

**LEAD-FREE FERROELECTRIC CERAMICS FOR  
ENERGY STORAGE AND ELECTROCALORIC  
COOLING APPLICATIONS**

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# ABSTRACT

## LEAD-FREE FERROELECTRIC CERAMICS FOR ENERGY STORAGE AND ELECTROCALORIC COOLING APPLICATIONS

This thesis study consists of five main chapters that include an introduction about the principles and applications of ferroelectrics and four chapters on different lead-free ferroelectric ceramic systems developed for the energy storage and electrocaloric cooling applications.

In the first chapter, the principles of ferroelectricity and dielectric, piezoelectric and pyroelectric properties of ferroelectrics are introduced followed by the applications considered in this thesis: Electrocaloric cooling and capacitive energy storage.

In the second chapter, the effects of bismuth lithium titanate incorporation into sodium bismuth titanate-barium titanate systems on the energy storage properties have been discussed. In addition, it has been calculated that these compositions have improved energy storage properties close to the high values obtained in the literature.

In the third chapter, the effect of two different manganese precursors on the probability of defect dipole formation, ferroelectric aging and resulting manganese valences of ceramics were investigated by manganese doping on barium strontium titanate base ceramics. In addition, their electrocaloric properties were investigated by indirect method.

In the fourth chapter, electrocaloric properties of barium titanate - sodium bismuth titanate systems has been investigated. The increase in tetragonality by sodium bismuth titanate incorporation has been verified by Rietveld refinement and those compositions were shown to be suitable for electrocaloric applications.

In the fifth chapter, a sodium bismuth titanate - potassium bismuth titanate composition which is in morphotropic phase boundary, was synthesized by templated grain growth method, and the effect of orientation on the electrocaloric effect was investigated by direct and indirect measurements.



# ÖZET

## ENERJİ DEPOLAMA VE ELEKTROKALORİK SOĞUTMA UYGULAMALARI İÇİN KURŞUNSUZ FERROELEKTRİK SERAMİKLER

Bu tez çalışması, ferroelektrik ilkelerin ve uygulamaların anlatıldığı bir giriş bölümü ile enerji depolama ve elektrokalorik soğutma uygulamaları için üretilen birbirinden farklı kurşunsuz ferroelektrik seramik sistemlerin ferroelektrik özelliklerini içeren beş bölümden oluşmaktadır.

Birinci bölümde, ferroelektrik ilkeleri ve ferroelektriklerin dielektrik, piezoelektrik ve piroelektrik özellikleri tanıtılmakta, ardından bu tezde ele alınan elektrokalorik soğutma ve kapasitif enerji depolama uygulamalarından bahsedilmektedir.

İkinci bölümde, bizmut lityum titanat bileşiminin sodyum bizmut titanat - baryum titanat sistemlerine katılmasının enerji depolama özellikleri üzerindeki etkileri araştırılmış ve ayrıca bu yeni kompozisyonun literatürde elde edilen yüksek değerlere yakın ve gelişmiş enerji depolama özelliklerine sahip olduğu hesaplanmıştır.

Üçüncü bölümde, baryum stronsiyum titanat bazlı seramiklerde iki farklı mangan öncülü kullanılarak mangan katkılanmasının kusur dipol oluşumu, ferroelektrik yaşlanma ve bunun sonucunda ortaya çıkan farklı mangan değerlikleri üzerindeki etkisi incelenmiştir. Ayrıca elektrokalorik özellikleri dolaylı yöntemle incelenmiştir.

Dördüncü bölümde baryum titanat - sodyum bizmut titanat sistemlerinin elektrokalorik özellikleri incelenmiştir. Sodyum bizmut titanat ilavesiyle tetragonalitedeki artış, Rietveld arıtmalarıyla doğrulanmış ve ayrıca bu bileşimlerin elektrokalorik uygulamalar için uygun olduğu gösterilmiştir.

Beşinci bölümde, şablonlu tane büyütme yöntemi ile morfotropik faz sınırında bulunan bir sodyum bizmut titanat - potasyum bizmut titanat bileşimi sentezlenmiş ve yönlendirmenin elektrokalorik etki üzerindeki etkisi doğrudan ve dolaylı ölçümlerle incelenmiştir.

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## ABBREVIATIONS

<b>AFE</b>	Antiferroelectric
<b>AN</b>	Silver Niobate, AgNbO <sub>3</sub>
<b>BLT</b>	Bismuth Lithium Titanate, Bi(Li <sub>1/3</sub> Ti <sub>2/3</sub> )O <sub>3</sub>
<b>BST</b>	Barium Strontium Titanate, Ba <sub>0.95</sub> Sr <sub>0.05</sub> TiO <sub>3</sub>
<b>BT</b>	Barium Titanate, BaTiO <sub>3</sub>
<b>CoP</b>	Coefficient of Performance
<b>DSC</b>	Differential Scanning Calorimetry
<b>E<sub>b</sub></b>	Dielectric Breakdown Field
<b>EC</b>	Electrocaloric
<b>E<sub>c</sub></b>	Coercive Field
<b>ECE</b>	Electrocaloric Effect
<b>E<sub>i</sub></b>	Internal Defect Field
<b>EPR</b>	Electron Paramagnetic Resonance
<b>ER</b>	Ergodic Relaxor
<b>GoF</b>	Goodness of Fit
<b>KBT</b>	Potassium Bismuth Titanate, K <sub>0.5</sub> Bi <sub>0.5</sub> TiO <sub>3</sub>
<b>KNBT</b>	Potassium Sodium Bismuth Titanate, (K,Na,Bi)TiO <sub>3</sub>
<b>KNN</b>	Potassium Sodium Niobate, K <sub>0.5</sub> Na <sub>0.5</sub> NbO <sub>3</sub>
<b>LCR</b>	Inductance, Capacitance and Resistance
<b>MLCC</b>	Multi-Layer Ceramic Capacitor
<b>MPB</b>	Morphotropic Phase Boundary
<b>NBT</b>	Sodium Bismuth Titanate, Na <sub>0.5</sub> Bi <sub>0.5</sub> TiO <sub>3</sub>
<b>NR</b>	Nonergodic Relaxor
<b>P-E</b>	Polarization-Electric Field
<b>P<sub>m</sub></b>	Maximum Polarization
<b>PNR</b>	Polar-Nanoregions
<b>P<sub>r</sub></b>	Remnant Polarization
<b>PVA</b>	Polyvinyl Alcohol
<b>PZT</b>	Lead Zirconate Titanate, Pb(Zr <sub>1-x</sub> Ti <sub>x</sub> )O <sub>3</sub>
<b>RFE</b>	Relaxor Ferroelectrics
<b>R<sub>wp</sub></b>	Weighted Profile R Factor

<b>S-E</b>	Strain-Electric Field
<b>SEM</b>	Scanning Electron Microscope
<b>ST</b>	Strontium Titanate, SrTiO <sub>3</sub>
<b>T<sub>C</sub></b>	Curie Temperature
<b>T<sub>d</sub></b>	Depolarization Temperature
<b>TGG</b>	Templated Grain Growth
<b>T<sub>S</sub></b>	Shoulder Temperature
<b>W<sub>loss</sub></b>	Energy Loss
<b>W<sub>rec</sub></b>	Recoverable Energy Storage Density
<b>XRD</b>	X-Ray Diffraction
<b>ΔT</b>	Electrocaloric Adiabatic Temperature Change
<b>η</b>	Energy Storage Efficiency
<b>V<sub>O</sub><sup>••</sup></b>	Oxygen Vacancy in Kröger Vink notation

# CHAPTER 1

## INTRODUCTION

### 1.1. Classification of Ceramics

Mainly, ceramics are classified according to their application fields and into two main categories; traditional ceramics and advanced ceramics (Figure 1.1). In our daily life, the traditional ceramics are used in numerous applications such as white wares, pottery, cement, insulators, and tiles and so on. The traditional ceramics are so named because they usually contain naturally produced clay and quartz materials.

The advanced ceramics can be subdivided into two categories; advanced structural ceramics and electroceramics (or functional ceramics)<sup>1</sup>. The importance of the structural ceramics is their mechanical properties and performance because they are used in withstanding areas. For examples, Alumina ( $\text{Al}_2\text{O}_3$ ), Zirconia ( $\text{ZrO}_2$ ), silicon carbide (SiC), silicon nitride (SiN) and Spinel ( $\text{MgAl}_2\text{O}_4$ ) which are mostly known as structural ceramics and they are used for chemical inertness, as abrasives, cutting tools etc.<sup>2</sup>.

Electroceramics play a crucial role in many technological areas such as energy harvesting, medical, transportation and information technologies. The materials studied in this thesis belong to the class of electroceramics. As the thesis focuses on properties of ferroelectric materials such as capacitive energy storage, electrocaloric effect and piezoelectric effect, an introduction on ferroelectricity and ferroelectric materials is necessary.

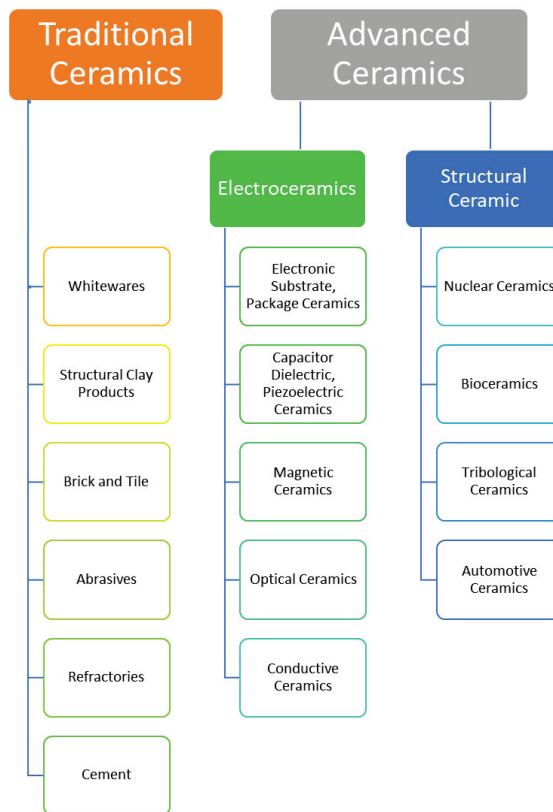


Figure 1.1. Application based classification of ceramics <sup>1</sup>.

## 1.2. Ferroelectric Ceramics

Ferroelectricity was firstly discovered in 1921 by J. Valasek while his investigations on unusual dielectric and piezoelectric properties of Rochelle salt (potassium sodium tartrate tetrahydrate ( $\text{NaKC}_4\text{H}_4\text{O}_6 \cdot 4\text{H}_2\text{O}$ )) which was the first ferroelectric material <sup>3</sup>. After 14 years later the second ferroelectric material, potassium di-hydrogen phosphate ( $\text{KH}_2\text{PO}_4$ ) crystal was emerged <sup>4</sup>. The third ferroelectric material, barium titanate ( $\text{BaTiO}_3$ ) which is still being studied, was reported by A. von Hippel in the 1945 <sup>5</sup>. Barium titanate was a very significant discovery due to its ferroelectric structure without hydrogen bonds and having multiple ferroelectric phases. The most widely studied ferroelectric ceramic lead zirconate titanate (PZT), which has superior piezoelectric properties than barium titanate, was first discovered in 1952 <sup>6</sup>. However, since it contains lead, it is a toxic material and due to concerns of harm to the environment and human health, it is planned to ban the production of lead containing materials in several countries <sup>7</sup>.



For that reason, lead free ferroelectric materials have received great interest due to their non-toxicity. However, there has been a need for the further development of lead-free ferroelectric materials due to their relatively lower piezoelectric properties compared to Pb-containing ones and the corrosiveness of some compositions containing alkali cations such as sodium bismuth titanate (NBT), potassium sodium niobate (KNN) and potassium bismuth titanate (KBT) <sup>8</sup>. On the other hand, synthesis of the some lead free ceramics might be challenging as some of them have limited sintering temperature range due to volatile characteristic of the constituting cations and therefore, it is difficult to maintain exact stoichiometry <sup>9</sup>.

Before getting into the detail about origin and mechanism of ferroelectricity, classification of ferroelectrics should be introduced. There exist two different types of ferroelectrics according to their mechanisms of ferroelectricity; (1) displacive ferroelectrics (i.e. the off-centering of the B site cation with respect to the oxygen cage of ionic ceramics such as BaTiO<sub>3</sub> and PbTiO<sub>3</sub>) and (2) order –disorder ferroelectrics (i.e. the crystals with hydrogen bonds such as KH<sub>2</sub>PO<sub>4</sub> in which hydrogen atoms jump around some ordered subset of potential well).

Displacive ferroelectrics can be sub classified into four, based on their type of the crystal structure <sup>10</sup>; (1) Perovskite structure which has a general formula of ABO<sub>3</sub> (e.g. PbTiO<sub>3</sub>) (2) Tungsten bronze structure which has a general formula of (A<sub>1</sub>)<sub>2</sub>(C)<sub>4</sub>(B<sub>1</sub>)<sub>2</sub>(B<sub>2</sub>)<sub>8</sub>O<sub>30</sub>, (e.g. SrNb<sub>2</sub>O<sub>6</sub>) (3) Bismuth layered structure which has a general formula of (Bi<sub>2</sub>O<sub>2</sub>)<sup>2+</sup>(A<sub>m-1</sub>B<sub>m</sub>O<sub>3m+1</sub>)<sup>2-</sup> (e.g. Bi<sub>4</sub>Ti<sub>3</sub>O<sub>12</sub>) and (4) Pyrochlore structure which has a general formula of A<sub>2</sub>B<sub>2</sub>O<sub>7</sub> (e.g. as: La<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub>).

Among these structures, the significant interest has been given to perovskite structured ferroelectric materials due to their exclusive and promoted properties by multiphase coexistence formations <sup>11</sup>. These perovskite type ferroelectric materials have many practical applications such as transducers, actuators, capacitors, energy harvesting, photovoltaic, electrocaloric devices, and infrared sensors <sup>12</sup>.

### **1.2.1. Perovskite Structure and Ferroelectricity**

Ferroelectric ceramics such as barium titanate (BaTiO<sub>3</sub>), potassium niobate (KNbO<sub>3</sub>), lead titanate (PbTiO<sub>3</sub>), lead zirconate (PbZrO<sub>3</sub>) and lead zirconium titanate (PbZrTiO<sub>3</sub>) belongs to ABO<sub>3</sub> perovskite ferroelectrics. In this structure, A and B

describe metal ions which have different ionic radii, the total charge of which is 6+. In order to exhibit ferroelectric behavior, the smaller ion must be of larger charge. For example, the radius of  $\text{Ba}^{2+}$  (1.35 Å) is bigger than the radius of  $\text{Ti}^{4+}$  ion (0.68 Å) in  $\text{BaTiO}_3$ . The  $\text{ABO}_3$  type perovskite structure is demonstrated in Figure 1.2. The stability or distortion of perovskite structures is determined by Goldschmidt tolerance factor ( $t$ ) which is an empirical and dimensionless number;

$$t = \frac{r_A + r_O}{\sqrt{2}(r_B + r_O)}$$

where  $r_A$ ,  $r_B$ , and  $r_O$  are the ionic radii of the ions at the A and B-sites and oxygen, respectively in  $\text{ABO}_3$  perovskite structure. For cubic perovskite structure favorable  $t$  value is in between 0.8 and 1.0 while, for tetragonal perovskite structure favorable  $t$  value is larger than 1<sup>13</sup>. Tolerance factor smaller than 0.8, usually results in non-perovskite structures.

In Figure 1.2(a) unit cell of a centrosymmetric cubic perovskite structure that results in paraelectric property is shown and in Figure 1.2(b) non-centrosymmetric tetragonal perovskite structure that gives the ferroelectric property to the material is shown. Particularly, non-centrosymmetric displacement of the B-site ion causes the spontaneous polarization (dipole) along this direction and that is essential for the occurrence of ferroelectricity. Generally, ferroelectricity is defined as the property of materials which exhibits spontaneous electric polarization even in the absence of electric field and which exhibits hysteresis behavior by applying an alternating electric field. These properties are lost at the Curie temperature ( $T_C$ ) at which the ferroelectric to the paraelectric phase transition occurs.

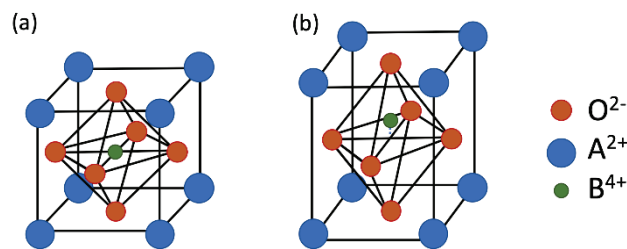


Figure 1.2.  $\text{ABO}_3$  type perovskite structure; (a) cubic phase (paraelectric phase  $T > T_C$ ) and (b) tetragonal phase (ferroelectric phase  $T < T_C$ ).

Ferroelectric domains are formed by the uniform orientation of the spontaneous polarizations of the perovskite crystal together in the same direction. The separation regions of two different ferroelectric domains are called as domain walls. Ferroelectric

domains are formed by the depolarizing fields minimizing their electrostatic energy <sup>14</sup>. A schematic representation of the 2-dimensional domain structure and 90° domain wall of a tetragonal perovskite BaTiO<sub>3</sub> sample is shown in the Figure 1.3. Ti centrosymmetric positions create spontaneous polarization dipoles and forms A and B domains. Here  $2w$  and  $v$  represent the thickness and motion of the 90° domain wall separating the A and B domains.

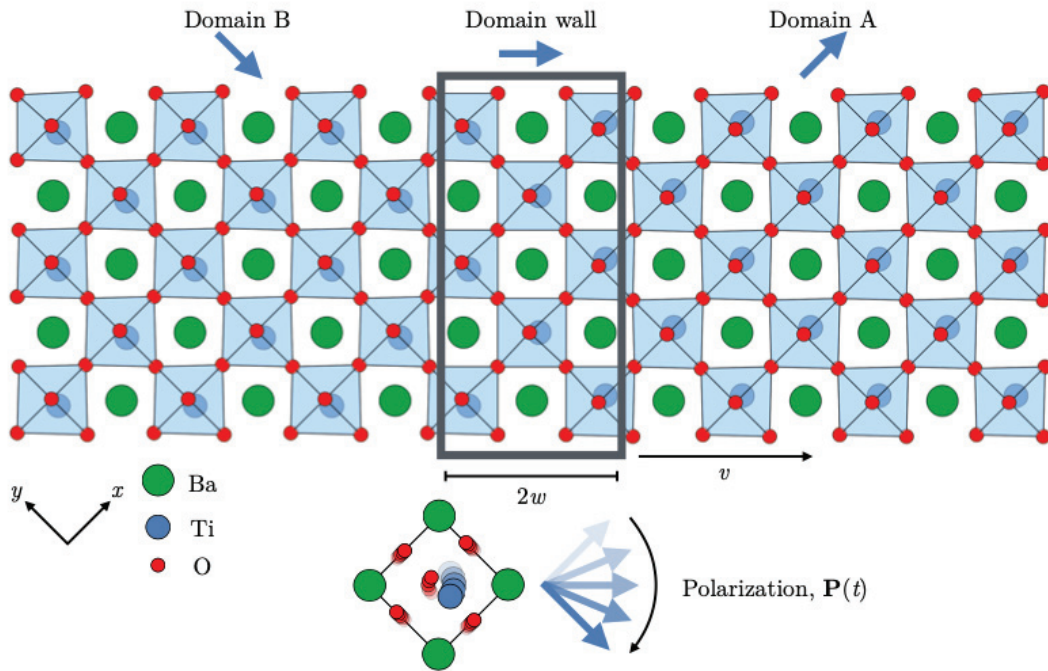


Figure 1.3. Schematic representation of the 2-dimensional domain structure and 90° domain wall of a tetragonal BaTiO<sub>3</sub> <sup>15</sup>.

The reversing of the spontaneous polarization direction along the direction of applied electric field results in the hysteresis behavior which is caused by the presence of ferroelectric domains and consequence of the domain-wall switching. A typical polarization hysteresis loop of a ferroelectric material under alternating electric field is shown in Figure 1.4. The polarization increases linearly at initial electric field application. As the electric field increases, spontaneous polarization dipoles are gradually oriented and switch to an energetically favorable electric field direction by domain wall switching and motion. Further increase of the electric field, the amount of polarization no longer increases and begins to reach its saturation, which is called saturation polarization ( $P_S$ ). The fact that to reach the maximum applied field ( $E_{max}$ ) which causes the saturation polarization is important in terms of showing the real hysteresis behavior of the ferroelectric material. Consequently, the ferroelectric material

has dielectric breakdown strength ( $E_b$ ), and when the applied electric field is  $E_{max} > E_b$ , the ferroelectric material undergoes dielectric breakdown. When the electric field returns to zero, the polarization does not return back to the initial value because typical ferroelectrics display remnant polarization  $P_r$  even when the removal of electrical field. Spontaneous polarization dipoles or domains can only be switched if the applied electric field is larger than the coercive field,  $E_C$ . Therefore,  $E_C$  can be described as the electric field strength to switch the opposite polarization dipoles. Because only the coercive field which is opposite direction of polarization can bring the polarization to zero by switching domain walls into initial domain configuration. Therefore,  $P_r$  also represents the amount of switchable polarization.

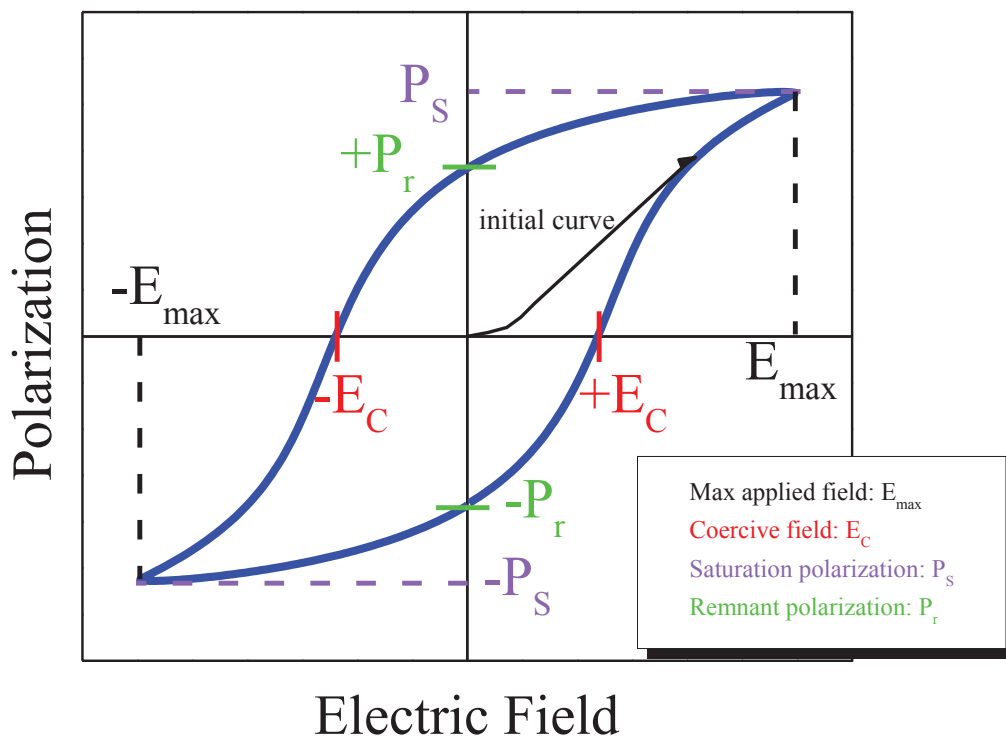


Figure 1.4. Polarization hysteresis loop of a typical ferroelectric material under alternating electric field.

### 1.2.2. Domain Structure in Ferroelectrics

Ferroelectric materials consist of domain regions in which the electric dipoles are oriented in the same direction. Spontaneous electric dipoles are oriented randomly in each domain that gives zero net polarization in the absence of electrical field as it seen Figure 1.5. The boundary of two neighboring domains is called a domain wall (the dashed lines in

Figure 1.5) as it is also mentioned above. Solid lines correspond grain boundaries of a polycrystalline ferroelectric. When an electric field is applied to this kind of a typical 180° domain structured ferroelectric material, the dipoles are switched along the direction of the applied electric field, and when the electric field is removed, it cannot return to its initial state remaining net polarization ( $P_r$ ) inside.

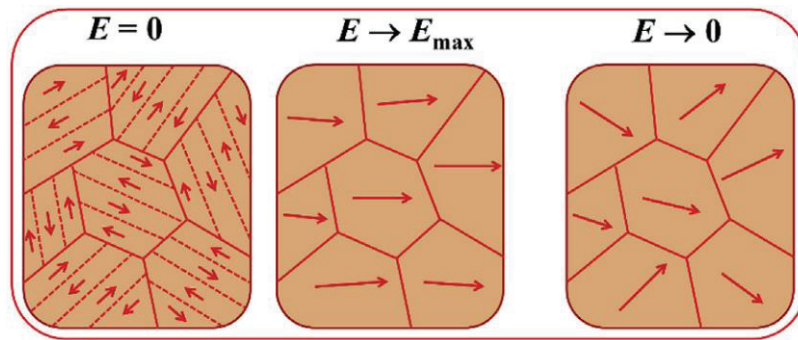


Figure 1.5. Schematic diagrams of domain wall switching in a normal ferroelectric <sup>16</sup>.

