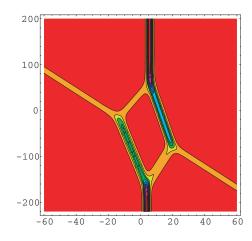


Figure 4. The four-soliton resonance.

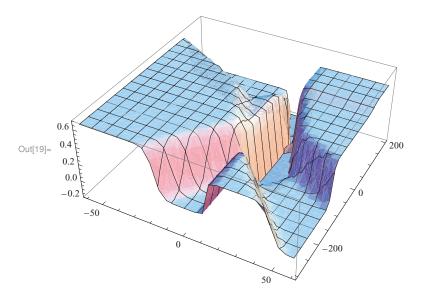


Figure 5. The four-soliton resonance speed.

In Figure 4, the density is plotted for four-soliton resonance with one loop corresponding to values of parameters $k_1^+ = 0.5$, $k_1^- = 0.9$, $k_2^+ = 0.1$, $k_2^- = 0.35$, $m_1^+ = 0.1$, $m_1^- = -0.1$, $m_2^+ = 0.2$, $m_2^- = 10^{-7}$, $\eta_1^{+(0)} = 10$, $\eta_2^{+(0)} = 10$, $\eta_2^{-(0)} = 0$.

In Figure 5, the velocity field component in the x direction is plotted for four-soliton resonance at values of parameters $k_1^+ = 0.5$, $k_1^- = 0.9$, $k_2^+ = 0.1$, $k_2^- = 0.35$, $m_1^+ = 0.1$, $m_1^- = -0.1$, $m_2^+ = 0.2$, $m_2^- = 0.001$, $\eta_1^{+(0)} = 0$, $\eta_2^{+(0)} = 0$, $\eta_1^{-(0)} = 0$, $\eta_2^{-(0)} = 0$

Acknowledgment

One of the authors (C.R.) acknowledges with gratitude support under Hong Kong Research Grant Council Project No: 502009.

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(Received November 17, 2010)