The types of possible domain walls depend on the crystal structure type of a ferroelectric. For example an orthorhombic crystal can produce 60°, 90°, 120°, and 180° domain walls and a rhombohedral crystal can have 70.5°, 109.5° and 180° domain walls, and, a tetragonal perovskite ceramic can exhibit only two possible types of domain wall structures; 90° and 180° domain walls <sup>17</sup> (Figure 1.6) and consequently two types of polarization switching mechanisms are possible. The domain switching of a 180° wall requires higher activation energy than that of a 90° degree wall. On the other hand, 180° domain walls have higher internal stress and lower domain wall motion while 90° domain wall motion result enhanced electromechanical strain and piezoelectric coefficients <sup>18</sup>.

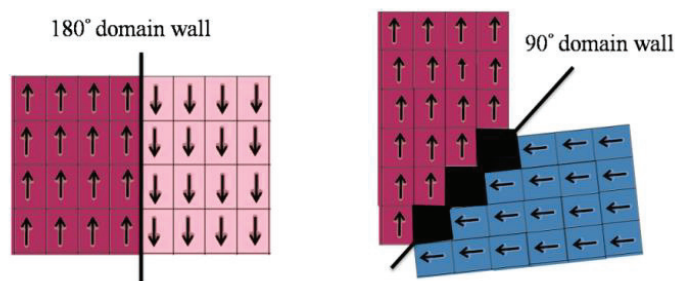


Figure 1.6. Two types of domain walls in the tetragonal crystal system: 180° and 90° domain walls <sup>19</sup>.

Domains are generally arranged to ensure the minimum total internal energy of the sample i.e. minimizing mechanical, electrical and interfacial energy. The energy minimization states of ferroelectric domains give rise to some different patterns such as rank-1 and rank-2 laminates and vortex structures <sup>20</sup> (see Figure 1.7).

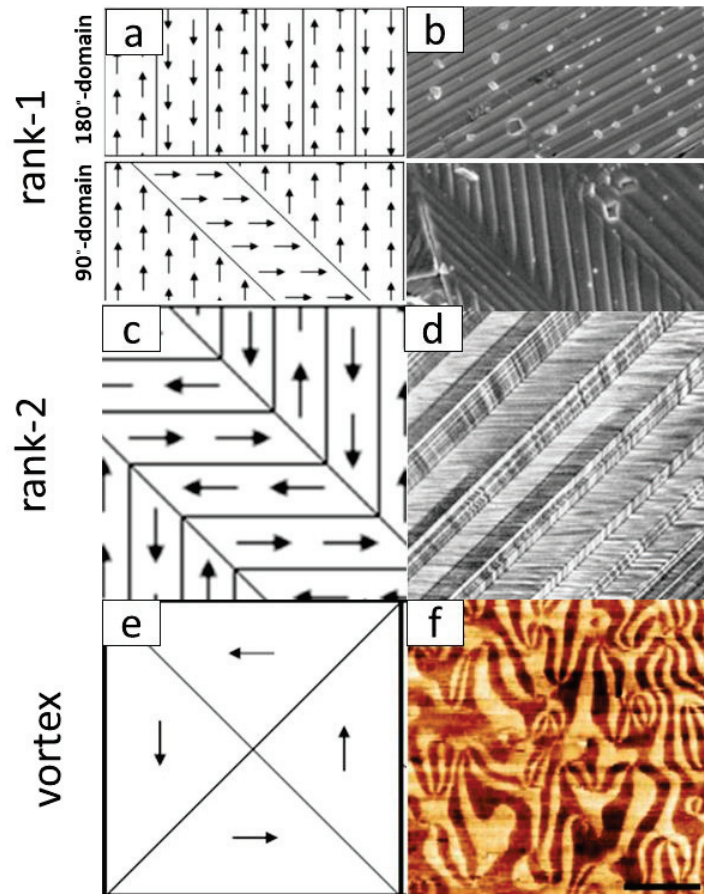


Figure 1.7. Schematic demonstrations (left) <sup>21</sup> and real images (right) of some kind of ferroelectric domains: (a) rank-1 laminated 90° and 180° domain patterns of (b) KNN ceramics <sup>22</sup>, (c) rank-2 laminated (d) domain pattern in BaTiO<sub>3</sub> <sup>23</sup>, (e) vortex domain pattern of (f) PFM image of *h*-Lu<sub>0.6</sub>Sc<sub>0.4</sub>FeO<sub>3</sub> <sup>24</sup>.

Apart from crystal symmetry, one of the most important parameters that significantly affects the domain structure is grain size. Because, as the number of grain boundary increases, that is, as the grains get smaller, the internal stress energy will increase <sup>25</sup>, therefore, the domain structure will be shaped according to this effect. In Figure 1.8 the relationship between grain size and domain structure is illustrated. Since the internal stress energy will decrease as the grain size increases (Figure 1.8(a)), the



domain structures to provide the minimum energy configuration incline to the formation of  $90^\circ$  and more complex domains (for example, rank-2 type domains). In addition, it has been shown that the increase in grain size causes an increase in the domain width (Figure 1.8(b))<sup>26</sup>. In another study, it was mentioned that the ceramic in the ergodic relaxor phase has small grain size and polar-nanoregions (PNRs) (Figure 1.8(c))<sup>27</sup>. In (Figure 1.8(d)), the grain size dependent domain structure of  $\text{BaTiO}_3$  nano-ceramics is demonstrated. While in larger grains  $90^\circ$  and  $180^\circ$  domains are both present with larger domain widths, in finer grains domain width decreases and  $90^\circ$  domains disappear.

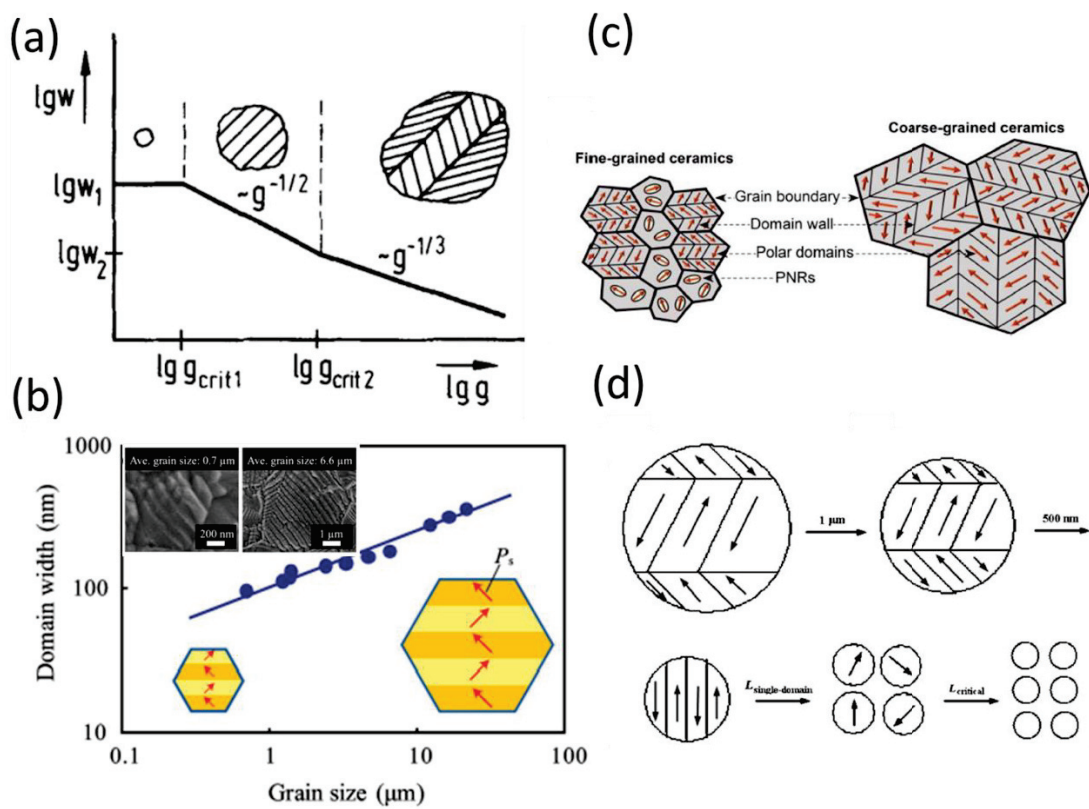


Figure 1.8. Relations between grain size and domain structure. (a) Stress energy ( $w$ ) as a function of grain size ( $g$ ) and domain structure in  $\text{BaTiO}_3$  ceramics<sup>25</sup>, (b) the grain size dependence of  $90^\circ$  domain width in  $\text{BaTiO}_3$  ceramics<sup>26</sup>, (c) long-range polar domains in coarse grained and polar-nanoregions (PNR) in fine grained NBT-xST ceramics<sup>27</sup>, (d) the grain size dependent domain structure of  $\text{BaTiO}_3$  nano-ceramics;  $90^\circ$  and  $180^\circ$  domains are both present with larger widths in larger grains<sup>28</sup>.

Normal ferroelectric (FE) materials have large and long range ordered ferroelectric domain structures. If there are nano-sized polar regions in the ferroelectric material where the interaction of the domains with each other is weaker, this material is called a relaxor ferroelectric (RFE) material. The materials having polar nano-domain regions (PNRs) that can transform irreversibly into normal ferroelectric domains with the application of electric field are called nonergodic relaxor (NR) materials. If the PNRs transform into normal ferroelectric domains with the application of an electric field and reversibly turn back into the initial state when the electric field is removed, these materials having that kind of PNRs is called ergodic relaxor (ER) materials. Also, a NR material can transform into the ER phase as the temperature increases. The temperature at which this NR to ER phase transition takes place is defined as the depolarization temperature ( $T_d$ ). Both temperature and electric field dependent phase diagram of NR, ER and FE phases of a relaxor material is shown schematically in Figure 1.9 where the black line represents the transition from the relaxor phase to the FE phase. This line disappears after a critical point (CP). Polarization-electric field (P-E) and strain-electric field (S-E) loops corresponding to NR and ER phases are shown schematically in this figure. Indeed, the P-E and S-E loops of a NR and of a normal FE material cannot be distinguished, however the difference between a normal FE and a NR material can be understood by examining the frequency dependence of the dielectric constant, because, relaxor ferroelectric materials have different polarization mechanisms depending on frequency, such as resonance polarization, beside thermally activated polarization mechanisms<sup>29</sup>. On the other hand, the characteristic of an ER material is the absence of negative strain and pinched P-E loops.

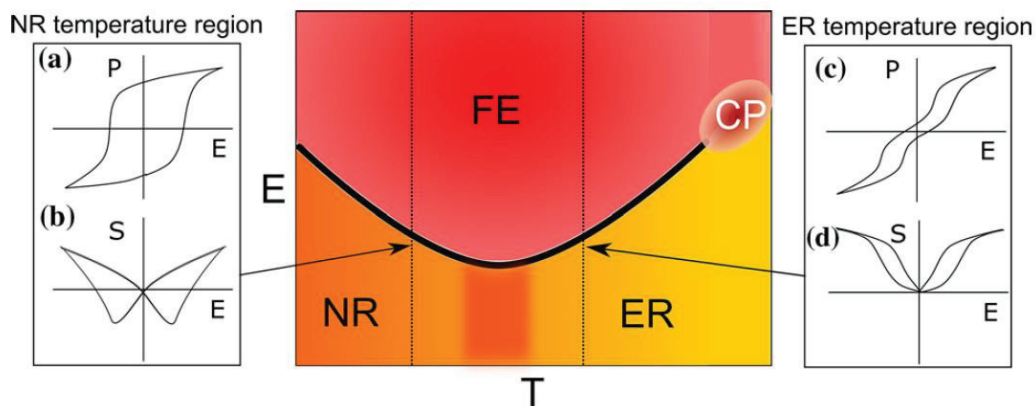


Figure 1.9. The temperature and electric field dependent phase diagram of NR, ER and FE phases of a relaxor material<sup>30</sup>



### 1.2.3. Classification of 32 Crystal Classes of Dielectrics

The Figure 1.10 demonstrates the classification of dielectrics according to crystallographic point group symmetry<sup>31</sup>. In total 32 types of crystallographic point groups exist (see Table A.1). Out of these 32 groups, 21 of them are in noncentrosymmetric and others are in centrosymmetric structures<sup>32</sup>. The only noncentrosymmetric groups can exhibit piezoelectric properties. However, one of these 21 noncentrosymmetric point groups (which is 432) cannot show piezoelectric property. The remaining noncentrosymmetric point groups that exhibit piezoelectricity are 1, 2, 3, 4, -4, 6, -6, m, 32, 23, 3m, 222, 422, 622, -42m, -43m, -62m, mm2, 4mm and 6mm. Among 10 of them, which are 1, 2, 3, 4, 6, m, 3m, mm2, 4mm, and 6mm, also exhibit pyroelectric properties<sup>33</sup>. There are 230 types of space groups belong to these 32 point groups<sup>34</sup>. BaTiO<sub>3</sub>-based materials with P4mm and P4bm space group is grouped in tetragonal 4mm point group and NBT-based ceramics containing R3c space group included in the rhombohedral -3m point group were studied in this thesis (Figure 1.11).

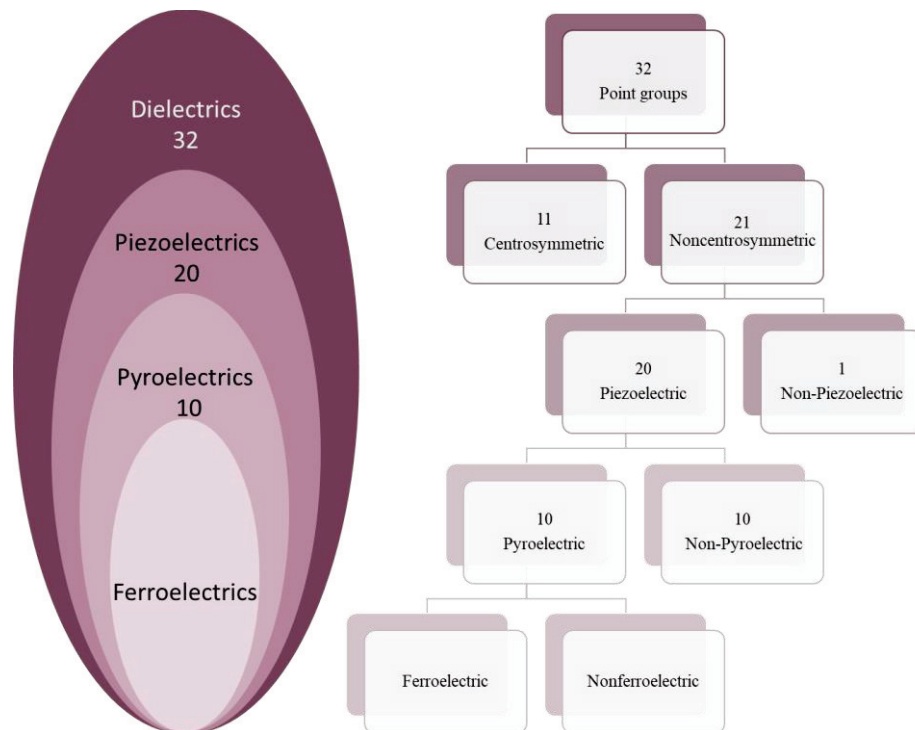


Figure 1.10. Ferroelectricity within the hierarchy of 32 crystal classes of dielectrics.

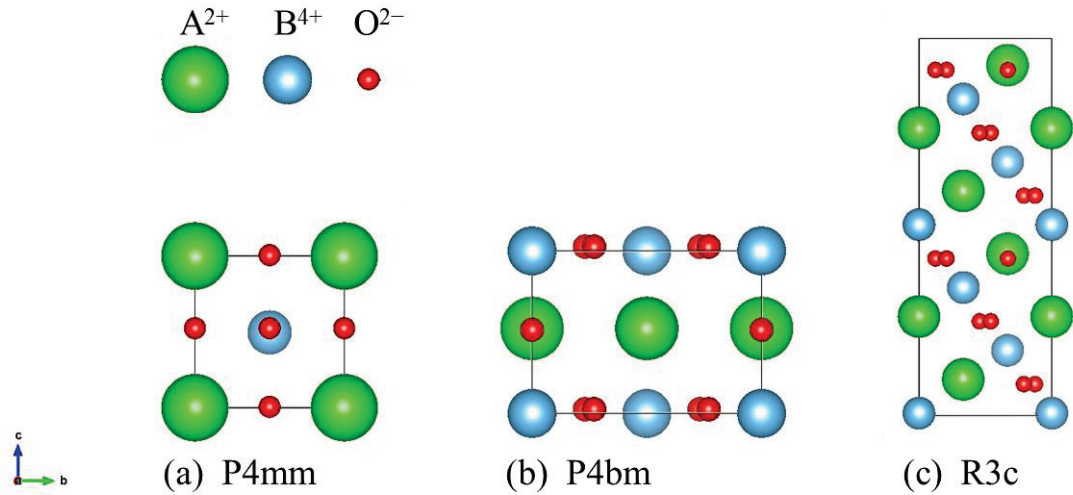


Figure 1.11. Illustrations of (a) tetragonal P4mm, (b) tetragonal P4bm and (c) rhombohedral R3c space group symmetries of ABO<sub>3</sub> type perovskite structures.

#### 1.2.4. Dielectric Properties of Ferroelectrics

Dielectric constant (or relative permittivity,  $\epsilon_r$ ) describes the polarizability of a dielectric material when the application of electric field. This polarization can originate from interfacial space charges, dipolar, ionic, and electronic effects<sup>35</sup>. These mechanisms, which contribute to the polarization or dielectric constant, can be active or inactive depending on the material and frequency of the measurement. BaTiO<sub>3</sub> ceramic has a large dielectric constant thanks to its ferroelectric nature<sup>5, 36</sup>.

When an electric field is applied to a dielectric material placed between conductive plates, the amount of stored charges per unit area by the orientation of the dipoles is called the electric displacement  $D$  and is expressed by the following equation;

$$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P} = \epsilon_r \epsilon_0 \mathbf{E} \quad (1.1)$$

where  $\epsilon_r$  is relative permittivity (or dielectric constant) of the material  $\epsilon_0$  is the permittivity of the vacuum,  $P$  is the net polarization in material and  $E$  is the applied electric field. As  $P$  is large in ferroelectrics,  $P$  is more commonly used instead of  $D$ .

Dielectric constant of a material is determined by measuring capacitance of the material. In Figure 1.12 a dielectric material in thickness  $d$  which is sandwiched between two metal parallel plates of area  $A$  is illustrated. When these plates are attached

to a circuit and applied a voltage  $V$ , the stored charge  $Q$  on plates can be measured by integrating the current with time. Therefore, the capacitance can be determined using the formula;

$$C = Q/V.$$

Using an LCR meter, capacitance ( $C$ ) can be directly measured. There is also a relation between capacitance and dielectric constant (or dielectric permittivity) as follows;

$$C = \epsilon(A/d)$$

where  $A$  is the area of the conductive plates,  $d$  is the thickness of the dielectric material and  $\epsilon$  is the permittivity (or dielectric constant) that includes both permittivity contribution comes from air  $\epsilon_0$  and the material,  $\epsilon_r$ . The relation is given as;  $\epsilon = \epsilon_0\epsilon_r$ . Therefore, the dielectric constant of material ( $\epsilon_r$ ) can be expressed as follows;

$$\epsilon_r = \frac{C d}{\epsilon_0 A}$$

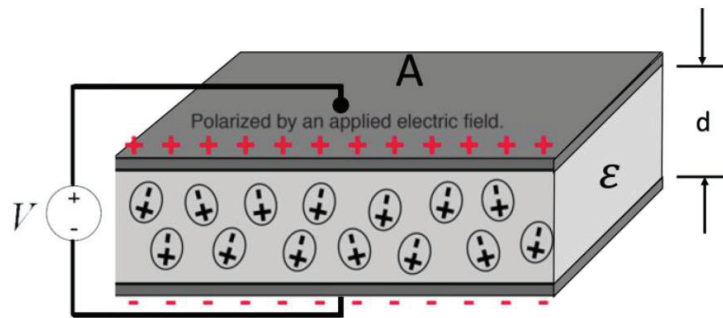


Figure 1.12. Schematic representation of parallel plate capacitor<sup>37</sup>.

### 1.2.5. Structural Phase Transitions in Ferroelectrics

All ferroelectric materials lose their ferroelectric properties above the Curie temperature ( $T_C$ ) which is also a structural phase transition point. At a temperature above  $T_C$  the material no more exhibits ferroelectric properties, it is in cubic structure and is paraelectric. At the transition temperatures, some properties such as dielectric constant, elastic, optical and thermal properties of the dielectric material show anomalous behavior.

The temperature dependence of the dielectric constant of a ferroelectric material gives the information about crystal symmetry, phase transition temperatures and ferroelectric domains. As shown in Figure 1.13, barium titanate undergoes three phase transitions when cooled down from high temperature: from cubic (or paraelectric phase)

to tetragonal (ferroelectric phase) at  $T_C \sim 120$  °C, tetragonal to orthorhombic phase at 5 °C, and orthorhombic to rhombohedral phase at  $-90$  °C. Note that orthorhombic and rhombohedral phases are also ferroelectric phases.

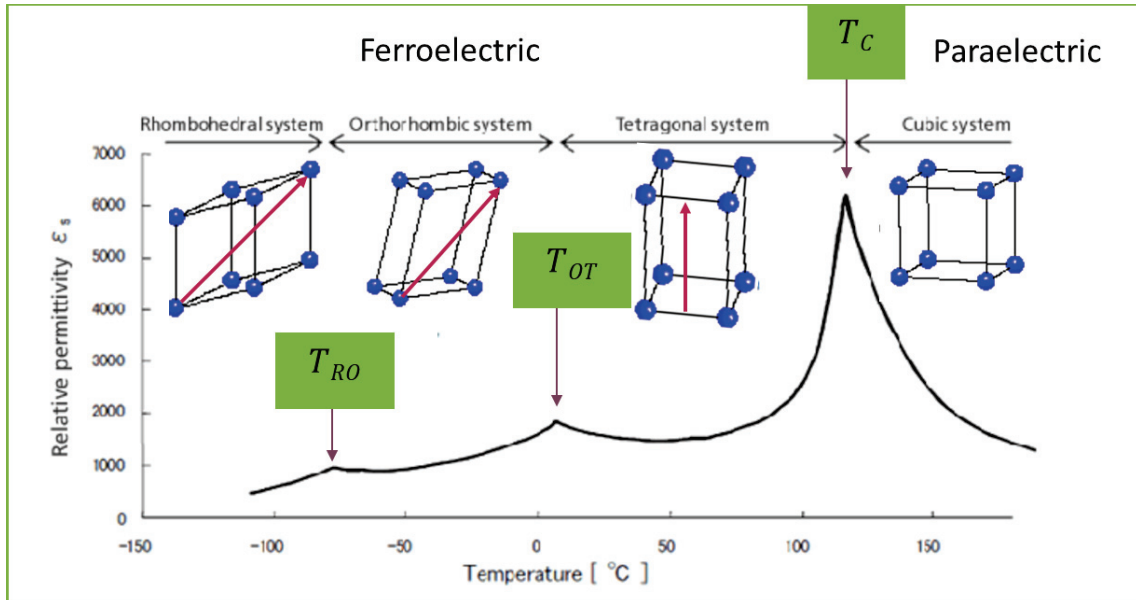


Figure 1.13. Temperature dependent dielectric constant (or relative permittivity,  $\epsilon_r$ ) of barium titanate and its structural phase transitions<sup>38</sup>.

The phase transitions of the dielectric constant above the Curie point ( $T > T_C$ ) is governed by the Curie-Weiss law:

$$\epsilon_r - \epsilon_0 = \frac{C}{T - T_C}$$

where  $C$  is the Curie constant and  $T_C$  is the Curie temperature. This relation states that the dielectric constant decreases above  $T_C$  following this Curie-Weiss law.

The spontaneous polarization might decrease to zero either continuously (second order phase transition) or discontinuously (first order phase transition) at  $T_C$  in Figure 1.14. In order to explain these two types of transition characteristics, Landau theory<sup>39</sup> gives an approach by thermodynamical, statistical (degrees of freedom of the system) and symmetry based arguments. As the consequence of the Landau theory, the spontaneous polarization dependent free energy functions for first and second order types of transitions in ferroelectrics are shown in Figure 1.15.

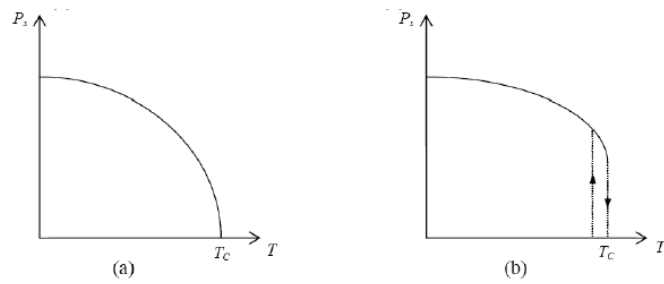


Figure 1.14. Characteristic behavior of (a) second order and (b) first order phase transition of spontaneous polarization as the function of temperature in ferroelectrics <sup>40</sup>.

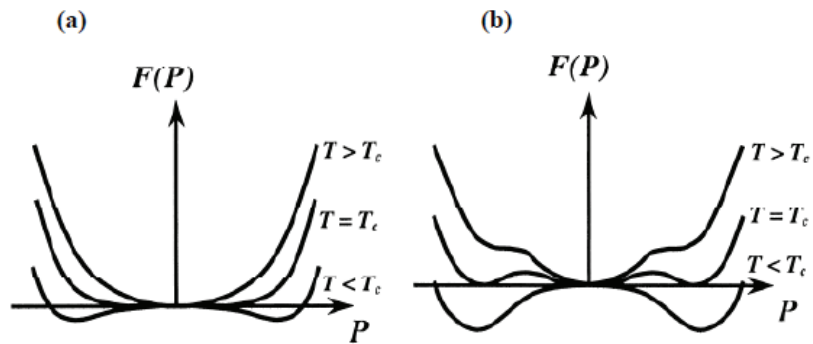


Figure 1.15. The spontaneous polarization dependent free energy functions for (a) second and (b) first order types of transitions <sup>40</sup>.

Another important phase transition line is the morphotropic phase boundary (MPB) at which more than one ferroelectric phase exists. In Figure 1.16 the compositional phase diagram of lead zirconate titanate (PZT) is given. PZT ( $\text{Pb}(\text{Zr}_{1-x}\text{Ti}_x)\text{O}_3$ ) ceramic is a solid solution in which Zr and Ti occupy the B site of the perovskite structure in varying proportions. The morphotropic phase boundary that corresponds exactly  $x = 48$  mol% of  $\text{PbTiO}_3$  composition is the boundary between the rhombohedral and tetragonal phases and it is most widely used composition because the coexistence of these two structural phases provides PZT its high piezoelectric coefficient <sup>41</sup>.

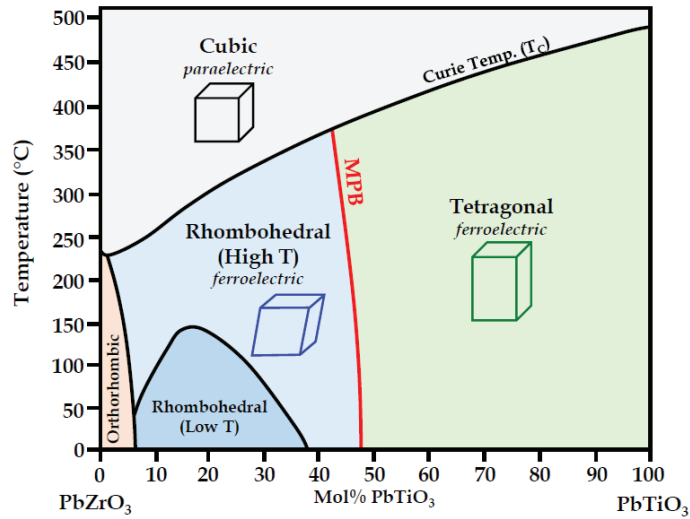


Figure 1.16. Structural phase diagram of PZT as a function of  $\text{PbTiO}_3$  content. The red line represents MPB at which the rhombohedral and tetragonal phases coexist<sup>42</sup>.

### 1.2.6. Piezoelectric Properties of Ferroelectrics

Piezoelectricity is ability of certain materials to develop an electric dipole moment when stress is applied, whose magnitude is directly proportional to the applied stress. This is known as the direct piezoelectric effect. It was discovered by the brothers Pierre and Jacques Curie in 1880<sup>43</sup> The 'Piezo' is derived from the Greek word 'piezein' which infers to squeeze or press. Perovskite oxides exhibit piezoelectricity, among them the mostly known piezoelectric material  $\text{Pb}(\text{Zr}_{0.52}\text{Ti}_{0.48})\text{O}_3$  (PZT) exhibits greater piezoelectricity due to its improved properties<sup>44</sup> around the morphotropic phase boundary that consists of tetragonal and rhombohedral phases as mentioned before. According to the direct piezoelectric effect, the polarization ( $P_i$ ) (or electric field) is emerged within the piezoelectric material when the stress ( $\sigma_{jk}$ ) is applied;

$$P_i = d_{ijk} \sigma_{jk},$$

where  $ijk$  denotes tensor elements and  $d_{ijk}$  refers the piezoelectric coefficient.

The opposite effect is also possible; according to converse piezoelectric effect, when the electric field ( $E_i$ ) applied to a piezoelectric material, a strain ( $\epsilon_{jk}$ ) (or a thickness change) is emerged within the material;

$$\epsilon_{jk} = d_{ijk}^* E_i$$

where  $ijk$  denotes tensor elements and  $d_{ijk}^*$  refers the converse piezoelectric coefficient.

### 1.2.7. Pyroelectric Properties and Electrocaloric Effect

Pyroelectricity is the ability to create an electric field when certain materials are heated or cooled. In other words, temperature change in a pyroelectric material causes the migration of positive and negative charges to opposite ends of the surfaces of its crystal structure and electric charges (Q) and hence potential differences (V) are created. The pyroelectric effect can be described as;

$$p_i = \frac{\partial P_i}{\partial T}$$

This equation describes that the change in the polarization ( $P_i$ ) with temperature ( $T$ ) equals to the pyroelectric coefficient ( $p_i$ ). The electrocaloric effect can be thought of as a physical inverse of the pyroelectric effect, since when an electric field is applied to a pyroelectric material, a temperature change can be obtained in that material, thus the electrocaloric effect is emerged.

Electrocaloric effect (ECE) is a physical phenomenon that occurs in dielectrics<sup>45</sup>. When an electric field applied to dipolar material, dipoles become more regular (entropy-reducing effect), adiabatically, the lattice vibrations become more irregular (entropy-increasing effect) in order to compensate entropy, thus temperature increases. Then the isoelectric process (constant electric field) occurs automatically. While the dipoles continue to be regular, lattice vibrations are reduced to return to the previous temperature, thereby reducing total entropy. When the electric field is removed, adiabatic depolarization process starts. As dipoles become irregular again, (entropy-increasing effect) and lattice vibrations decrease (entropy reducing effect) consequently entropy is maintained thus system cools down (Figure 1.17). The system then returns to its initial state, and the electrocaloric cycle takes place where entropy and temperature change occur (Figure 1.8)<sup>46</sup>.

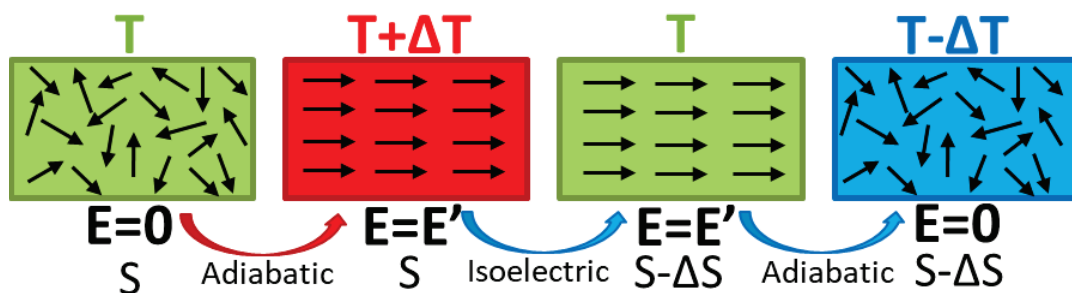


Figure 1.17. Schematic demonstration of the electrocaloric effect.

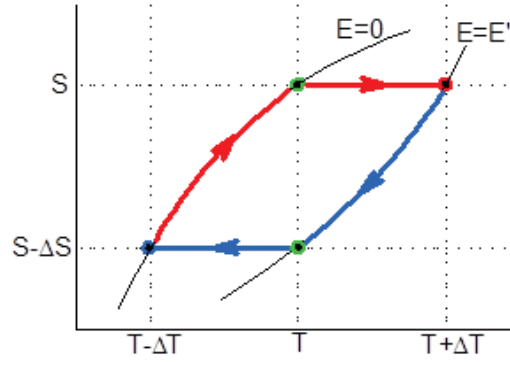


Figure 1.18. Schematic demonstration of the entropy change during the electrocaloric cycle.

The energy of this thermodynamic system is defined by Gibbs free energy (G) equation that include the entropy (S) temperature (T) polarization (P) and electric field (E) variables as follows:

$$dG = -SdT - PdE$$

According to this expression, Maxwell equations are defined as follows;

$$\left(\frac{\partial S}{\partial E}\right)_T = \left(\frac{\partial P}{\partial T}\right)_E$$

The electrocaloric effect is calculated in the case where the entropy change remains constant (adiabatic process). Therefore, the following equation expressing the entropy change is equal to zero;

$$dS = \left(\frac{\partial S}{\partial E}\right)_T dE + \left(\frac{\partial S}{\partial T}\right)_E dT = 0$$

The specific heat ( $C_E$ ) expressed as;

$$C_E = \frac{T}{\rho} \left(\frac{\partial S}{\partial T}\right)_E$$

By using these equations and Maxwell equation, the electrocaloric effect or differential temperature change is expressed as follow:

$$dT = -\frac{T}{\rho C_E} \left(\frac{\partial P}{\partial T}\right)_E dE$$

where  $\left(\frac{\partial P}{\partial T}\right)_E$  expression corresponds to pyroelectric coefficient. Measuring the temperature and electric field dependent polarization loops  $P(E,T)$ , the adiabatic



temperature change ( $\Delta T$ ) of the material can be calculated by integrating the above equation;

$$\Delta T = \frac{1}{\rho C_E} \int_{E_1}^{E_2} T \left( \frac{\partial P}{\partial T} \right)_E dE$$

where the specific heat  $C_E$  and the density  $\rho$  of the material are considered as temperature and electric field independent.

### 1.3. Cooling Applications and Electrocaloric Cooling

Cooling systems used to prevent a process or environment from overheating or to remove heat have an important place in many industries. It is crucial to develop properties of the cooling systems such as efficiency, energy saving, harmful gas emission, cost and contact sizes in order to stabilize the operating temperature precision of electronic components. In general, the energy consumed by the cooling systems covers 10% of the total electricity consumption in the world <sup>47</sup>. The significant environmental damage of air conditioning and refrigeration is the emission of hydrofluorocarbon (HFC) gases, and its impact on global warming can be thousands of times greater than that of CO<sub>2</sub> per unit mass <sup>48</sup>. For these reasons the development of alternative refrigeration technologies which are energy efficient with zero global warming potential is therefore regarded as a critical issue.

In refrigeration and air conditioning technologies, vapor compressors and heat pumps, which cause the harmful gas emission, are used as the essential cooling components. Alternatively, environmental-friendly and non-vapor compression based solid state cooling technologies have been developed in recent years such as thermoelectric effect (TE) <sup>49</sup>, magnetocaloric effect (MCE) <sup>50</sup>, electrocaloric effect (ECE) <sup>51</sup>, elasto (baro) caloric effect <sup>52</sup>, adsorption cooling effect <sup>53</sup> and enhanced radiative cooling effect <sup>54</sup>. Among these technologies, electrocaloric cooling materials are one of the most widely studied caloric materials due to their high efficiency potential. It was mentioned above (in section 1.2.7) that ECE is a material property that shows the adiabatic temperature change ( $\Delta T$ ) with an applied electric field. The ECE phenomenon is driven by electric field which eliminates need for large compressors, pumps, or magnets <sup>46</sup>. Because ECE has the advantages of high cooling efficiency

(>60%)<sup>55</sup> and environmental friendliness, an ECE cooling device has the potential to surpass conventional thermomechanical devices<sup>56</sup>. In recent years, tremendous progress has been made in improving the electrocaloric effect of materials that exhibit a first- or second-order ferroelectric phase transition. The electrocaloric properties of different types of ferroelectrics are listed in Table 1.1 and they are compared by using electrocaloric adiabatic temperature change ( $\Delta T$ ) as a function of the applied electric field in Figure 1.19.

Although polycrystalline bulk ceramics can withstand relatively lower electric field and exhibit lower  $\Delta T$  value than thin films, they are important in research and development in terms of obtaining rapid information about the properties of the composition. It is seen that the increased  $\Delta T$  properties are emerged for film ceramics which are produced by more advanced methods. Additionally, multi-layer ceramic capacitors (MLCCs), the commercially widely produced capacitor forms, which are devices in which 0.5-40  $\mu\text{m}$  thick individual capacitors<sup>57</sup> are contained as multilayer stacks, have more advanced  $\Delta T$  properties. On the other hand, it is seen that PVDF-based polymer and polymer-ceramic composites have the highest  $\Delta T$  value. However, due to the low thermal conductivity of polymers, the use of thin films of no more than a few tens of micrometers is required for adequate heat transfer to occur. Ceramic electrocaloric materials are more advantageous than ferroelectric polymers since thicker and high-mass electrocaloric devices can be produced with ceramic materials with higher thermal conductivity and they can be processed at higher temperatures<sup>58</sup>. The  $T$  parameter in Table 1.1, which is the temperature value at which  $\Delta T$  maximum is realized (related to the Curie temperature or depolarization temperature of the material), is around room temperature for most electrocaloric materials, while for some materials it can reach 220 °C. In other words, the working temperature,  $T$  is important in material selection according to the demands of the cooling application. On the other hand, the ECE strength expression,  $\Delta T/\Delta E$  is another important parameter in EC material selection since it expresses the amount of  $\Delta T$  obtained per applied electric field.

Table 1.1. The list of electrocaloric properties of different types of ferroelectrics.

Sample type	Sample	$\Delta T$ (K)	E (kV/cm)	$\Delta T / \Delta E$ (K.m/MV)	$T_C$ (°C)	Ref.
Bulk ceramic	Pb(Sc <sub>0.5</sub> Ta <sub>0.5</sub> )O <sub>3</sub>	3.7	40	0.925	25	59
Bulk ceramic	Ba(Zr <sub>x</sub> Ti <sub>1-x</sub> )O <sub>3</sub>	2.4	30	0.800	113	60
Bulk ceramic	Ba <sub>0.6</sub> Sr <sub>0.4</sub> TiO <sub>3</sub>	2.46	50	0.820	30	61
Bulk ceramic	Ba(Sn <sub>0.11</sub> Ti <sub>0.89</sub> )O <sub>3</sub>	0.63	20	0.315	44	62
Bulk ceramic	Ba <sub>0.89</sub> Hf <sub>0.11</sub> TiO <sub>3</sub>	0.35	10	0.350	70	63
Bulk ceramic	0.68Ba(Zr <sub>0.2</sub> Ti <sub>0.8</sub> )O <sub>3</sub> -0.32(Ba <sub>0.7</sub> Ca <sub>0.3</sub> )TiO <sub>3</sub>	0.33	20	0.170	63	64
Bulk ceramic	0.85K <sub>0.5</sub> Na <sub>0.5</sub> NbO <sub>3</sub> -0.15SrTiO <sub>3</sub>	1.9	159	0.120	67	65
Bulk ceramic	0.7Pb(Mg <sub>1/3</sub> Nb <sub>2/3</sub> )O <sub>3</sub> -0.3PbTiO <sub>3</sub>	1.55	50	0.310	170	66
Bulk ceramic	PbZrO <sub>3</sub>	-1.5	100	0.150	97	67
Bulk ceramic	Pb <sub>0.97</sub> La <sub>0.02</sub> (Zr <sub>0.80</sub> Sn <sub>0.14</sub> Ti <sub>0.06</sub> )O <sub>3</sub>	-14.1	110	1.280	50	68
Bulk ceramic	BaTiO <sub>3</sub>	0.5	6	0.833	128	69
Bulk ceramic	Glass modified-Ba <sub>0.65</sub> Sr <sub>0.35</sub> TiO <sub>3</sub>	1.7	30	0.566	25	70
Thin film	PbZr <sub>0.95</sub> Ti <sub>0.05</sub> O <sub>3</sub>	12	480	0.250	226	71
Thin film	(Pb <sub>0.86</sub> La <sub>0.08</sub> )(Zr <sub>0.65</sub> Ti <sub>0.35</sub> )O <sub>3</sub>	40	1200	0.333	45	72
Thin film	PbZr <sub>0.8</sub> Ti <sub>0.2</sub> O <sub>3</sub>	0.1	67	0.015	25	73
Thick film	BaZr <sub>0.2</sub> Ti <sub>0.8</sub> O <sub>3</sub>	4.9	97	0.510	40	74
Thick film	0.9Pb(Mg <sub>1/3</sub> Nb <sub>2/3</sub> )O <sub>3</sub> -0.1PbTiO <sub>3</sub>	0.23	105	0.022	25	75
MLCCs	(Cd <sub>0.83</sub> Pb <sub>0.17</sub> ) <sub>2</sub> Nb <sub>2</sub> O <sub>7</sub>	0.8	100	0.080	-179	76
MLCCs	Pb(Sc <sub>0.5</sub> Ta <sub>0.5</sub> )O <sub>3</sub>	3.5	125	0.280	18	77
MLCCs	Doped BaTiO <sub>3</sub>	3.5	300	0.017	47	78
MLCCs	BaTiO <sub>3</sub>	1.8	176	0.100	80	79
MLCCs	BaTiO <sub>3</sub>	7.1	800	0.088	80	80
MLCCs	Pb(Sc <sub>0.5</sub> Ta <sub>0.5</sub> )O <sub>3</sub>	13	158	0.844	30	81
Polymer	P(VDF-TrFE) 68/32 mol. %	20	1600	0.125	33	72
Polymer	P(VDF-TrFE-CFE) 59.2/33.6/7.2 mol. %.	16	1500	0.110	30	82
Polymer	P(VDF-TrFE-CFE)	4	1000	0.040	27	83
Polymer	P(VDF-TrFE-CFE) 62.6/29.4/8 mol. %.	5.2	900	0.058	25	84
Polymer	P(VDF-TrFE-CFE)	7.5	500	0.015	36	51b
Composite	Terpolymer/BNNSs/BST67	50.5	2500	0.202	30	85
Composite	P(VDF-TrFE)/BST75 52/48 mol.% 10 vol.%	2.5	600	0.042	79	86
Composite	Terpolymer/PMN-PT 59.4/33.4/7.2 mol. %	31	1800	0.170	30	87
Composite	Polymer/graphene	5.2	400	0.130	25	88

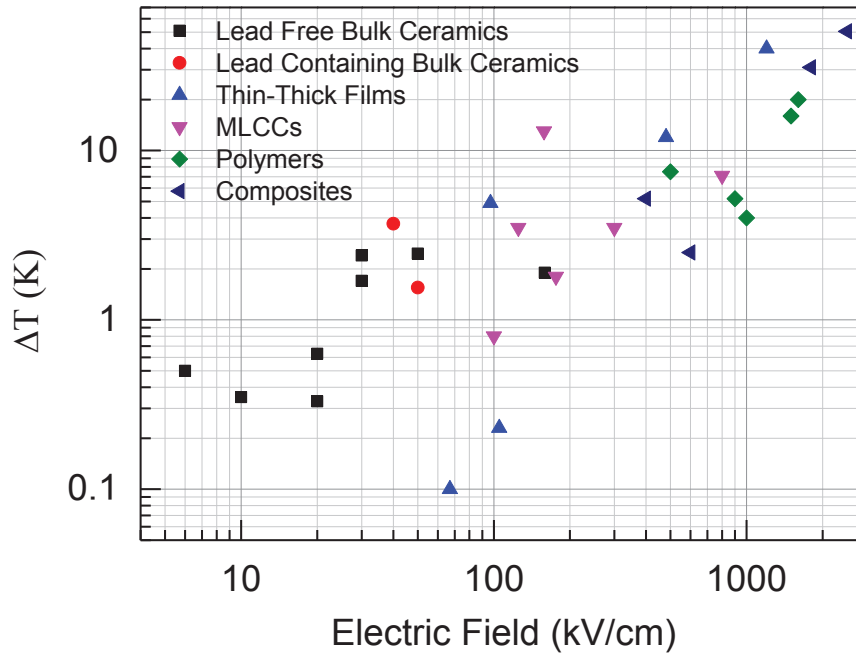


Figure 1.19. Comparison of electrocaloric adiabatic temperature change vs electric field of different types of ferroelectrics (see the references of Table 1.1).

Another important issue in cooling applications is the cooling module design and architecture. Besides  $\Delta T$ , the coefficient of performance (COP) (the ratio of heat pumping power over input power) is considered in device architecture of the cooling systems. An example of an EC module design schematic is shown in Figure 1.20(a), where  $\text{Pb}(\text{Sc}_{0.5}\text{Ta}_{0.5})\text{O}_3$  is used as EC material in MLCC form, which is known to have high  $\Delta T$  as a bulk material. Temperature span of  $\Delta T = 5.2\text{ }^\circ\text{C}$  is obtained by designing this device structure<sup>89</sup>. Figure 1.20(b) shows the Brayton cycle occurring in each MLCC and schematizes how the total adiabatic temperature changes  $\Delta T$  from all MLCCs increases. Figure 1.20(c), shows the photograph of an MLCC used in the module. Another example of EC prototype design is shown in Figure 1.21. In this study, a parallel plate active EC regenerator design based on lead scandium tantalate multilayer capacitors was first modeled (Figure 1.21(a)) and then the prototype was produced and the maximum temperature range of  $\Delta T = 13.0\text{ K}$  was measured<sup>81</sup>. This study is important as it shows that EC materials are among the promising candidates for cooling applications.

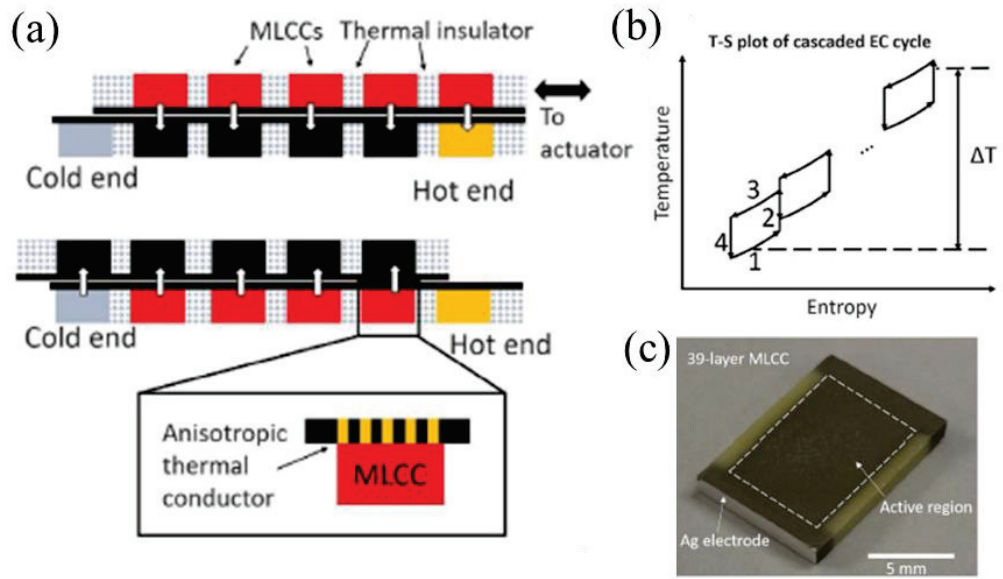


Figure 1.20. An example of EC cooling module design; (a) upper and lower units of heat transfer EC modules, (b) a schematic of the Brayton loop observed for each MLCC, and (c) an MLCC photograph. <sup>89</sup>.

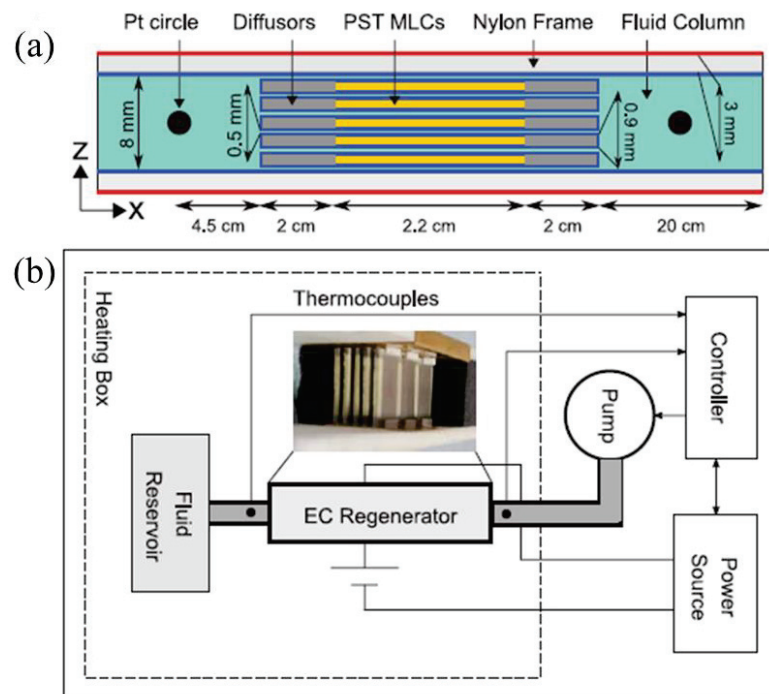


Figure 1.21. (a) A parallel plate EC regenerator model and (b) schematic of its prototype of measurement setup <sup>81</sup>.

## **1.4. Experimental Methods**

In this section, general information about the synthesis and characterization methods of ferroelectric ceramics produced in this study is given. More detailed information for each ceramic produced in this study is available in the experimental sections of the following chapters.

### **1.4.1. Synthesis Methods**

Advanced ceramics are generally produced by powder processing route in four typical steps and different methods can be used in each steps<sup>90</sup>. These processing steps and some examples of methods are illustrated in Figure 1.8. In this study, three different types of ceramic compositions mentioned in the 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> chapters were produced by the conventional solid state reaction method by pressing powders into pellet form and sintering in atmospheric conditions. In 5<sup>th</sup> chapter, the textured ceramics were synthesized by templated grain growth (TGG) method<sup>91</sup> in which conventional solid state reaction method is used to obtain powders and tape casting is used for texturing by including single crystal templates and then samples were sintered in atmospheric conditions.

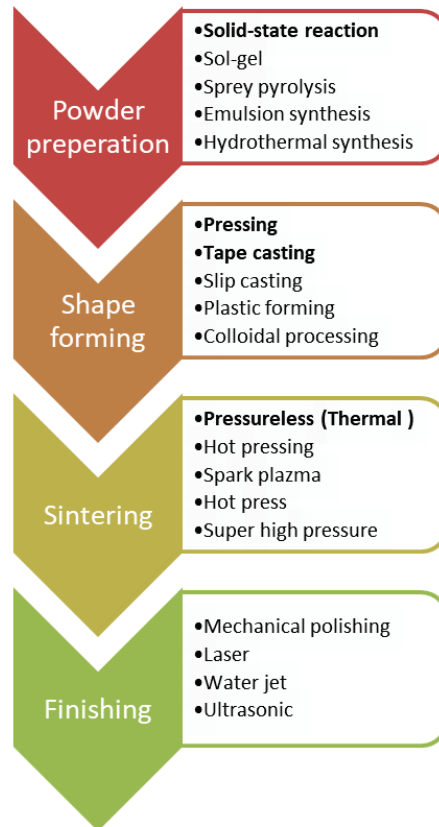


Figure 1.22. Some producing methods applied in four typical processing steps for advanced ceramics<sup>90a</sup>.

#### 1.4.1.1. Conventional Solid State Reaction

The conventional solid state reaction method was used to prepare the ceramics with various compositions in this thesis (Figure 1.23). The starting raw powders which are in oxide or carbonate form were pre-dried at 200 °C for overnight. The powders were mixed in a Nalgene bottle using zirconia balls in ethanol media by planetary ball milling. After drying, the powder was ground in an agate mortar. The calcination process was carried out in pellet form. After the calcination, the pellets were again ground into powder in an agate mortar and then the powders were mixed thoroughly with 4 wt% polyvinyl alcohol (PVA) binder and water solution and then ball milled again. Then powders were dried, sieved and pressed into pellets (~10 mm in diameter, ~1 mm thick) under a pressure ~100 MPa. Then the PVA binder was burned out at 600 °C for 4 h for all compositions. After the burnout process the pellets were sintered.

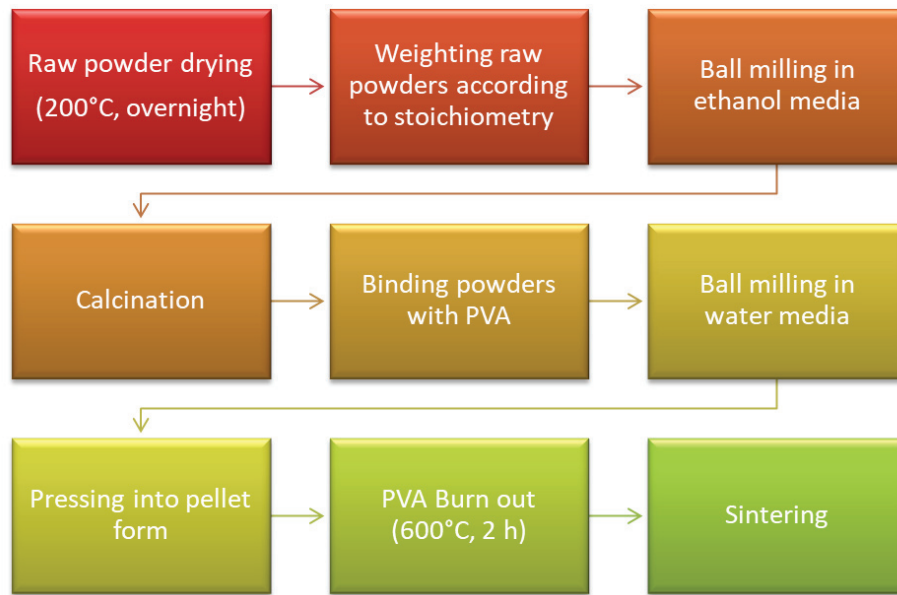


Figure 1.23. Schematic illustration of the conventional solid state synthesis routes.

#### 1.4.1.2. Texturing and Templated Grain Growth (TGG) Process

In order to produce textured ceramics, firstly powders were calcined. After calcination, powders were homogeneously ground and mixed in an attritor mill at the desired stoichiometric ratios. The templated grain growth process steps were followed after this stage: Single crystal templates that have crystallographic surface in polar orientation were used. The tape casting production of the samples with various rates of templates was carried out. Details of the slurry recipe are available in the M. Ali Ünal's thesis study<sup>92</sup>. Using this recipe, ceramics were tape casted as shown schematically in Figure 1.24. Afterwards, they were cut into 1 cm<sup>2</sup> pieces and stacked on top of each other so that the approximate height was 1 mm after pressing and pressed with a laminating press. Pressing was done at 30 °C with a pressure of 100 MPa. Heat treatment was applied to the 1 cm<sup>2</sup> pieces in the furnace for binder burnout. The binder burnout process was carried out at 400 °C for 1 hour, and 600 °C for 6 hours. After binder burnout, the obtained raw samples were pressed with CIP at 300 MPa pressure for 10 seconds. Afterwards, all samples were sintered at 1150 °C for 24 hours.



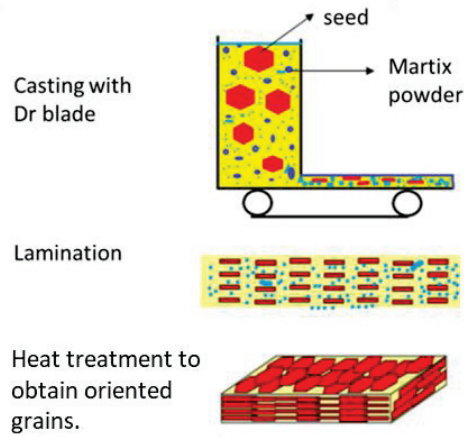


Figure 1.24. Schematic demonstration of templated grain growth <sup>93</sup>.

### 1.4.2. Differential Scanning Calorimetry (DSC) Measurements

Differential Scanning Calorimetry (DSC) is a multipurpose characterization tool that is used to determine temperature dependent changes in some properties of a sample. With the changing temperature, temperature difference between sample and reference material, is determined by the instrument <sup>94</sup>. The sample enclosed within a pan and empty reference pan are placed on a sample holder enclosed in a furnace. The heat is transferred to the pans. The temperature difference between sample pan and empty pan is measured by thermocouples and consequently conversion into a temperature dependent heat flow data is done.

DSC measurements were carried out in order to determine structural phase transition behavior between room temperature and 250 °C in the nitrogen atmosphere and using 5 °C/min heating rate. TA Instruments Q10 device at the Geothermal Energy Research and Application Center (GEOCEN) at Izmir Institute of Technology (IZTECH) was used for this purpose.

### 1.4.3. X-Ray diffraction (XRD) and Rietveld Refinement

X-ray diffraction (XRD) technique was used to analyze crystal structure, unit cell parameters, preferred orientation, phase purity and phase fractions of materials. In

this thesis, XRD measurements were carried out with a high-resolution Philips X'Pert Pro X-ray diffractometer at the Center for Material Research (CMR) at Izmir Institute of Technology (IZTECH). This diffractometer utilizes a copper target that can produce X-rays having wavelength of 1.5405 Å  $K_{\alpha 1}$  and 1.5443 Å  $K_{\alpha 2}$ . The  $K_{\beta}$  radiations are eliminated with Ni filter. The fixed divergence slit size is 0.76 mm. The diffraction data were collected with a scanning speed of 0.02°/min in between  $2\theta = 20^{\circ} - 80^{\circ}$  to obtain suitable data for structure refinements. The General Structure Analysis System II (GSAS II) software package <sup>95</sup> was used for Rietveld refinement of the crystal structures. The crystal structure parameters (unit cell parameters) and phase fractions of the samples have been determined by refining some distinct parameters.

During the Rietveld refinement, the weighted profile R factor ( $R_{wp}$ ) created with the least squares approach is used to test how close the calculated pattern created by the structural model is to the measured pattern.  $R_{wp}$  is tried to be minimized during the iteration of the parameters. An indicator of the accuracy of the refined parameters, the  $R_{wp}$  factor quantifies the difference between the calculated intensity ( $y_{calc,i}$ ) and the observed intensity ( $y_{obs,i}$ );

$$R_{wp} = \sqrt{\frac{\sum_i^N w_i (y_{obs,i} - y_{calc,i})^2}{\sum_i^N w_i (y_{obs,i})^2}}$$

where N is the number of data points. In addition, the goodness of fit (GoF), which is related to  $R_{wp}$  and parameter P, is another factor indicating fitting quality of refinement;

$$GoF = \sqrt{\frac{\sum_i^N w_i (y_{obs,i} - y_{calc,i})^2}{N - P}}$$

Since the algorithm of the Rietveld refinement method was first developed by Hugo Rietveld, is the method is named after him. In this analysis method, the following basic parameters can be refined <sup>96</sup>;

- Structural parameters of the specimen; unit cell parameters (a,b,c), atomic coordinates (x,y,z), site fractions and phase fractions.
- Peak Profile parameters (Lorentzian and Gaussian shape parameters ; U, V, W and X, Y, Z and their ratio)
- $2\theta$  dependent intensity corrections due to absorptions; (sample parameters: histogram scale factor, sample displacement, surface roughness and sample transparency)

- Peak shape parameters (instrumental calibration parameters, thermal parameters ( $U_{ij}$ ), preferred orientation and micro strain... etc)
- Background (background fitting functions and their coefficients)

In an XRD pattern; peak position, intensity and shape depend on the properties of crystal structure, sample and instrumental parameters, as summarized in Table 1.2.

Table 1.2. XRD pattern components and their dependence on crystal structure, specimen properties and instrument parameters <sup>97</sup>.

Pattern component	Crystal structure	Specimen property	Instrumental parameter
<i>Peak Position</i>	Unit cell parameters; <ul style="list-style-type: none"> <li>• Dimensions; a, b, c</li> <li>• Angles: <math>\alpha</math>, <math>\beta</math>, <math>\gamma</math></li> </ul>	Absorption Strain-stress	Wavelength of X-ray Sample alignment Beam axial divergence
<i>Peak Intensity</i>	Atomic parameters; <ul style="list-style-type: none"> <li>• Atomic positions: x, y, z,</li> <li>• Atomic fractions</li> </ul>	Preferred orientation Absorption Porosity	Geometry/configuration Lorentz polarization factor (LP)
<i>Peak Shape</i>	Crystallinity: FWHM Disorder Defects	Grain size Strain-stress	Spectral purity of X-ray Geometry Beam conditioning

#### 1.4.4. Microstructure Imaging and Analysis

In this study, the scanning electron microscope (SEM) is used to detect microstructure properties of the samples such as grain size, porosity, secondary phases and their distribution in the sample. The SEM instrument in the IZTECH CMR, FEI QUANTA 250 FEG, equipped with Secondary Electron (SE), Back Scattering Electron (BSE) and Energy Dispersive X-rays (EDX) detectors, was used.

In order to obtain clear SEM images of grain and boundaries, the surfaces of the ceramic pellets were firstly polished by 1000 and 2000 mesh SiC sandpaper and then thermally etched for one hour at 100 °C below the sintering temperature of each ceramic which creates grooves at the grain boundaries <sup>98</sup>.

### 1.4.5. Dielectric Measurements

An instrument called LCR meter is used to determine the dielectric constant of materials. The letters L, C and R stand for inductance, capacitance and resistance, respectively. An LCR meter measures a physical parameter called impedance (Z), which represents the total resistance of the circuit under alternating voltage, to determine L, C or R values of circuit elements. If the alternative voltage applied to the circuit is expressed as  $V = V_m e^{i\omega t}$  in a waveform varying with the  $\omega$  frequency, the L or C element in the circuit causes a phase difference ( $\phi$ ) in the current and the current is expressed as  $I = I_m e^{i(\omega t - \phi)}$  (Figure 1.25(a)). According to Ohm's law, the impedance<sup>99</sup> is expressed as;

$$Z = \frac{V}{I} = \frac{V_m e^{i\omega t}}{I_m e^{i(\omega t - \phi)}} = \frac{V_m}{I_m} e^{i\phi} = |Z| e^{i\phi}$$

As it can be understood from this relation, impedance is a complex expression. If we consider a series connected RC circuit, the total impedance is expressed as  $Z = R - i \frac{1}{\omega C}$  (Figure 1.25). Then the phase difference is obtained as  $\tan\phi = 1/(\omega RC)$ . This expression is important because it is used to find the ratio of energy stored and energy lost in a capacitor<sup>100</sup>. This value, called the dissipation factor (D) or dielectric loss is directly related to the phase difference between current and voltage waves;

$$D = \frac{\text{energy lost}}{\text{energy stored}} = \frac{\text{real part of } Z}{-\text{imaginary part of } Z} = \frac{R}{1/\omega C} = \omega RC = \frac{1}{\tan\phi} = \tan\delta$$

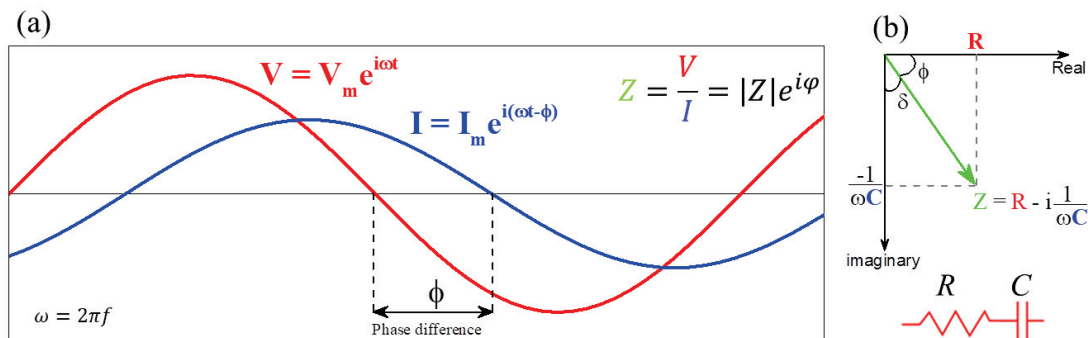


Figure 1.25. (a) Wave forms of applied alternative voltage and alternative current and (b) real and imaginary parts of an impedance of a RC circuit.

After measuring capacitance (C) with the LCR meter, the dielectric constant ( $\epsilon_r$ ) of the material sandwiched between parallel conductive plates is calculated using the following expression, which is related to the thickness (d) of material, area (A) of conductive plates and the permittivity of vacuum ( $\epsilon_0 = 8.85 \text{ pF/m}$ );

$$\epsilon_r = \frac{C d}{\epsilon_0 A}$$

The temperature dependent dielectric constants of the materials were determined with using a Keysight E4980AL LCR meter (Figure 1.26). Alternative voltage signal with the amplitude ( $V_m$ ) of 1 V was applied at four different frequencies ( $f = 0.1, 1, 10$  and 100 kHz). The measurement was controlled with a Labview program. (During his visit to our laboratory, Prof. Dr. Nandang Mufti, who is at State University of Malang, Indonesia, created the LabVIEW program that can automatically measure the temperature dependent dielectric constant at a single frequency. By modifying this program, I was able to upgrade it into a program that can take measurements for multiple frequencies.) Temperature of the material which is mounted on the sample holder was increased from 25 °C to 250 °C with the rate of 3 °C/min by the temperature controller of TF1000. Sample temperature is measured by a temperature sensor in the sample holder located very close to the sample.



Figure 1.26. The LCR meter (KEYSIGHT, E4980AL) that can measure impedance, capacitance and dielectric loss of samples between 20 Hz and 300 kHz.

#### 1.4.6. Piezoelectric Coefficient Measurements

In this study, the piezoelectric coefficient  $d_{33}$  values of the poled ceramics were determined using a SINOCERA YE2730A model  $d_{33}$  meter (Figure 1.28). In all measurements by using this device, the force amplitude of 250 N with a vibration

frequency of 110 Hz is applied to the samples. The  $d_{33}$  meter can measure piezoelectric constant up to 2000 pC/N.



Figure 1.27. Room temperature piezoelectric  $d_{33}$  coefficient measurement tool;  $d_{33}$  Meter (SINOCERA YE2730A).

#### 1.4.7. Ferroelectric and Strain Measurements

The ferroelectric and field-induced strain properties of the produced ceramics in this study were determined by measuring polarization-electric field (P-E) loops and strain-electric field (S-E) curves simultaneously, as a function of temperature between 25 and 250 °C. The samples were mounted into the sample holder (Figure 1.28(a)) and P-E and S-E hysteresis loops were measured by using the analyzer (Aixacct TF Analyzer 1000) (Figure 1.28(f)) that is connected with the high-voltage amplifier (TREK 610E) (Figure 1.28(c)) and laser interferometer (SIOS) (Figure 1.28(b,d)) and the temperature was controlled with the temperature controller (aixACCT) (Figure 1.28(e)). The voltage applied during the measurements was in the form of a triangular wave and was usually applied with the frequencies of 1, 10 or 100 Hz. The frequency is usually increased up to 100 Hz for some lossy samples in order to eliminate the conductivity contribution.

With the laser interferometer, the thickness change of the sample (i.e. the displacement) under the electric field is determined. The laser beam emitted from the source hits the mirror at an angle of 45° and reflects at 45°. The laser is incidence on the sample holder that is sensitive to the movement of the sample and reflects back. It follows the same path, and reaches the detector. The path difference between incident and reflected laser beam that corresponds the thickness displacement in the sample is determined. The strain value of a sample is determined from the ratio of the thickness

displacement ( $\Delta d$ ) measured by laser interferometer to the thickness of the sample (d) (Figure 1.29).

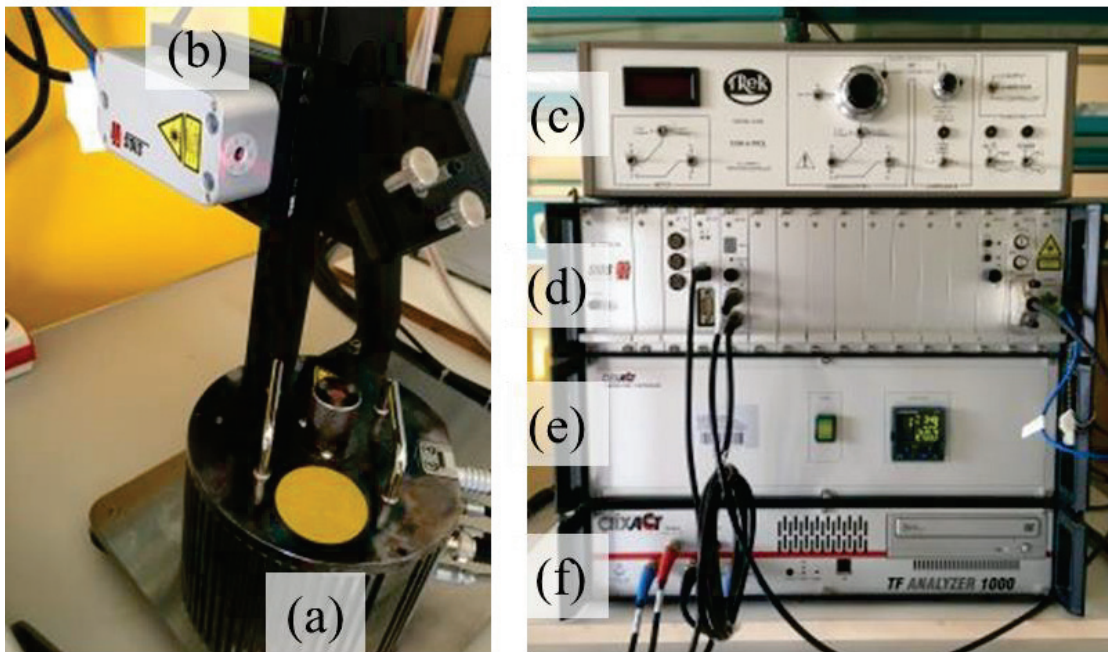


Figure 1.28. (a) Piezo sample holder (aixACCT, TFA 423-7), (b) the laser beam source of the interferometer and instruments for ferroelectric and strain measurements; (c) high voltage amplifier (TREK 610E), (d) SIOS laser interferometer main unit, (e) aixACCT temperature controller and (f) aixACCT TF analyzer 1000.



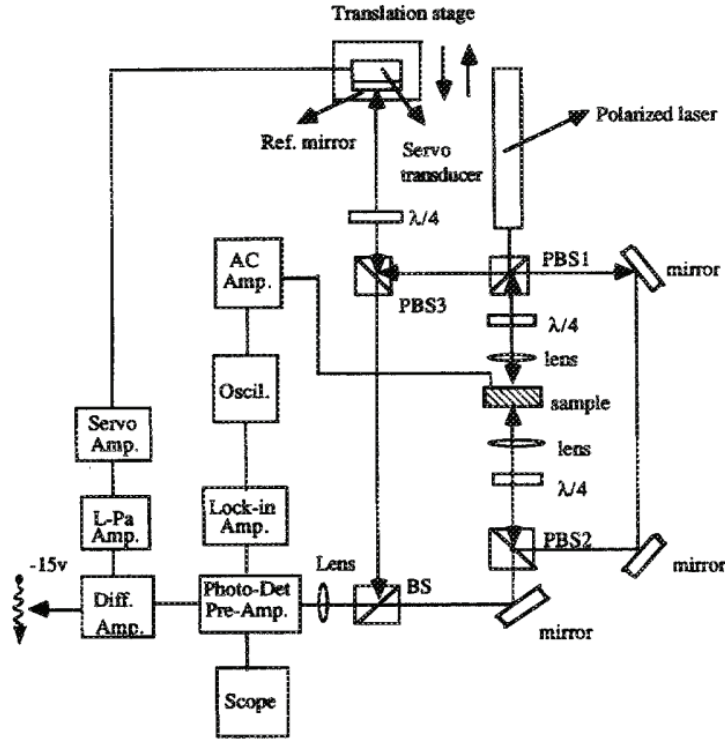


Figure 1.29. Schematic representation of the double beam laser interferometer <sup>101</sup>.

### 1.4.8. Electron Paramagnetic Resonance Measurements

Electron paramagnetic resonance (EPR) is a measurement method to analyze materials that have unpaired electrons. EPR spectroscopy is frequently used for studying paramagnetic metals, metal complexes or organic radicals. The EPR spin Hamiltonian is expressed as a sum of magnetic interactions between the electron spin and magnetic moments in its environment. The EPR spin Hamiltonian is expressed as;

$$H = \beta_e \mathbf{B}_0 \cdot \mathbf{g} \cdot \mathbf{S} - \beta_n g_n \mathbf{B}_0 \cdot \mathbf{I} + \mathbf{S} \cdot \mathbf{A} \cdot \mathbf{I} + \sum_{k=2,4,6}^{-k \leq q \leq k} B_k^q O_k^q(S_X, S_Y, S_Z)$$

The first term represents electronic interactions and second term represents nuclear Zeeman interactions and the third term describes hyperfine interaction with nuclei (fine structure). Where  $\beta_e$  and  $\beta_n$  are electron and nuclear Bohr magnetons respectively,  $g$  and  $g_n$  are gyromagnetic constants of electron and nuclei respectively,  $\mathbf{B}_0$  denotes applied magnetic field,  $\mathbf{S}$  represents spin magnetic moment,  $\mathbf{I}$  represents nuclear magnetic moment,  $\mathbf{A}$  describes dipole-dipole interaction between the electron spin  $\mathbf{S}$



and the nuclear spin  $I$ . In the last term  $B_k^q$  are the fine structure Hamiltonian parameters and  $O_k^q$  are the extended Stevens spin operators<sup>102</sup>.

In Figure 1.30, EPR spectra and energy states for three different models which have different spin-Hamiltonian interactions are shown. A single resonance signal is obtained for  $S = 1/2$  and  $I = 0$  state (Figure 1.30(a, d)). The interaction of  $S = 1/2$  and  $I = 0$  gives quartet resonance signals which have equal intensity (Figure 1.30(b, e)). Fine-structure interaction of  $S = 3/2$  gives triplet resonance signal which have different intensity due to strongly varying orientation dependencies (Figure 1.30(c, f)). For high spin systems ( $S > 1/2$ ) that have more than one unpaired electron, fine structure interaction is considered. After carefully analyzing fine structure parameters of system, information about possible lattice vacancies, the strength and the symmetry of the crystal field can be obtained.

Room temperature X-band EPR measurements of Mn doped ceramics which have mentioned in chapter 3<sup>rd</sup> were carried out by using a CMS 8400 (Adani) EPR spectrometer with a TE102 resonator cavity at a microwave frequency of 9.4 GHz.

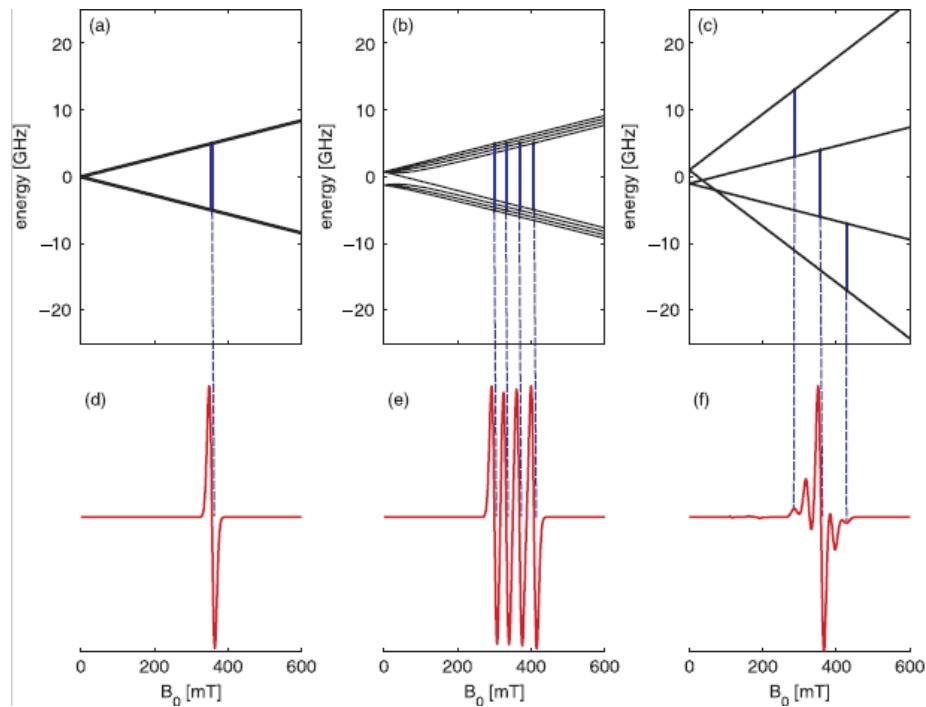


Figure 1.30. Schematic representation of energy levels and corresponding EPR spectra for (a,d)  $S = 1/2$  and  $I = 0$  interaction (b, e)  $S = 1/2$  and  $I = 3/2$  interaction (c, f) fine-structure interaction with  $S = 3/2$ <sup>102</sup>.

## CHAPTER 2

### ENERGY STORAGE IN FERROELECTRICS

#### 2.1. Energy Storage Density in Dielectric Capacitors

Dielectric capacitors have great importance due to their high power densities (i.e. fast charge-discharge capability) for high pulse power required energy storage applications such as high frequency inverters, electromagnetic pulse bombs, hybrid electric vehicles <sup>103</sup>. However, they have low energy storage densities compared to batteries and super-capacitors (Figure 2.1). Improving the energy density of dielectric capacitors would help to reduce the volume, weight, and cost of consumer electronics, pulsed power systems and commercial defibrillators and might even result to make them competitive in energy storage against batteries and fuel cells <sup>104</sup>. In addition to improving energy storage density in ceramic capacitors another important issue is to maintain temperature stability of energy storage capacitors, because some electronic devices can be exposed to extreme temperature changes.

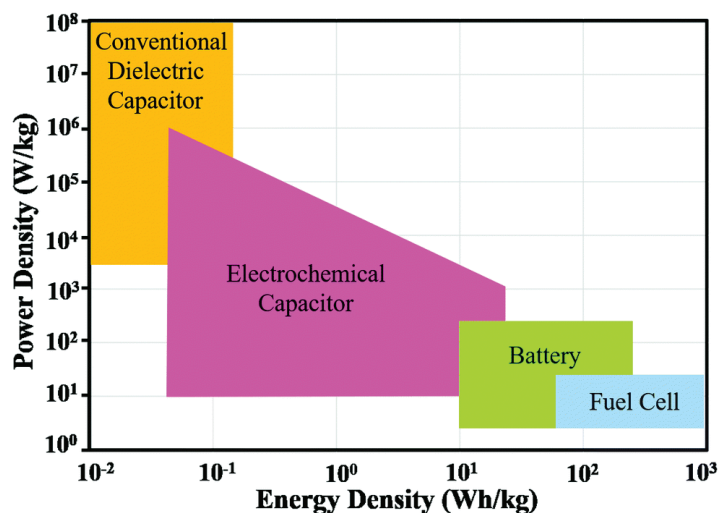


Figure 2.1. Comparison of power densities versus energy densities of various energy storage devices <sup>105</sup>.

### 2.1.1. Energy Storage Density Calculations

The energy storage density of a dielectric material can be dynamically calculated from its polarization-electric field hysteresis loops (P-E).<sup>106</sup> The total energy density ( $W_{tot}$ ) which is stored in the dielectric capacitor corresponds to the area between the charging curve and the polarization axis ( $W_{tot} = W_{rec} + W_{loss}$ ) in the P-E loop. However, due to the hysteresis behaviour of the dielectric material, the energy transferred to the system corresponds to the area between the discharging curve and the polarization axis ( $W_{rec}$ ) and the area between the charging and the discharging curve ( $W_{loss}$ ) corresponds to the energy loss which cannot be transferred to the system, i.e., the remaining energy density within the dielectric material (see Figure 2.2). The recoverable energy storage density ( $W_{rec}$ ) of dielectric materials is calculated as follows,

$$W_{rec} = \int_{P_r}^{P_m} E dP$$

Where  $P_m$  and  $P_r$  are the maximum and remnant polarization, respectively. Therefore, in order to achieve high energy storage density, the dielectric should have large maximum polarization ( $P_m$ ) and dielectric breakdown field ( $E_b$ ) and low remnant polarization ( $P_r$ ). In addition, low hysteresis loss is required to obtain high efficiency. Energy efficiency ( $\eta$ ) which is expressed by the formula  $\eta = W_{rec}/W_{tot}$ .

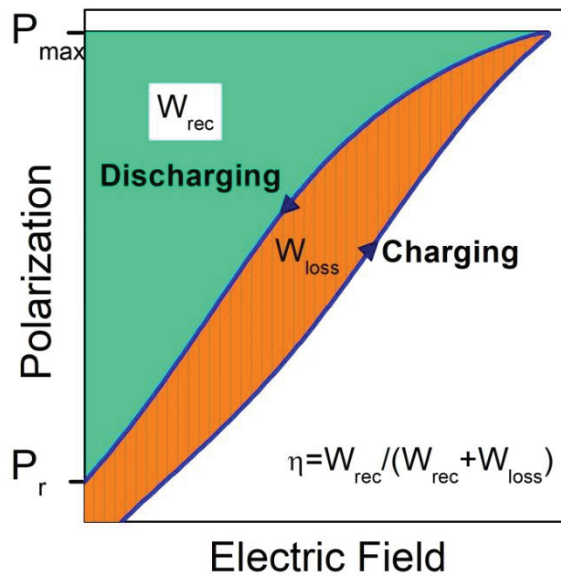


Figure 2.2. Schematic demonstration of energy storage areas within a polarization-electric field (P-E) loop.

## 2.1.2. Energy Storage Properties of Different Types of Dielectrics

Dielectrics can be grouped into four different classes according to their P-E behavior namely, (1) linear dielectrics (2) normal ferroelectrics, (3) relaxor ferroelectrics and (4) antiferroelectrics (Figure 2.3). For energy storage applications, linear dielectric materials <sup>107</sup> have some advantages due to their high efficiency (no hysteresis loss) and dielectric breakdown strength. However, linear dielectrics have lower polarization and dielectric constant compared to ferroelectric, relaxor ferroelectric or anti-ferroelectric <sup>108</sup> materials. Normal ferroelectrics have a relatively large polarization and a breakdown strength, but their high remnant polarization or high hysteresis loss cause a lower recoverable energy storage density and efficiency. Relaxor ferroelectrics (RFE) have lower remnant polarizations and smaller hysteresis loss which result in relatively high energy storage density and efficiency, respectively. Since antiferroelectrics have low remnant polarization and high maximum polarization, high recoverable energy storage is expected for them. However, they have relatively low efficiency due to their strong double hysteresis characteristic. Ergodic relaxor ferroelectrics also have a similar double-loop hysteresis behavior, which is associated with electric-field induced transition of weakly polar nano-domains to ferroelectric domains, but compared to antiferroelectrics, they have higher efficiency due to their slimmer loop. Therefore, ergodic relaxor materials have a remarkable importance in energy storage applications. In Figure 2.4 (in the middle), the domain structure and P-E behaviour of an ergodic relaxor is depicted.

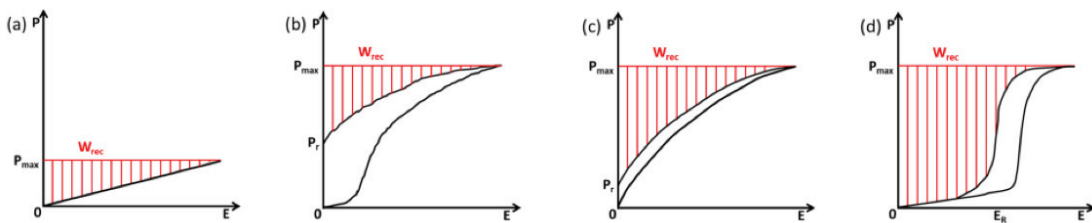


Figure 2.3. P-E characteristics of (a) linear, (b) ferroelectric, and (c) relaxor (d) antiferroelectric dielectrics. Shaded areas represent energy storage capacity of each dielectric <sup>109</sup>.

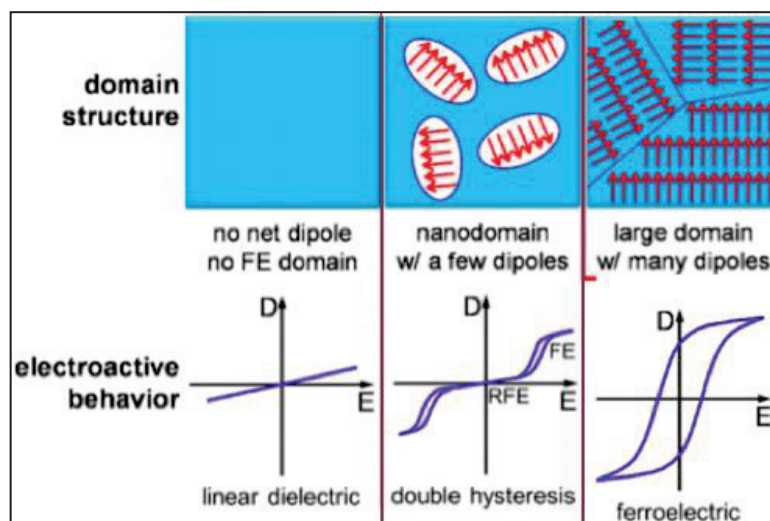


Figure 2.4. Domain structures and P-E behavior of linear dielectrics, antiferroelectric relaxors and ferroelectrics <sup>110</sup>.

### 2.1.3. Lead Free Energy Storage Materials

Although they are toxic and environmentally harmful, lead-based ceramics are still studied, produced and marketed due to their high energy storage properties, such as  $W_{REC} = 7.63 \text{ J/cm}^3$  in bulk form <sup>111</sup> and  $W_{REC} = 53 \text{ J/cm}^3$  in film form <sup>112</sup>. On the other hand, the dramatic increase in lead-free energy storage ceramics, with almost twice as much study in the last decade as the previous year, is critical to replacing lead-based materials <sup>105</sup>. Reported details of various lead free ferroelectric ceramics according to their energy storage properties are listed in the Table 2.1 and Table 2.2.

Among lead-free ferroelectric ceramics,  $\text{AgNbO}_3$  (AN) based ceramics are known to have the highest energy storage densities up to  $5.2 \text{ J/cm}^3$  <sup>113</sup> but show moderate efficiency between 56-69% and these values are obtained at high electric fields between 150-290 kV/cm. On the other hand, AN-based ceramics are more costly produced and require sintering process in an oxygen atmosphere. Also  $\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$  (KNN) based ceramics have very close energy storage properties with AN-based ones ( $W_{REC} = \sim 4 \text{ J/cm}^3$  and  $\eta = 52\text{-}62\%$ ) at high electric fields 300-400 kV/cm. Although  $\text{BaTiO}_3$ -based solid solutions lag behind others in terms of energy storage properties, it is seen that  $\text{BaTiO}_3\text{-BiMeO}_3$  solid solutions <sup>114</sup> approach the energy storage properties

of NBT-based ceramics by gaining the relaxor characteristic with BiMeO<sub>3</sub> (Me represents cations such as Zr, Nb, Mg etc.) components.

Na<sub>0.5</sub>Bi<sub>0.5</sub>TiO<sub>3</sub> (NBT)-based systems have been studied intensively in recent years not only for their piezoelectric properties but also as candidates for energy storage. NBT has large polarization compared to other BaTiO<sub>3</sub> (BT) and K(Na,Nb)O<sub>3</sub> (KNN) based Pb-free materials and large coercive field ( $E_c \sim 73$  kV/cm). However, they have relatively weak piezoelectric properties because of their large coercive field making it difficult to polarize the material <sup>115</sup>. In order to overcome this problem, various solid solutions with different end members such as BaTiO<sub>3</sub> <sup>116</sup>, K<sub>0.5</sub>Bi<sub>0.5</sub>TiO<sub>3</sub> (KBT) <sup>117</sup>, KNaNbO<sub>3</sub> <sup>118</sup>, SrTiO<sub>3</sub> <sup>119</sup> are formulated with NBT based ceramics. Examples of these NBT-based solid solutions reported with high energy storage properties are listed in Table 2.2. The comparison of energy storage properties of AN, NBT, BT, KNN and NN based ferroelectrics is given in Figure 2.5 in terms of; (a)  $W_{REC}$  vs efficiency, (b)  $W_{REC}/E$  vs efficiency, (c)  $W_{REC}$  vs applied electric field and (d)  $W_{REC}/E$  vs applied electric field. These figures show plots of the data from Table 2.1 and Table 2.2. It is striking that the most optimal values of energy storage density per unit electric field and efficiency belongs to NBT-based ceramics. Therefore, NBT-based materials are among the most studied lead-free energy stored ceramics.

Table 2.1. Energy storage densities of AN, BT, KNN and NN based ceramics <sup>105</sup>.

<i>Base</i>	<i>Composition</i>	$W_{REC}$ <i>J/cm<sup>3</sup></i>	$\eta$ <i>(%)</i>	$E$ <i>kV/cm</i>	$W_{REC}/E$ <i>(C/cm<sup>2</sup>)</i>	<i>Ref.</i>
AN	(Ag <sub>1-2x</sub> Sr <sub>x</sub> )NbO <sub>3</sub>	2.9	56	190	15.26	120
AN	Ag(Nb <sub>1-x</sub> Ta <sub>x</sub> )O <sub>3</sub>	4.2	69	233	18.03	108
AN	AgNbO <sub>3</sub> -Mn	2.5	56	150	16.67	121
AN	Ag(Nb <sub>1-x</sub> W <sub>x</sub> )O <sub>3</sub>	3.3	56	188	17.55	122
AN	AgNbO <sub>3</sub>	2.1	50	175	12	123
AN	Ag <sub>1-3x</sub> Bi <sub>x</sub> NbO <sub>3</sub>	2.6	86	200	13	124
AN	Ag <sub>0.97</sub> La <sub>0.01</sub> NbO <sub>3</sub>	3.2	62	190	16.84	125
AN	Ag <sub>0.94</sub> La <sub>0.02</sub> NbO <sub>3</sub>	4.4	73	270	16.3	126
AN	Ag <sub>0.88</sub> Gd <sub>0.04</sub> NbO <sub>3</sub>	4.5	65	290	15.52	127
AN	0.99AgNbO <sub>3</sub> -0.01Bi(Zn <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	4.6	57.5	220	20.91	128
AN	(Sm <sub>0.02</sub> Ag <sub>0.94</sub> )(Nb <sub>0.9</sub> Ta <sub>0.1</sub> )O <sub>3</sub>	4.87	63.5	280	17.39	129
AN	(Sm <sub>0.03</sub> Ag <sub>0.91</sub> NbO <sub>3</sub>	5.2	68.5	290	17.93	113
BT	0.9BaTiO <sub>3</sub> -0.1Bi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub> -Mn	1.7	90	210	8.1	130
BT	BaTiO <sub>3</sub> -xBi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	1.13	92	143	7.9	131
BT	BaTiO <sub>3</sub> -0.12Bi(Mg <sub>1/2</sub> Ti <sub>1/2</sub> )O <sub>3</sub>	1.18	88	224	5.27	132
BT	BaTiO <sub>3</sub> -xBiYbO <sub>3</sub>	0.71	82.6	93	7.63	133
BT	0.9BaTiO <sub>3</sub> -0.1(Bi <sub>0.9</sub> Na <sub>0.1</sub> )(In <sub>0.8</sub> Zr <sub>0.2</sub> )O <sub>3</sub>	1.33	88	180	7.39	134
BT	BaTiO <sub>3</sub> /Al <sub>2</sub> O <sub>3</sub> -SiO <sub>2</sub> -ZnO	0.83	70	150	5.53	135
BT	BaTiO <sub>3</sub> /SrTiO <sub>3</sub>	0.198	90	47	4.21	136
BT	BaTiO <sub>3</sub>	1.24	85.6	90	13.78	137
BT	BaTiO <sub>3</sub> +0.25wt%MgO	0.9	73.3	130	6.92	138
BT	BaTiO <sub>3</sub> /La <sub>2</sub> O <sub>3</sub> /SiO <sub>2</sub>	0.54	85.7	136	3.97	139
BT	Ba <sub>0.7</sub> Ca <sub>0.3</sub> TiO <sub>3</sub>	1.41	61	150	9.4	140
BT	0.85(BaZr <sub>0.2</sub> Ti <sub>0.8</sub> )O <sub>3</sub> -0.15(Ba <sub>0.7</sub> Ca <sub>0.3</sub> )TiO <sub>3</sub>	0.68	72	170	4	103c
BT	0.88BaTiO <sub>3</sub> -0.12Bi(Mg <sub>1/2</sub> Ti <sub>1/2</sub> )O <sub>3</sub>	1.6	99.6	150	10.67	141
BT	0.8BaTiO <sub>3</sub> -0.2BiNbO <sub>4</sub>	0.31	99.7	117	2.65	142
BT	0.9BaTiO <sub>3</sub> -0.1Bi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	1.7	90	210	8.1	130
BT	0.86BaTiO <sub>3</sub> -0.14Bi(Zn <sub>1/2</sub> Ti <sub>1/2</sub> )O <sub>3</sub>	0.81	94	120	6.75	143
BT	0.85BaTiO <sub>3</sub> -0.15Bi(Mg <sub>1/2</sub> Zr <sub>1/2</sub> )O <sub>3</sub>	2.9	86.8	280	10.36	144
BT	0.875BaTiO <sub>3</sub> -0.125Bi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	1.89	83	240	7.88	145
BT	0.88BaTiO <sub>3</sub> -0.12Bi(Zn <sub>1/2</sub> Zr <sub>1/2</sub> )O <sub>3</sub>	0.758	98	100	7.58	146
BT	0.9BaTiO <sub>3</sub> -0.1Bi(Ni <sub>1/2</sub> Sn <sub>1/2</sub> )O <sub>3</sub>	2.526	93.9	240	10.53	114
KNN	(K <sub>0.5</sub> Na <sub>0.5</sub> )NbO <sub>3</sub> -xBi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	4.08	62.7	300	13.6	147
KNN	(K <sub>0.5</sub> Na <sub>0.5</sub> )NbO <sub>3</sub> -xSrTiO <sub>3</sub>	4.03	52	400	10.08	148
NN	0.93NaNbO <sub>3</sub> -0.07Bi(Mg <sub>1/2</sub> Zr <sub>1/2</sub> )O <sub>3</sub>	2.31	80.2	255	9.06	149
NN	0.9NaNbO <sub>3</sub> -0.1Bi(Mg <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	2.8	82	300	9.33	150
NN	0.80NaNbO <sub>3</sub> -0.20SrTiO <sub>3</sub>	3.02	80.7	323	9.35	151
NN	0.86NaNbO <sub>3</sub> -0.14(Bi <sub>0.5</sub> Na <sub>0.5</sub> )HfO <sub>3</sub>	3.51	80.1	350	10.03	152
NN	Na <sub>0.7</sub> Bi <sub>0.1</sub> NbO <sub>3</sub>	3.44	80.7	250	13.76	153

Table 2.2. Energy storage properties of NBT based ferroelectric ceramics <sup>105</sup>.

<i>Base</i>	<i>Composition</i>	$W_{REC}$ <i>J/cm<sup>3</sup></i>	$\eta$ <i>(%)</i>	$E$ <i>kV/cm</i>	$W_{REC}/E$ <i>(C/cm<sup>2</sup>)</i>	<i>Ref.</i>
NBT	NBT-6BT-xKNbO <sub>3</sub>	0.89	71.7	100	8.9	154
NBT	(0.7 NBT-0.3Bi <sub>0.2</sub> Sr <sub>0.7</sub> TiO <sub>3</sub> )-xNaNbO <sub>3</sub>	1.03	85.8	85	12.12	155
NBT	NBT-6BT-0.05K <sub>0.5</sub> Na <sub>0.5</sub> NbO <sub>3</sub>	0.9		100	9	156
NBT	Bi <sub>0.487</sub> Na <sub>0.427</sub> K <sub>0.06</sub> Ba <sub>0.026</sub> TiO <sub>3</sub> -xCeO <sub>2</sub>	0.94	54	75	12.53	157
NBT	NBT-BT-xNN	0.71	66	70	10.14	158
NBT	(0.9-x)[ NBT-8BT]-xSrZrO <sub>3</sub> -0.10NaNbO <sub>3</sub>	0.95	66	110	8.64	159
NBT	0.97(NBT-6BT)-0.03CZ	0.7		70	10	160
NBT	Ca and Zr doped NBT-BT	0.87	82.37	93.5	9.3	161
NBT	0.85[(1-x)NBT-xBT]-0.15Na <sub>0.73</sub> Bi <sub>0.09</sub> NbO <sub>3</sub>	1.4	66.3	142	9.86	162
NBT	NBT-ST-5AN	2.26	77.2	150	15.07	163
NBT	0.65NBT-0.35SrTiO <sub>3</sub>	0.95	-	90	10.56	164
NBT	Nb doped 0.6NBT-0.4SrTiO <sub>3</sub>	1.82	81	140	13	165
NBT	0.91(0.76NBT-0.24SrTiO <sub>3</sub> )-0.09AgNbO <sub>3</sub>	2.26	77.2	150	15.07	163
NBT	0.5 NBT -0.5SrTiO <sub>3</sub> -+3wt% MgO	2.17	50	200	10.85	166
NBT	0.99(0.72 NBT -0.28SrTiO <sub>3</sub> )-0.01BiAlO <sub>3</sub>	1.24	71	120	10.33	167
NBT	0.6 NBT -0.4Sr <sub>0.7</sub> Bi <sub>0.2</sub> TiO <sub>3</sub>	2.2	75	160	13.75	168
NBT	0.55 NBT -0.45Sr <sub>0.8</sub> Bi <sub>0.1</sub> TiO <sub>3</sub>	1.1	73	80	13.75	169
NBT	(1-x)( NBT -7BT)-xNa <sub>0.73</sub> Bi <sub>0.09</sub> NbO <sub>3</sub>	0.9	69.3	127	7.09	162
NBT	Nd doped NBT -8BT	1.53	93	73	20.96	170
NBT	(1-x)( NBT -5BT)-xBi(Zn <sub>2/3</sub> Nb <sub>1/3</sub> )O <sub>3</sub>	2.83	67	180	15.72	171
NBT	(1-x)( NBT -8BT)-xNaTaO <sub>3</sub>	1.2	74.8	100	12	172
NBT	NBT -8BT-5BLT	1.03	70	89.22	11.55	173



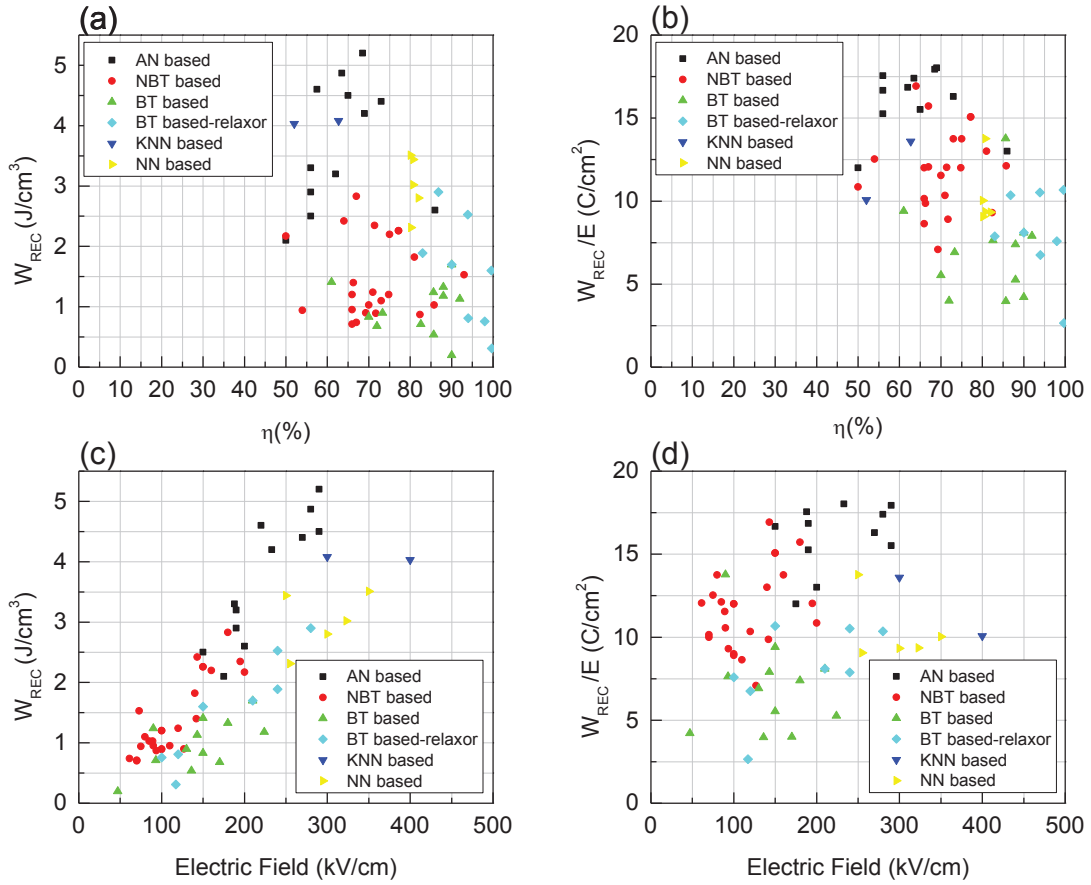


Figure 2.5. Comparison of energy storage properties of AN, NBT, BT, KNN and NN based ferroelectrics; (a)  $W_{\text{REC}}$  vs efficiency, (b)  $W_{\text{REC}}/E$  vs efficiency, (c)  $W_{\text{REC}}$  vs applied electric field and (d)  $W_{\text{REC}}/E$  vs applied electric field (see references in Table 2.1 and Table 2.2).

In particular, NBT-BT solid solution with a morphotropic phase boundary (MPB) between rhombohedral and tetragonal phases (Figure 2.6) is established as one of the most promising candidates for piezoelectric<sup>174</sup> applications. For the energy storage,  $(1-x)\text{NBT}-x\text{BT}$  systems which have a rhombohedral-tetragonal MPB between  $x = 0.06$  and  $0.11$ <sup>175</sup>, have been actively studied<sup>176</sup>. Previously, NBT-BT was thought to be in the tetragonal antiferroelectric (AFE) phase above the depolarization temperature ( $T_d$ ) because the hysteresis loops were pinched<sup>115b</sup>, however today's most accepted view holds that NBT-BT is only a relaxor with coexisting rhombohedral R3c and tetragonal P4bm polar nanoregions<sup>177</sup>. According to unpoled NBT-BT phase diagram<sup>178</sup> (Figure 2.6(b)),  $x = 0.06-0.11$  compositions (MPB region) exhibit weakly polar P4bm tetragonal phase which corresponds to relaxor phase above  $T_d$ .

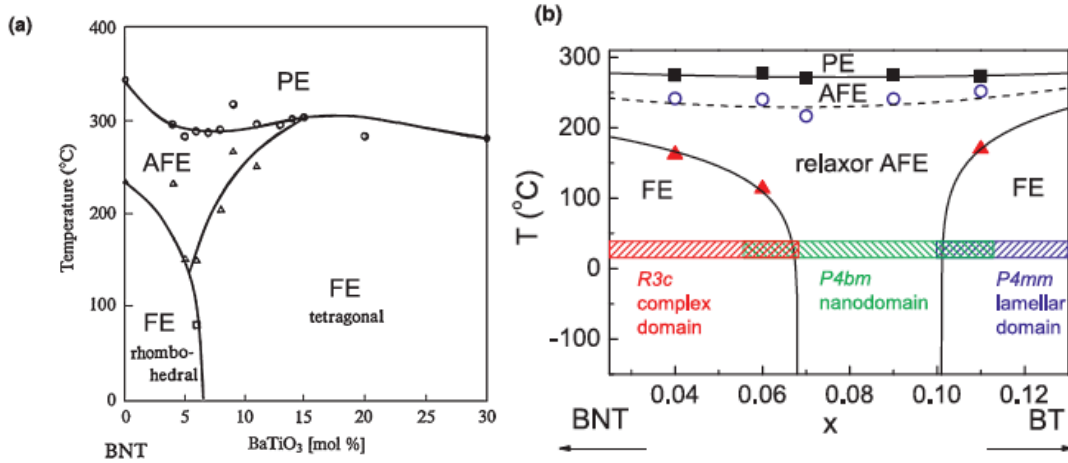


Figure 2.6. The phase diagram for (a) poled <sup>179</sup> and (b) unpoled <sup>178</sup>  $(1-x)(\text{Na}_{0.5}\text{Bi}_{0.5})\text{TiO}_3-x\text{BaTiO}_3$  (NBT–BT) binary system.

The origin of pinched, double P–E loops observed above  $T_d$  is attributed to the electric field induced transformation from weakly polar relaxor phase to the ferroelectric phase <sup>180</sup>. On the other hand, it was observed that tetragonal P4bm phase dominates and rhombohedral R3c phase disappears above  $T_d$  <sup>180</sup>, therefore, above  $T_d$  electric field induced transition occurs from weakly polar P4bm to strongly polar P4mm and shows ergodic relaxor character, as the initial P4bm state is restored after the removal of the electric field. The field dependence behavior of the material below  $T_d$  is different due to the presence of polar R3c phase: under electric field, the material transforms into a ferroelectric with long range order and large remnant polarization which is unfavorable for energy storage. This is the nonergodic relaxor behavior as the field-induced ferroelectric state is retained <sup>158</sup>. In order to obtain an ergodic relaxor with double-like P–E loops with low  $P_r$  and high  $P_m$ , favorable for energy storage, the weakly polar phase should be enhanced. For the  $(1-x)\text{NBT}-x\text{BT}$  system, in the  $x = 0.07-0.09$  range, it is demonstrated that the amount of the weakly polar tetragonal phase is relatively larger compared to that of the rhombohedral phase <sup>178</sup>.

#### 2.1.4. The Material Selection for This Study: BLT substituted NBT-BT Ceramics

By adding a third component to the base NBT–BT composition it is possible to reduce the depolarization temperature below room temperature and thereby to obtain an ergodic relaxor behavior over a wide temperature range. Among different substitutions,

Bi-based titanates have not been studied a lot. It was demonstrated that in ternary systems NBT-6BT- $x$ Bi(MeTi)O<sub>3</sub>, in which Me is Zn, Ni, Mg, Co at the B-site, ergodic relaxor phase with a  $T_d$  below the room temperature can be induced with a little amount of Bi(Me,Ti)O<sub>3</sub> (around 2%–3%) and consequently, large strain values could be obtained as in <sup>181</sup>. In a similar study, Bi(MgTi)O<sub>3</sub> modified BNT-8BT composition has been shown to have improved energy storage properties <sup>176b</sup>. However, energy storage properties were not reported in that study. In a similar study Bi(Mg,Ti)O<sub>3</sub> modified NBT-8BT composition has been shown to have improved energy storage properties <sup>176b</sup>.

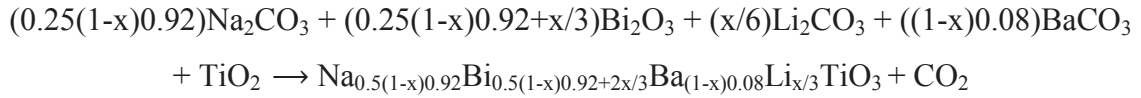
Among Bi(Me,Ti)O<sub>3</sub>, Bi(Li<sub>1/3</sub>Ti<sub>2/3</sub>)O<sub>3</sub> (BLT) does not form a stable phase at ambient pressure however it has been used to form solid solutions with BaTiO<sub>3</sub> <sup>182</sup>. Increasing BLT content in the solid solution was shown to induce relaxor ferroelectricity <sup>182</sup>. Only study where Li containing bismuth titanate was used in a solid solution with NBT involves Li at the A-site: NBT-BT-Bi<sub>0.5</sub>Li<sub>0.5</sub>TiO<sub>3</sub> <sup>183</sup>. In contrast to Bi(Me,Ti)O<sub>3</sub>, more than 10% Bi<sub>0.5</sub>Li<sub>0.5</sub>TiO<sub>3</sub> could be substituted and depolarization temperature still remains above the room temperature <sup>183</sup>, showing that Li at the A-site is not that effective in suppressing ferroelectricity compared to that at the B-site.

In this study, we aim to improve the energy storage properties of BNT-BT ceramics by introducing a Bi-based titanate, Bi(Li<sub>1/3</sub>Ti<sub>2/3</sub>)O<sub>3</sub>, due to its larger amount of weakly polar tetragonal phase which might more easily facilitate relaxor ferroelectricity upon BLT substitution. To enhance energy storage density, Bi(Li<sub>1/3</sub>Ti<sub>2/3</sub>)O<sub>3</sub> (BLT), which is expected to weaken the polar phase and provide ergodic relaxor behavior, is substituted to the base system in low amounts ( $x = 2\%$ ,  $3\%$  and  $5\%$ ). The change of the (micro) structural, dielectric, ferroelectric and energy storage properties of all compositions are thoroughly investigated.

## 2.2. Experimental Procedure for NBT-BT-BLT Ceramics

The conventional solid state reaction method was used to prepare the ceramics with the composition (1- $x$ )(0.92NBT-0.08BT)- $x$ BLT ( $x = 0, 0.02, 0.03$  and  $0.05$ ). The high purity metal oxides and carbonates Bi<sub>2</sub>O<sub>3</sub> (99.9%), Na<sub>2</sub>CO<sub>3</sub> (99.8%), Li<sub>2</sub>CO<sub>3</sub> (99.999%), BaCO<sub>3</sub> (99%) and TiO<sub>2</sub> (99.9%) were used as starting raw materials. All powders were pre-dried at 200 °C for overnight. The powder was mixed in a nalgene

bottle using zirconia balls in ethanol media by planetary ball milling (Retsch PM100) for 18 h. After drying, the powder was ground in an agate mortar. The reaction of calcination process was carried out in pellet form at 850 °C for 2 h in order to obtain the composition  $[(1-x)(0.92\text{Na}_{0.5}\text{Bi}_{0.5}\text{TiO}_3-0.08\text{BaTiO}_3)-x\text{Bi}_{2/3}\text{Li}_{2/3}\text{TiO}_3]$  phase;



After the calcination, the pellets were again ground into powder in an agate mortar and then powder was ball milled for 18h, in ethanol. After drying, the powders were mixed thoroughly with 4 wt% polyvinyl alcohol (PVA) binder and water solution and then ball milled for 18h. Then powders were dried, sieved and pressed into pellets (~10 mm in diameter, ~1 mm thick) under a pressure ~100 MPa. Then the PVA binder was burned out at 600 °C for 4 h. After burnout the pellets were sintered at 1100 °C for 2 h with a heating rate 5 °C/min. To minimize the evaporation of volatile Na and Bi, the pellets were fully embedded in the powder of the same composition and alumina crucible was covered during sintering.

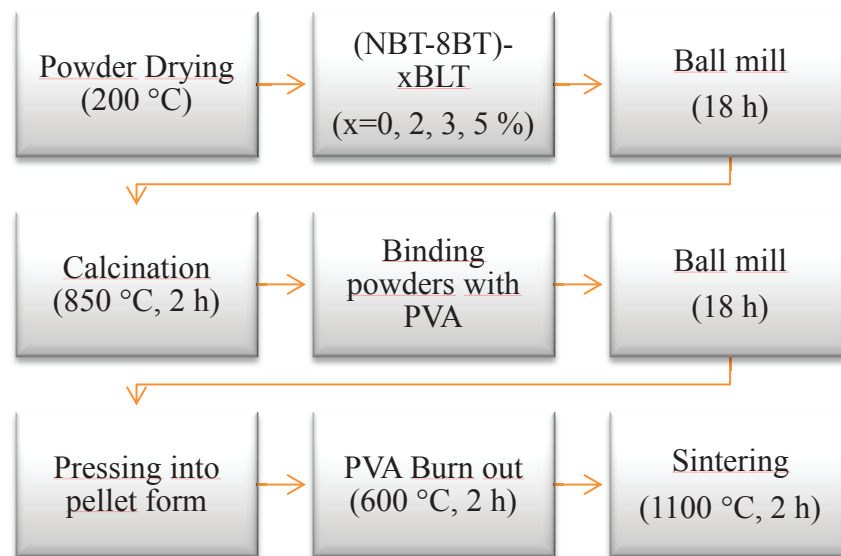


Figure 2.7. Schematic illustration of the synthesis routes of NBT-8BT-xBLT ceramics.

Table 2.3. The list of the samples with different BLT content and abbreviations  $(1-x)(0.92\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3-0.08\text{BaTiO}_3)-x\text{Bi}(\text{Li}_{1/3}\text{Ti}_{2/3})\text{O}_3$  (NBT-8BT-xBLT).

Base ceramic	BLT composition (x)	Sample name
NBT-8BT	0	NBT-8BT
	0.02	NBT-8BT-2BLT
	0.03	NBT-8BT-3BLT
	0.05	NBT-8BT-5BLT

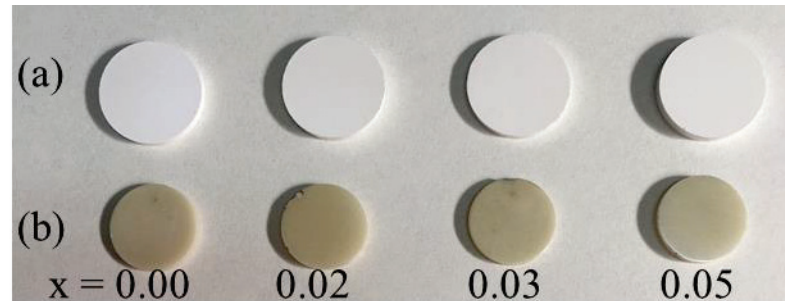


Figure 2.8. Photographs of the samples (NBT-8BT-xBLT) in pellet form (a) after calcination and (b) after sintering.

X-ray diffraction (XRD) experiments were carried out with a Philips X'Pert Pro diffractometer having Cu  $K_{\alpha}$  radiation and a Bruker D2 Phaser. The microstructure of ceramics was studied on thermally etched (at 1000 °C, for 1h) surfaces using a scanning electron microscope (SEM, FEI QUANTA 250 FEG). For dielectric and polarization-electric field measurements, both surfaces of polished pellets were coated with silver paste and was dried at 200 °C for 20 min. The temperature dependence of dielectric constant and loss was measured at different frequencies (1, 10 and 100 kHz) by a Keysight E4980AL LCR meter between room temperature and 250 °C (this is the maximum temperature of the setup). Polarization-electric field (P-E) loops were obtained by using an Aixacct TF 1000 combined with a high voltage amplifier (TREK 610E). Displacement was also collected simultaneously by a laser-interferometer (SIOS). For the temperature dependent dielectric and hysteresis measurements, sample holder of TF1000 was used and temperature was controlled by a temperature controller.

In order to determine the depolarization temperature ( $T_d$ ), samples were poled under a dc field of 30–40 kV/cm at 50 °C for 20 min in silicone oil bath and cooled down to the room temperature in the field.

## 2.3. Results and Discussions

### 2.3.1. Phase and Structure Properties of NBT-BT-BLT Ceramics

XRD patterns of all compositions are shown in Figure 2.9. All samples show peaks that belong to perovskite structure and no impurity peaks could be observed. Since the starting 0.92NBT–0.08BT composition is close to the MPB, a mixture of tetragonal and rhombohedral phases is expected for the samples. Rietveld refinements of the patterns were performed using GSAS II<sup>95</sup> to clarify the influence of BLT substitution on the evolution of the phase fractions of tetragonal P4bm and rhombohedral R3c phases and the lattice parameters. Refined patterns are included in appendix (see Figure A.1). These results are summarized in Table 2.4. All refinements have weighted profile R values ( $R_{wp}$ ) below 7.5%. Refinements results, summarized in Table 2.4, show that with the BLT substitution, the weight fraction of the tetragonal P4bm phase increases from 50.4% for the  $x = 0$  sample to 61%–64% for BLT substituted compositions. We have labeled the diffraction peaks in Figure 2.9b according to the refinements. In Figure 2.9b, in the  $2\theta$  range around 40° both tetragonal (201) and rhombohedral (202) peaks can be observed apart from a peak due to the  $K\alpha_2$  radiation. The broad peak around 46° corresponds to (002) peak of the tetragonal phase. The subtle reduction in (002) peak intensity with the addition of BLT can be associated with the increase in the tetragonal P4bm phase fraction<sup>158, 176c, 177, 180</sup> which is confirmed by the refinement results. Tetragonality ( $c/a$  ratio) of the tetragonal phase also slightly decreases with the BLT substitution similar to the case in  $\text{Bi}(\text{Mg}_{0.5}\text{Ti}_{0.5})\text{O}_3$  substituted NBT-BT samples<sup>184</sup>.

Table 2.4. Calculated phase fractions and lattice parameters of (1-x)(0.92NBT-0.08BT)-xBLT compositions.

Composition	Phase	Weight Fraction (%)	a (Å)	c (Å)	c/a	Rwp (%)
x = 0	R3c	49.6	5.5115 (3)	13.50886 (6)	2.451031	7.489
	P4bm	50.4	5.53197 (9)	3.97974 (8)	0.719407	
x = 0.02	R3c	39.0	5.52427 (2)	13.53601 (6)	2.45028	6.266
	P4bm	61.0	5.53725 (8)	3.95912 (6)	0.714998	
x = 0.03	R3c	36.4	5.52605 (2)	13.52795 (1)	2.448033	6.851
	P4bm	63.6	5.54027 (6)	3.96102 (5)	0.714951	
x = 0.05	R3c	35.9	5.53073 (4)	13.56487 (3)	2.452636	6.083
	P4bm	64.1	5.5458 (9)	3.9625 (8)	0.714505	

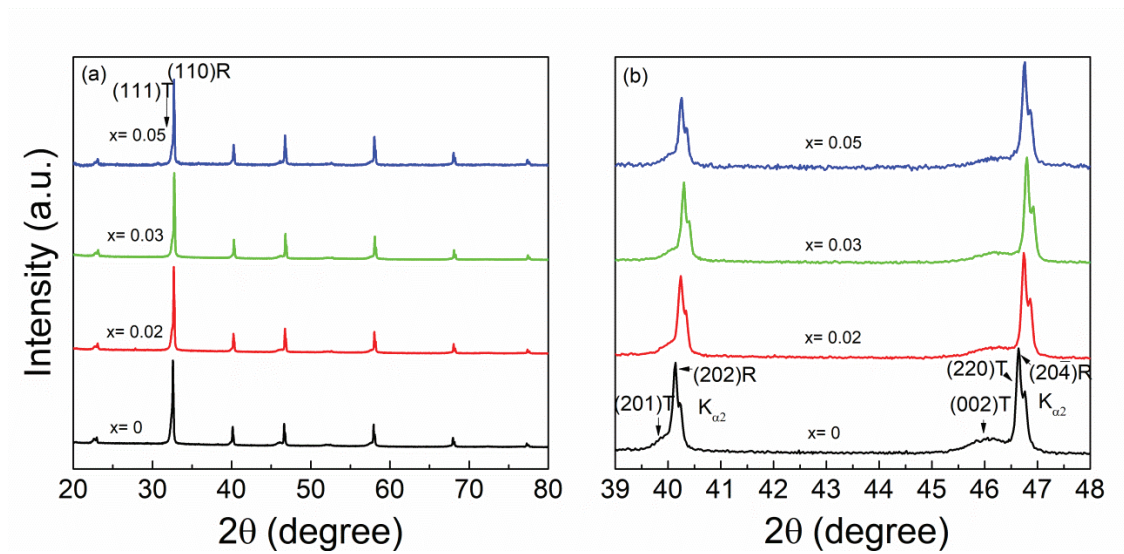


Figure 2.9. (a) XRD patterns of all (1-x)(0.92NBT-0.08BT)-xBLT compositions, (b) selected 2θ range between 39°- 48°.

### 2.3.2. Density and Microstructure of NBT-BT-BLT Ceramics

The bulk densities were measured as 5.48 g/cm<sup>3</sup>, 5.41 g/cm<sup>3</sup>, 5.63 g/cm<sup>3</sup> and 5.32 g/cm<sup>3</sup> for x = 0, 0.02, 0.03 and 0.05, respectively by using a density determination kit based on Archimedes principle, corresponding to relative densities of 93.38%, 91.64%, 95.21% and 89.53%. The SEM micrographs of all samples are presented in Figure 2.10. All compositions exhibit homogeneous and dense microstructures without secondary phases or pores. The average grain sizes, which were calculated by the linear intercept method are 1.45, 0.82, 0.65, 0.56 μm for BLT content x = 0, 0.02, 0.03 and



0.05, respectively. This means that BLT addition to NBT–BT system inhibits the grain growth, which will be discussed in further section.

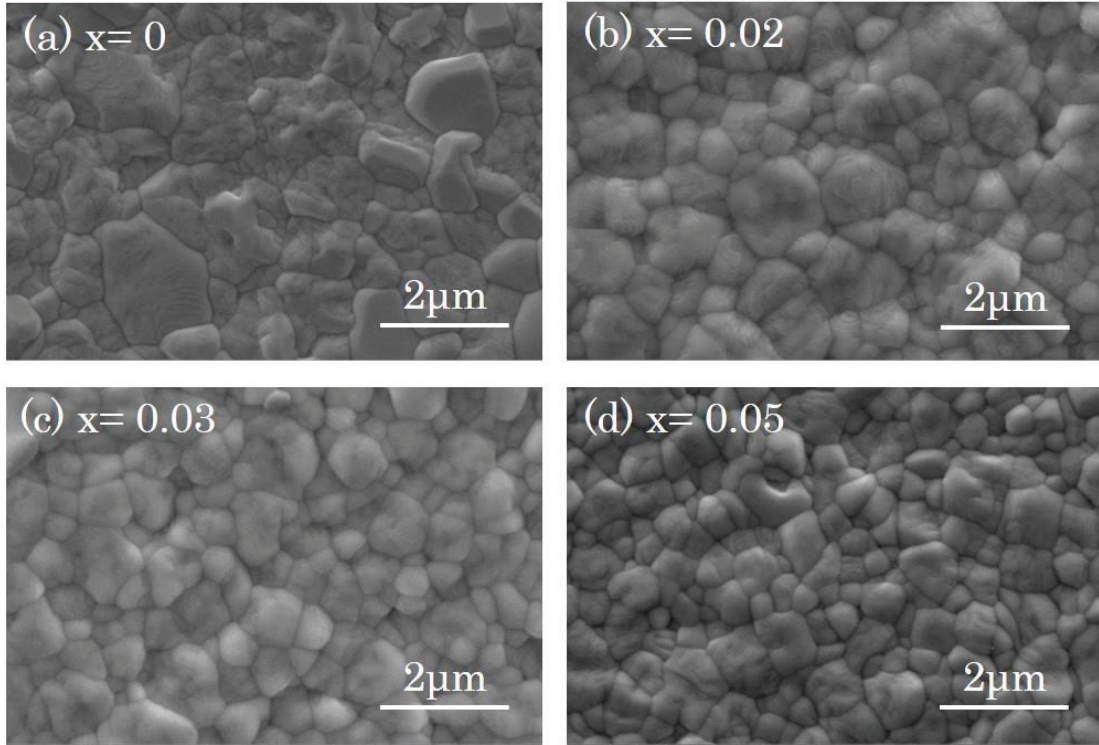


Figure 2.10. SEM surface images of  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  ceramics with (a)  $x=0$ , (b)  $x=0.02$ , (c)  $x=0.03$ , and (d)  $x=0.05$ .

### 2.3.3. Dielectric Properties of NBT-BT-BLT Ceramics

In Figure 2.11(b) and (d)–(f), dielectric constant and dielectric loss as a function of temperature measured at 1, 10 and 100 kHz are presented for unpoled  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  ( $x = 0, 0.02, 0.03$  and  $0.05$ ) ceramics. Temperature dependent dielectric constant of these unpoled ceramics shows an anomaly which is generally called as shoulder temperature ( $T_S$ ) in this temperature range.  $T_S$  was obtained at 1 kHz as  $\approx 140$  °C, 108 °C, 97 °C and 84 °C for  $x = 0, 0.02, 0.03$ , and  $0.05$  samples, respectively. There is frequency dispersion for unpoled ceramics up to this shoulder temperature due to the diffuse distribution of polar nano regions<sup>180</sup>. Its dependence on frequency and the gradual disappearance of the anomaly as the frequency increases indicate that  $T_S$  does not correspond to a phase transition<sup>154</sup>. According to an



impedance study<sup>185</sup> it is concluded that the two different sets of relaxation processes causes an anomaly at  $T_S$  in the dielectric constant, however, the origin of  $T_S$  is still under discussion. It is evident from Figure 2.11(a) that  $T_S$  and the magnitude of the dielectric constant both decrease with increasing BLT composition. The decrease of the dielectric constant might be due to the additional disorder introduced by BLT, decreasing further the correlations between the polar nanoregions<sup>163</sup>. The decrease of  $T_S$  with increasing of BLT composition might be attributed to the increase in the fraction of tetragonal weakly polar P4bm phase and to the decrease in the grain size of the samples, upon BLT substitution.

A peak is observed in the temperature dependent dielectric loss curves of the all unpoled samples as shown in Figure 2.11 (a). This temperature of this loss peak does not coincide with  $T_S$  and is frequency dependent. It shifts to lower temperatures as the BLT content increases, similar to the trend in  $T_S$ . Thus, the change in the fraction of the tetragonal weakly polar P4bm phase depending on the composition can be estimated from these anomalies/peaks. Determination of the depolarization temperature  $T_d$  is crucial to understand the temperature dependent ferroelectric behavior and thus energy storage properties. Depolarization temperature is where the transition from the field induced ferroelectric to weakly polar relaxor phase occurs<sup>186</sup>. We have done poling in order to determine  $T_d$ . The temperature dependent dielectric permittivity and loss of poled NBT–8BT sample is given in Figure 2.11 (c). A new peak appeared in dielectric loss at 59.6 °C which is marked as  $T_d$ . The dielectric constant become frequency dependent above  $T_d$ , consistent with the field induced ferroelectric to relaxor transition. Poled BLT substituted compositions did not show any additional peak upon doing suggesting that their  $T_d$  is lower than room temperature. This shows that BLT strongly suppresses field-induced ferroelectric phase similar to other  $\text{Bi}(\text{Me}_{0.5}\text{Ti}_{0.5})\text{O}_3$  (Me = Zn, Mg, Ni, Co) ceramics<sup>181</sup>.

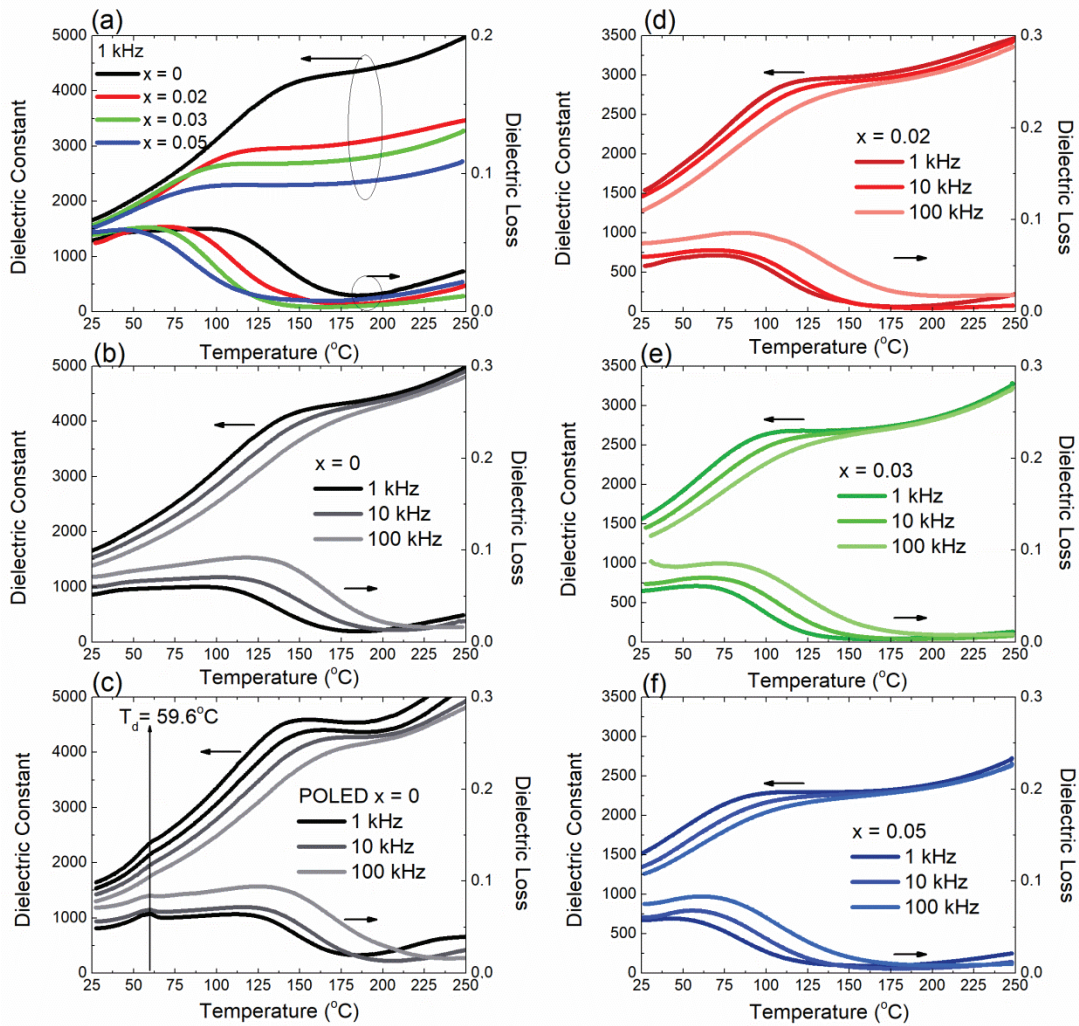


Figure 2.11. Temperature dependence of the dielectric constant and dielectric loss of  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  ceramics (a) for  $x=0, 0.02, 0.03$  and  $0.05$  compared at 1 kHz, (b) for unpoled  $x=0$  and (c) poled  $x=0$  (d)  $x=0.02$ , (e)  $x=0.03$ , and (f)  $x=0.05$  measured at 1, 10 and 100 kHz.

### 2.3.4. Ferroelectric and Piezoelectric Properties of NBT-BT-BLT Ceramics

Polarization-Electric field loops of all compositions measured at 1 Hz are shown in Figure 2.12(a). NBT-8BT ( $x = 0$ ) sample shows nonergodic behavior with normal ferroelectric-like hysteresis loop with a slightly pinched character and relatively low coercive field. As the depolarization temperature of the sample is just above the room temperature, the sample is close to the region where the transition from nonergodic to ergodic behavior takes place and therefore pinching already started at room temperature.

BLT containing compositions show ergodic relaxor character with pinched loops and very low  $P_r$ . Both  $P_r$  and  $E_c$  decreases abruptly with BLT substitution and further increase in the BLT content decreases them more. NBT.8BT.5BLT has a pinched and slim hysteresis loop with  $P_m = 30 \mu\text{C}/\text{cm}^2$ ,  $P_r = 3 \mu\text{C}/\text{cm}^2$  and  $E_c = 9.5 \text{ kV}/\text{cm}$ . Bai et al.<sup>181</sup> has used the well-known tolerance factor;

$$t = \frac{r_A + r_O}{\sqrt{2}(r_B + r_O)},$$

where  $r_A$ ,  $r_B$ , and  $r_O$  are the ionic radii of the ions at the A and B-sites and oxygen, respectively in  $\text{ABO}_3$  perovskite structure, as an indicator to estimate the amount of  $\text{Bi}(\text{Me},\text{Ti})\text{O}_3$  substitution that causes the transition from the non-ergodic to ergodic state upon  $\text{Bi}(\text{Me},\text{Ti})\text{O}_3$  substitution of NBT–6BT ceramics, where Me is Zn, Ni, Mg, Co at the B-site<sup>181</sup>. They show that as the tolerance factor of the Bi containing component gets lower, less amount of it will be sufficient to induce an ergodic state in the samples. For example, ergodic state was induced upon  $x = 2.75\%$   $\text{Bi}(\text{Zn}_{0.5}\text{Ti}_{0.5})\text{O}_3$  (BZT) substitution for  $(0.94-x)\text{NBT}-0.06\text{BT}-xBZT$ , when  $t = 0.9810$  (they assumed  $r_{\text{Bi}^{3+}} = 1.35 \text{ \AA}$ ). In our case, tolerance factor we calculated for 2% BLT substituted NBT–8BT is 0.98289, which is slightly higher than that of 2.75% BZT substituted NBT–BT. A 2% BLT substitution is already enough to induce an ergodic state, which is a bit less than expected amount by looking at the tolerance factor. This difference must be caused by higher BT in our samples, which contain higher weakly polar tetragonal phase, facilitating ergodic relaxor behavior. Transition from the non-ergodic to ergodic relaxor character is also reflected in the strain electric field (S–E) curves plotted in Figure 2.12(b). Slightly distorted ‘butterfly’ shaped strain curve with remnant strain (0.045%) and negative strain (0.075%) has been obtained for NBT–8BT, whereas ‘sprout’ shaped strain curves that is typical for ergodic relaxors have been obtained for all BLT substituted samples. Maximum strain was obtained as 0.2% for the NBT–8BT sample, while neither negative nor remnant strain has been observed in the strain curves of BLT substituted samples, consistent with the ergodic relaxor behavior. After NBT–8BT sample, largest strain was obtained for  $x = 0.02$  sample, followed by  $x = 0.03$  and  $x = 0.05$ . This trend must originate from the differences in the proximity of respective  $T_d$ ’s to room temperature. In NBT based Pb-free piezoelectrics, field induced strain is maximized near  $T_d$ <sup>187</sup>. All BLT substituted samples have  $T_d$ ’s below room temperature and as the BLT content increases,  $T_d$  is expected to decrease further away from the room temperature. We note that for the NBT–8BT ceramics, a slightly pinched loop at

room temperature like ours is uncommon but not unique<sup>158, 188</sup>. Depolarization temperature of our NBT–8BT ceramic is lower than most reports in the literature. This might originate from excess bismuth at the A-site, as Na<sup>+</sup> is more volatile than Bi<sup>3+</sup><sup>189</sup>. Even though the pellets were buried in the powder of the same composition during sintering, Bi and Na evaporation cannot be completely prevented. Slight Bi excess at the A-site of NBT–6BT has been shown to cause significant decrease in  $T_d$ <sup>189-190</sup>. This decrease was related to the decrease of oxygen vacancies as they delay depolarization<sup>190</sup>. As  $T_d$  decreases towards room temperature, even though non-ergodic behavior is dominant, transition into ergodic state must have been already started, evident from slightly decreased  $P_r$  and pinching of the otherwise non-ergodic looking hysteresis loop. Additionally, possibility of excess Bi in the samples might also explain the decreasing grain size with increasing BLT substitution if we also assume a higher Bi excess with increasing BLT substitution. As Bi excess results in a decrease of the oxygen vacancies and oxygen vacancies typically cause grain growth by enabling diffusion<sup>163</sup>, higher BLT containing samples will have smaller grains as in our case.

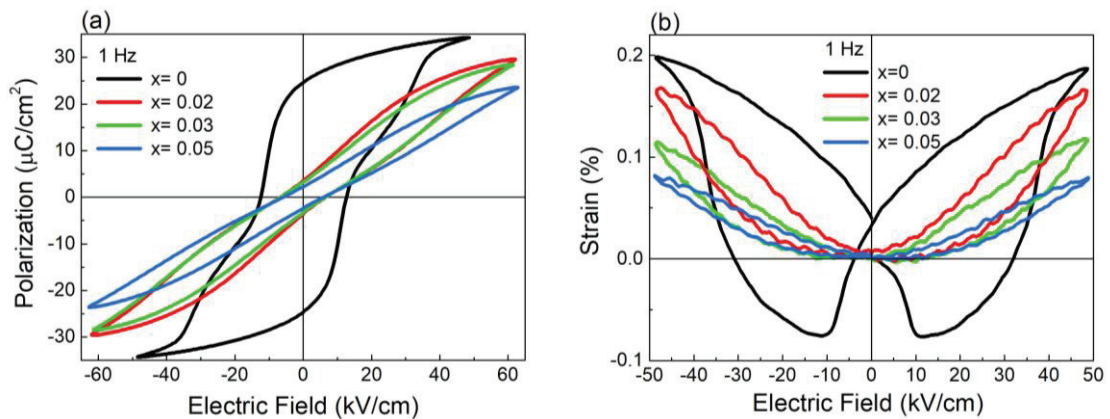


Figure 2.12. Composition dependent (a) polarization–electric field hysteresis loops and (b) strain–electric field loops of  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  ceramics at room temperature.

Figure 2.13 (a-d) presents polarization–electric field (P–E) and current–electric field (I–E) hysteresis loops of NBT–8BT and NBT–8BT–2BLT ceramics under different temperature. The maximum electric field is 48.5 kV/cm and 65 kV/cm for NBT–8BT and NBT–8BT–2BLT respectively. For NBT–8BT, as the temperature increases,  $P_{\max}$ ,  $P_r$  and  $E_c$  parameters gradually decreases as seen in (e). As temperature decreases from 50

$^{\circ}\text{C}$  to  $75\text{ }^{\circ}\text{C}$ , the  $P_r$  decreases dramatically from  $19.04$  to  $4.19\text{ }\mu\text{C}/\text{cm}^3$  where  $T_d = 59.6\text{ }^{\circ}\text{C}$  coincides this temperature range. And it is also observed that  $P_{\text{max}}$ , is almost stable at room temperature and  $50\text{ }^{\circ}\text{C}$ , while it decreases more rapidly above  $T_d$ .

As seen in (b), four current peaks ( $\pm E_1, \pm E_2$ ) emerge for the room temperature I-E loop of sample NBT-8BT. As the applied electric field increases, the weakly polar tetragonal phase (P4mm) in the NBT-8BT structure transfer to the strongly polar ferroelectric phase (P4bm) and therefore the peak in the I-E loop is generated at  $E_2$ <sup>158</sup>. During the opposite electric field application, the previous state with weakly polar phases is regained at  $-E_1$ <sup>158</sup>. It is clearly seen from Figure 2.13 (b) that as the temperature increases the peaks at  $\pm E_1, \pm E_2$  start to disappear and new four peaks at  $\pm E_3, \pm E_4$  start to appear. Firstly, when we look at the temperature-dependent behavior of  $E_2$ , which causes electric field induced the weakly polar tetragonal phases to the ferroelectric phase transition, it is seen that the  $E_2$  value does not change much but completely disappears at temperatures above  $100\text{ }^{\circ}\text{C}$  (Figure 2.13 (f)). It can be said that at higher temperature the weakly polar phase becomes unstable<sup>180</sup> and cannot be transferred to the polar phase by electric field inducing, therefore,  $E_2$  disappears. On the other hand, the temperature dependent behavior of  $E_1$  peak also provides information about the electric field induced phase transformation.

The peak  $E_1$  corresponds to the  $E_c$  where domain switching occurs in a normal ferroelectric material. However, in a material which has pinched hysteresis loop,  $E_1$  does not correspond exactly to  $E_c$ . It is seen from Figure 2.13 (b) and (f) that  $E_1$  peak shifts to the negative electric field side above  $T_d$  and decreases linearly with increasing temperature. The linear interception with the temperature axis, where  $E_1$  corresponds to zero electric field, it is realized that the temperature also corresponds to the depolarization temperature obtained from dielectric measurements. This means that; Because the strongly polar ferroelectric domains induced by the electric field become unstable above the depolarization temperature, the transition from polar phase to weakly polar phase occur only while unloading cycle of electric field at  $-E_1$ <sup>180</sup>.



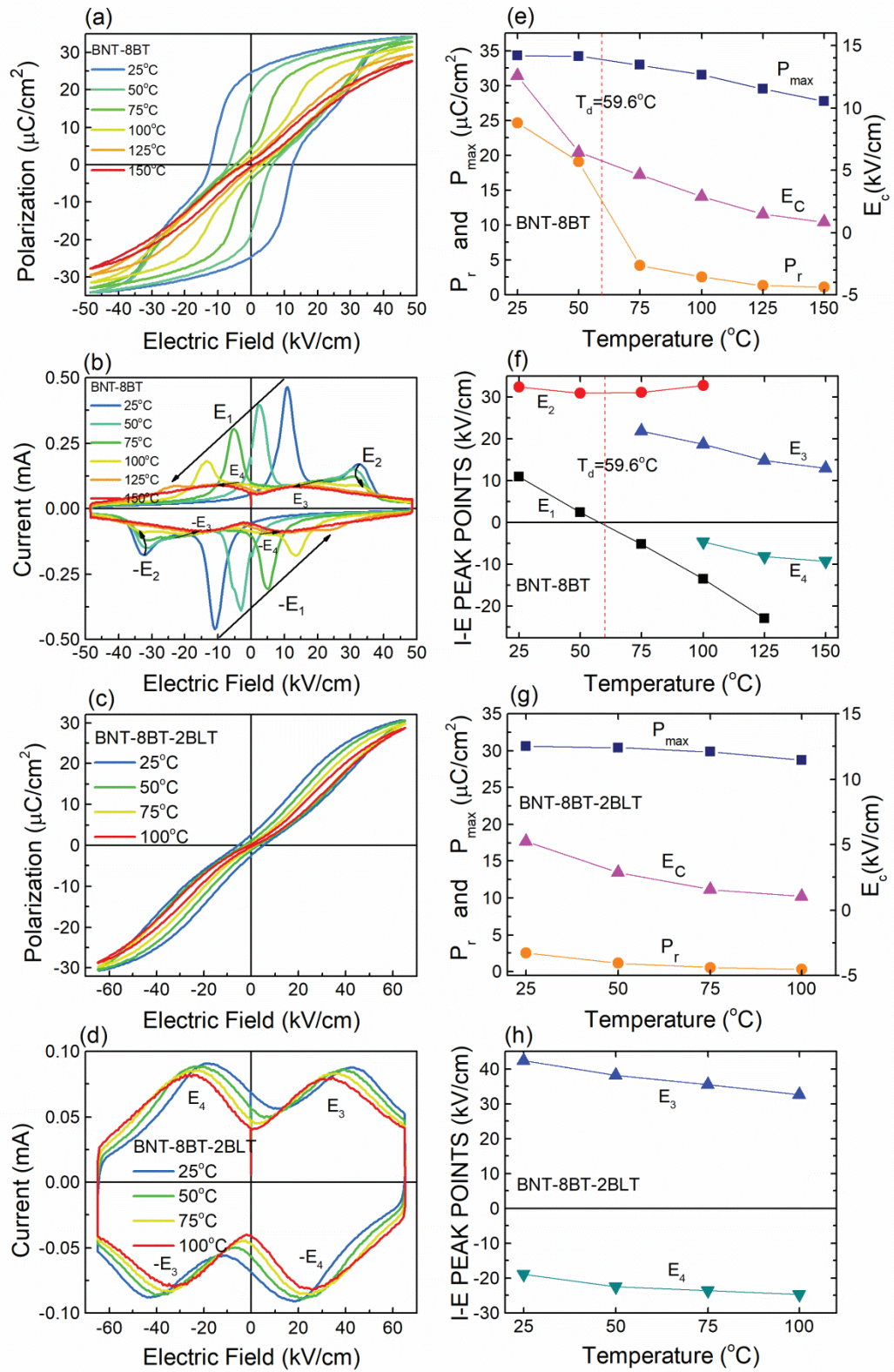


Figure 2.13. Temperature dependent (a,c) P-E loops, (b,d) C-E loops and corresponding temperature dependent (e,g)  $P_r$ ,  $P_{\max}$ ,  $E_c$  and (f,h) I-E peak points of  $x = 0$  and  $x = 0.02$  ceramics respectively.

The disappearance of peak  $E_1$  above 125 °C, similar to  $E_2$ , can be attributed to the reduced polar phase<sup>191</sup>. The peaks at  $E_3$  and  $E_4$  correspond to typical peaks of the I-E loop of the material exhibiting ergodic relaxor behavior. In NBT-8BT, these peaks begin to appear at 75 and 100 °C, and above 125 °C, only these peaks take place in the I-E loop. The peaks  $E_3$  and  $E_4$  occur at the temperature zone where the remnant polarization of the material decreases and the ergodic relaxor characteristic begins. On the other hand, at  $E_3$  and  $E_4$ , the reversible transitions occur from the relaxor phase to the ferroelectric phase by exhibiting ergodic relaxor phase<sup>191</sup>.

In the BLT doped materials, here NBT-8BT-2BLT is given as an example, the ergodic relaxor characteristic is exhibited as seen in Figure 2.13 (c, d). As the temperature increases, it is seen that  $P_m$  remains almost constant, while  $E_c$  and  $P_r$  decrease gradually (Figure 2.13 (g)). The  $E_3$  and  $E_4$  peaks decrease with increasing temperature (Figure 2.13 (h)) because reversible transitions from the relaxor ferroelectric phase to the ferroelectric phase require less electric field. These conditions are desirable for energy storage applications due to the temperature stability of  $P_m$  and low hysteresis loss.

### 2.3.5. Energy Storage Properties of NBT-BT-BLT Ceramics

Energy storage density and efficiency of the samples were calculated using the equations provided in the introduction section, based on the hysteresis loops presented in Figure 2.12(a). In order to compare the energy storage density of the BLT substituted samples, hysteresis loops collected at the same electric field of  $\approx 61$  kV/cm were used (see Figure 2.12(a)). As can be inferred from the hysteresis loops, largest energy storage density was obtained for  $x = 0.02$  sample ( $W_{rec} = 0.656$  J/cm<sup>3</sup>), followed by  $x = 0.03$  ( $W_{rec} = 0.614$  J/cm<sup>3</sup>) and  $x = 0.05$  ( $W_{rec} = 0.559$  J/cm<sup>3</sup>). This result is expected from the hysteresis loops as the ergodic behaviour is already achieved for  $x = 0.02$  with low  $P_r$  and  $E_c$  while due to the lowest BLT content,  $P_m$  of  $x = 0.02$  sample is higher than those of  $x = 0.03$  and  $x = 0.05$ .

Maximum electric field that could be applied to samples (dielectric breakdown strength) differs from composition to composition. Calculated maximum energy storage

densities were 0.74, 0.61 and 1.03 J/cm<sup>3</sup> for x = 0.02, 0.03 and 0.05 at applied electric fields of 65.78, 61.41, 89.21 kV/cm respectively, as shown in Figure 2.14. The x = 0.05 yields the maximum energy storage density as it sustains the maximum electric field. While a systematic study is necessary to obtain accurate dielectric breakdown strength of all samples, the trend in the same can be correlated to the different thickness of the measured samples as well as the grain size. It is well known that a thinner material can exhibit higher dielectric breakdown field<sup>192</sup>. The thicknesses of the BLT substituted ceramics used in this study were 1.02 mm, 1.27 mm and 0.71 mm for x = 0.02, 0.03 and 0.05, respectively. Lowest grain size of the x = 0.05 sample might also play a role in its large breakdown strength.

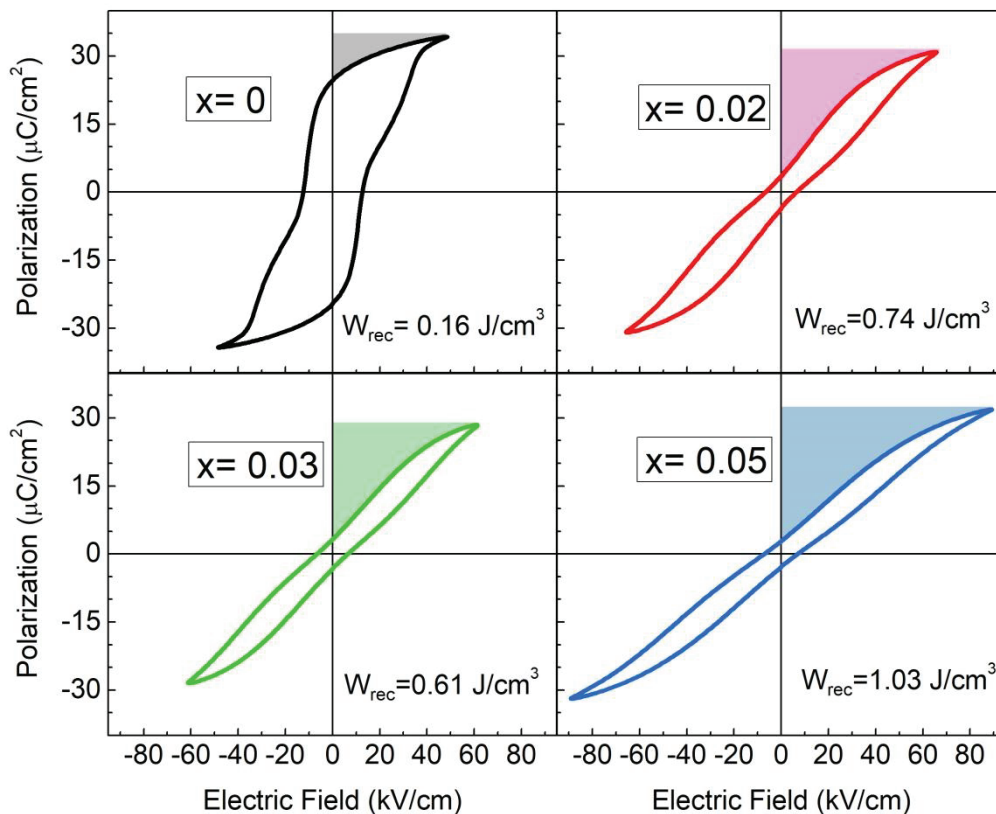


Figure 2.14. Room temperature  $P$ - $E$  loops at 1 Hz and energy storage densities of  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  samples.

Figure 2.15(a) shows the temperature-dependent unipolar hysteresis loops of NBT-8BT-2BLT, the sample with the high energy storage density at different temperature. The calculated energy storage densities and efficiencies are also presented



in Figure 2.15(b). At the room temperature, the energy storage density and the efficiency have been calculated as  $W_{\text{rec}} = 0.74 \text{ J/cm}^3$  and  $\eta = 67\%$  under an electric field of  $65.78 \text{ kV/cm}$ . As the temperature increases, energy storage density and efficiency both increases, reaching  $0.88 \text{ J/cm}^3$  and  $96.7\%$  respectively, at  $125 \text{ }^\circ\text{C}$ . This indicates that  $x = 0.02$  composition is suitable for high temperature applications.

In Figure 2.15(c) and Figure 2.15(d) unipolar P-E loops as a function of increasing electric field and corresponding energy storage densities of NBT-8BT-5BLT composition, at room temperature are shown respectively. A large energy density of  $1.03 \text{ J/cm}^3$  with high efficiency of approximately  $70\%$  under an electric field of  $89 \text{ kV/cm}$  can be achieved.

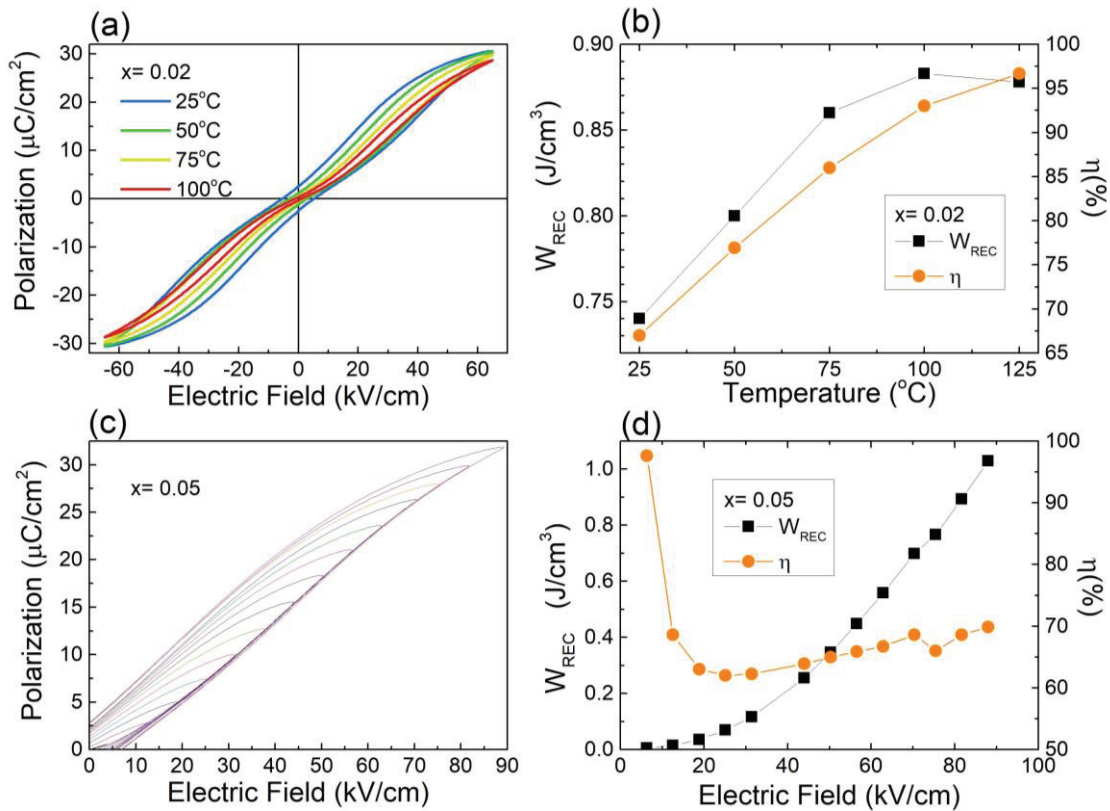


Figure 2.15. Temperature dependent (a) P-E loops at 1 Hz and (b) recoverable energy storage density,  $W_{\text{REC}}$  and efficiency,  $\eta$  of NBT-8BT-2BLT. Room temperature (c) Unipolar P-E loops at 1 Hz, under different applied electric fields and (d) electric field dependent energy storage densities of NBT-8BT-5BLT.

Energy storage densities of NBT based ceramics have been reported to lie between 0.7-2.42 J/cm<sup>3</sup>, with 54-86% efficiency and under 70-195 kV/cm maximum electric field<sup>154-163, 193</sup>. In this work, we have not pushed the maximum electric field we apply to the samples; we limited ourselves to the effect of BLT substitution. Therefore, it is more reasonable to compare the energy storage density values obtained per unit applied electric field. Figure 2.16 shows energy storage density obtained per unit applied field and energy storage efficiency values for x = 0.02 and x = 0.05 samples, in comparison with other NBT-based samples in the literature. It can be seen that our BLT substituted NBT ceramics perform quite well among NBT based ceramics in both indicators.

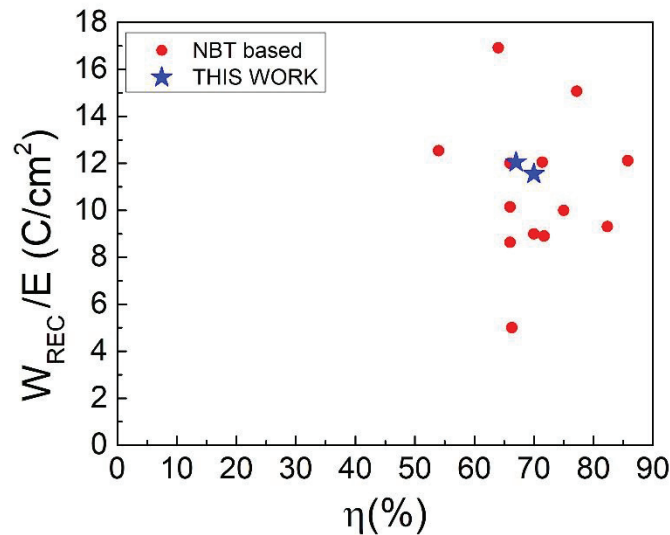


Figure 2.16. Obtained energy storage density normalized by the applied electric field and energy efficiency values of NBT-based ceramics<sup>154-163, 193</sup>.

## 2.4. Conclusions

In conclusion, it has been investigated the effect of BLT substitution on the energy storage properties of (1-x)(0.92NBT-0.08BT)-xBLT samples. Non-substituted NBT-8BT showed usual non-ergodic relaxor characteristics with normal-like hysteresis loops and butterfly-like strain-electric field loops. Only 2% of BLT substitution induced room temperature ergodic relaxor behavior, with low  $P_r$  and high  $P_m$ , favorable for

energy storage as well as sprout shaped strain-electric field loops. Increasing BLT content causes decrease in the grain size, with  $x = 0.05$  having the smallest grain size and possibly highest dielectric breakdown strength of all compositions. Largest energy density ( $W_{\text{rec}}$ ) at 61 kV/cm was obtained for  $x = 0.02$  sample ( $0.656 \text{ J/cm}^3$ ), followed by  $x = 0.03$  ( $W_{\text{rec}} = 0.614 \text{ J/cm}^3$ ) and  $x = 0.05$  ( $W_{\text{rec}} = 0.559 \text{ J/cm}^3$ ). When these values are normalized with respect to the applied field, it is shown that BLT substitution is quite successful for energy storage among NBT based ceramic compositions. The  $x = 0.02$  sample keeps its energy storage density at high temperatures (i.e.  $W_{\text{rec}} = 0.88 \text{ J/cm}^3$ ,  $\eta = 97\%$ ,  $E_m = 65 \text{ kV/cm}$  at  $125 \text{ }^\circ\text{C}$ ). Larger electric field (up to  $89 \text{ kV/cm}$ ) could be applied to the  $x = 0.05$  sample with the lowest grain size and energy density of  $1.03 \text{ J/cm}^3$  was reached.

## CHAPTER 3

# AGING AND ELECTROCALORIC PROPERTIES OF MN DOPED CERAMICS

### 3.1. Ferroelectric Aging Effect

Acceptor-doping is frequently applied to ferroelectric ceramics to improve the ferroelectric properties by lowering dielectric losses and increasing mechanical quality factor <sup>194</sup>. However, they might also cause ferroelectric aging due to the formation of point defects and defect dipoles. Aging typically causes deterioration of the dielectric and ferroelectric properties and in particular can lead to pinched polarization hysteresis loops <sup>195</sup>. Ferroelectric aging, which involves diffusion of oxygen vacancies and therefore, a time dependent effect, does not always cause deterioration of the properties. On the contrary, it can lead to better electromechanical <sup>196</sup> and even electrocaloric properties <sup>197</sup>. Therefore, maximizing the benefits of acceptor doping and minimizing the disadvantages of the aging effect on properties, i.e. optimization, is important in this context.

#### 3.1.1. Aging Mechanism

In general, the ferroelectric aging process is explained by the tendency of the gradual domain stabilization by defect diffusion <sup>14, 198</sup>. There are three different mechanisms (volume, domain wall and grain boundary effect) which explain the ferroelectric aging or domain stabilization according to their length scales and microstructural changes (Figure 3.1).

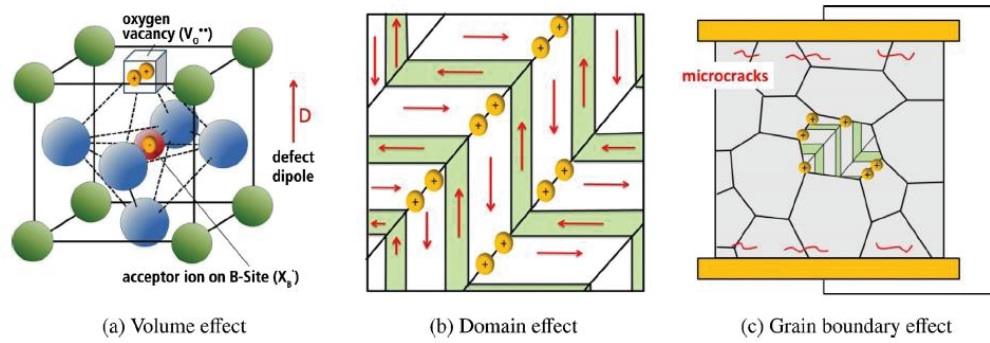


Figure 3.1. Classification of aging mechanisms <sup>199</sup>.

The volume effect mechanism is explained by the symmetry conforming short range ordering (SC-SRO) <sup>200</sup> principle which constitutes the driving force for the reorientation of the defect dipoles to follow symmetry of the crystal. At equilibrium, the symmetry of the short-range ordered defect dipoles tends to follow the crystal symmetry. The symmetry property of point defects is schematically demonstrated in Figure 3.2 by considering a typical ferroelectric perovskite  $ABO_3$  structure. If a  $D^{3+}$  acceptor ion is doped into the  $B^{4+}$  site of the crystal structure, the possibility of forming an oxygen vacancy in one of its nearest neighbors becomes inevitable in order to maintain the charge neutrality. Statistical distribution of  $O^{2-}$  vacancies around a defect ion  $D^{3+}$  depends on the crystal symmetry. For the  $D^{3+}$  defect ion in a cubic crystal, the probability of finding an oxygen vacancy is the same in all its neighboring oxygen sites (1, 2, 3, and 4). Therefore, the symmetry of the crystal with the  $D^{3+}$  point defect is in cubic symmetry (Figure 3.2(a)). In the tetragonal symmetry neighboring sites of the defect  $D^{3+}$  are not equivalent (site 1 and 2 are equivalent but 3 and 4 are not) (Figure 3.2(b)). Equivalent sites are expected to have the same probability of finding oxygen vacancies, and non-equivalent sites are expected to have different probability of finding oxygen vacancies. Thus, at equilibrium, the symmetry of the short-range ordered distribution of point defects tends to follow the crystal symmetry <sup>196a</sup>. This indicates the symmetry-conforming property of point defects.

The equilibrium defect symmetry has polar crystal symmetry due to non-centric distribution of charged defects. The oxygen vacancy has an effective charge of 2+ due to absence of  $O^{2-}$  and  $D^{3+}$  has 1- effective charge due to the absence of  $Ti^{4+}$  ion. Therefore, in the defect structure, a defect dipole moment  $P_D$  is also formed along the polarization direction of  $P_S$ . The demonstration of the symmetry-conforming property of point defects is shown schematically in Figure 3.2.

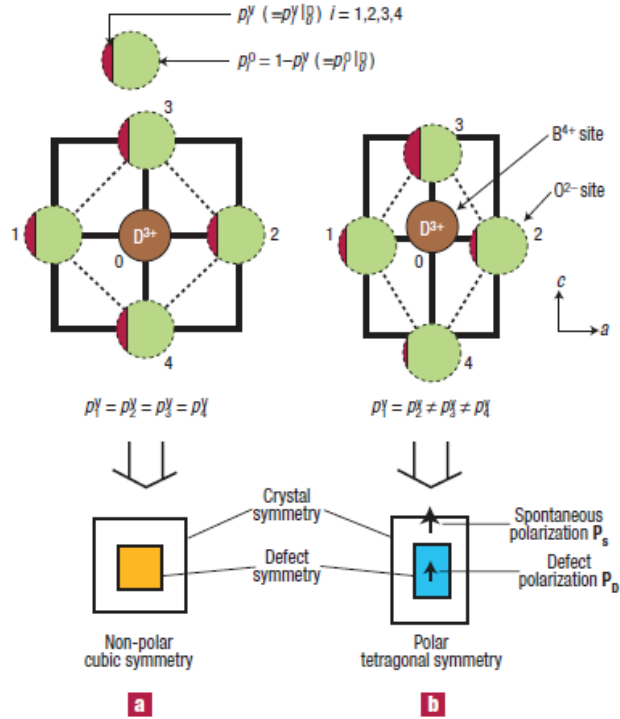


Figure 3.2. B-site acceptor doped (a) nonpolar/ centrosymmetric cubic paraelectric state, and (b) polar tetragonal ferroelectric state<sup>196a</sup>.

### 3.1.2. Factors Affecting Ferroelectric Aging or Domain Stabilization

In this section, we will discuss how defect dipoles and ferroelectric domains are stabilized during aging and what factors effects in this process. Since the internal electric field  $E_i$  formed by the defect dipole will reach its maximum value when the domain is stabilized,  $E_i$  is generally considered in domain stabilization factors. Factors affecting ferroelectric aging and domain stabilization are discussed under six main following sections: grain size, acceptor doping concentration, acceptor doping elements, local structure of the perovskite, temperature and electric field.

#### 3.1.2.1. Grain size

The grain-size effects on the aging behavior of ferroelectric ceramics have been investigated in some studies<sup>201</sup>. Guo et al demonstrated that the larger grained samples show clear aging effects while the fine grained ceramics show suppressed aging effects

<sup>201a</sup> (see Figure 3.3). The underlying mechanism of this grain size effect has been interpreted as that the small lattice tetragonal deformation in fine grained ceramics gives rise to a relatively weak thermodynamic driving force. On the other hand, the grain boundary barrier effect was demonstrated as another inevitable reason that suppresses the ferroelectric aging effect due to the kinetically limited migration of oxygen vacancies in grain boundaries. In the other study <sup>201b</sup> they observed that with the aging time increasing the remnant polarization of large grained ceramics drop sharper than that of small grained ones. They attributed the reason as a competition between aging-induced internal defect field  $E_i$  and grain boundary generated back field, which restricted forward domain switching ( $E_1$ ) and helped backward domain switching ( $E_2$ ).

It is also demonstrated that at the grain boundaries a fast transport occurs due to enhanced vacancy concentration, segregation, and possibly space-charge effects, on the other hand, local structure of a grain boundary ensures a migration path with remarkably lower barrier <sup>202</sup>. According to this statement, it is expected that the oxygen vacancies will be faster at the grain boundary and the aging time will be faster in the fine-grained structure.

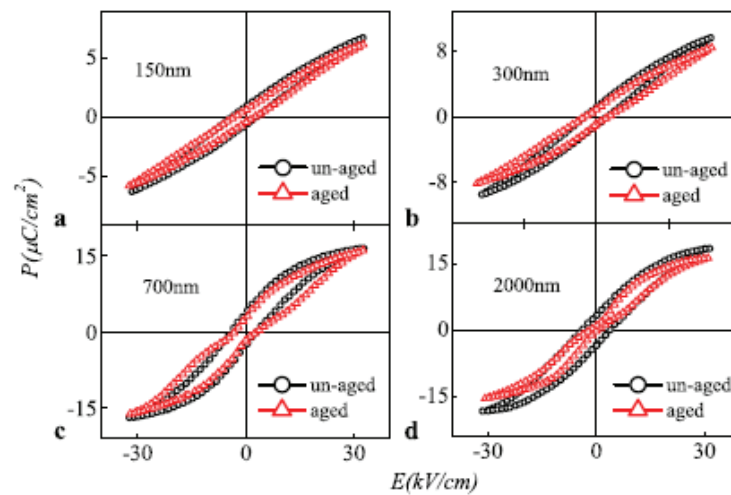


Figure 3.3. P-E hysteresis loops at room temperature under  $E = 32$  kV/cm for different grained ceramics. (a) 150 nm, (b) 300 nm, (c) 700 nm, and (d) 2000 nm samples show their loops in the unaged (fresh) states and after aging for 48 days at  $70^\circ\text{C}$  <sup>201a</sup>.

### 3.1.2.2. Acceptor doping concentration

Another effect on the aging behavior of ferroelectric ceramics is the acceptor doping concentration. It has been showed in many studies that ferroelectric-paraelectric phase transition temperature  $T_c$  slightly decreases in accordance with the decrease of tetragonality parameter  $c/a$  by increasing acceptor concentration<sup>203</sup>. Decrease in  $c/a$  implies decrease in lattice distortion. In this context the substitution of  $Mn^{2+}/Mn^{3+}$  into  $Ti^{4+}$  site will create oxygen vacancies resulting in a distortion in crystal lattice.

On the other hand, the internal field  $E_i$  increases due to the increasing defect dipoles by increasing acceptor doping concentration. In Figure 3.4, hysteresis loops for the unaged and aged  $Ba(Mn_xTi_{1-x})O_3$  samples with different Mn concentration have been given. The hysteresis loops of all unaged samples show the normal loops except the sample with  $x = 1.3\%$  which shows double hysteresis (Figure 3.4 (d)). From this behaviour, it was deduced that the more Mn is doped, the more oxygen vacancy is generated<sup>203a</sup>. Thus, it takes a short time to form the internal field and pinched double hysteresis loop.

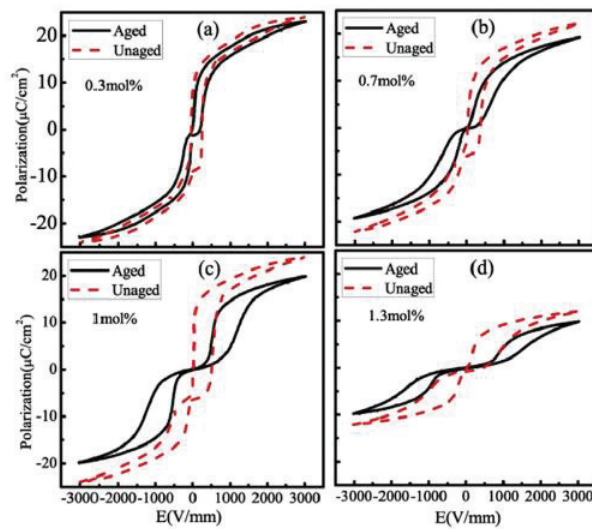


Figure 3.4. Hysteresis loops for the unaged and aged samples of  $Ba(Mn_xTi_{1-x})O_3$  (a) 0.3 mol%, (b) 0.7 mol%, (c) 1.0 mol%, (d) 1.3 mol%<sup>203a</sup>.



### 3.1.2.3. Acceptor Doping Elements

Some methodical studies<sup>199</sup> of aging effects on different acceptor doping elements have been done and given in Figure 3.5. It is clearly seen that internal electric field depends significantly on the dopant element. According to these studies, it is seen that the element that creates the most internal electric field and causes the best domain stabilization is Fe. At the same time, as the concentration increases internal electric field increases nonlinearly and saturates at higher concentrations probably due to limited solubility limits of doping elements.

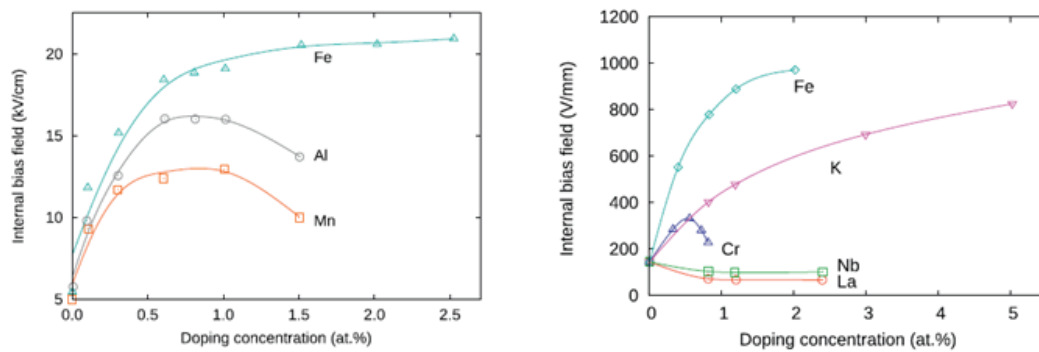


Figure 3.5. Doping effects on the internal bias field emerging in PZT from Carl et al. (left) and Takahashi et al. (right)<sup>199</sup>.

### 3.1.2.4. Local structure of the perovskite

Another factor affecting domain stabilization is the local effect in the perovskite structure. In a study  $\text{Ba}_{1-x}\text{Sr}_x\text{TiO}_3\text{-1Mn}$  samples ( $x = 0, 0.05, 0.15,$  and  $0.20$ ) and  $\text{Pb}_{1-x}\text{Sr}_x\text{TiO}_3\text{-1Mn}$  ( $x = 0.50, 0.55,$  and  $0.60$ ) samples were studied to investigate this effect<sup>204</sup>. Figure 3.6 shows the schematic representation of Mn doped PT and BT structures. In this figure Pb or Ba ions are in the center and oxygen vacancy is represented as square. Smaller Pb ionic size (Pb ions ( $1.20 \text{ \AA}$ ) < Ba ions ( $1.34 \text{ \AA}$ )) facilitates easy hopping of oxygen vacancy and a larger Pb ionic shift occur and much larger defect dipole field  $E_i$  (long solid arrow) is ensured than that of BT system. It means that PT system has much “stronger” domain stabilization strength due to its larger A site ion.

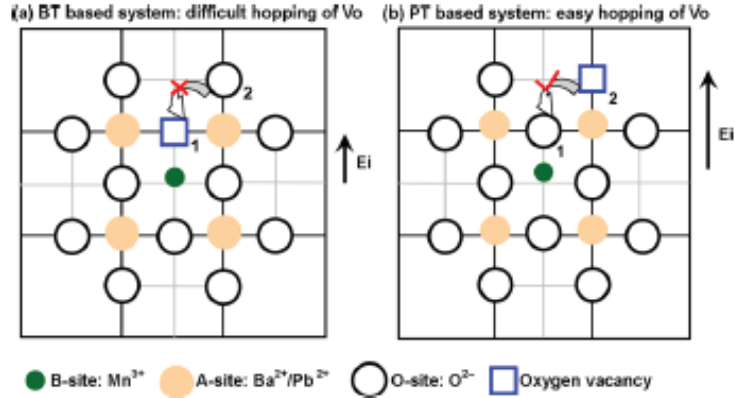


Figure 3.6. Local structure of perovskite  $ABO_3$  structure BT (a) and PT (b) system, with dopant Mn at centered Ti site, and surrounded by near oxygen sites like O1, O2, etc. <sup>204</sup>.

This effect was verified from the polarization loops of well-aged samples by calculating  $E_1$  and  $E_2$  switching peaks from  $dP/dE$  curves (Figure 3.7). Under the same aging processes, it was observed that the defect dipole field  $E_i$ , which represents domain stabilization, decreased with increasing Sr ratio and decreasing  $c/a$  ratio for both BT and PT systems. However, it was shown that the highest  $E_i$  field occurs in the PT system anyway. The reason for this is the local structure effect as explained above.

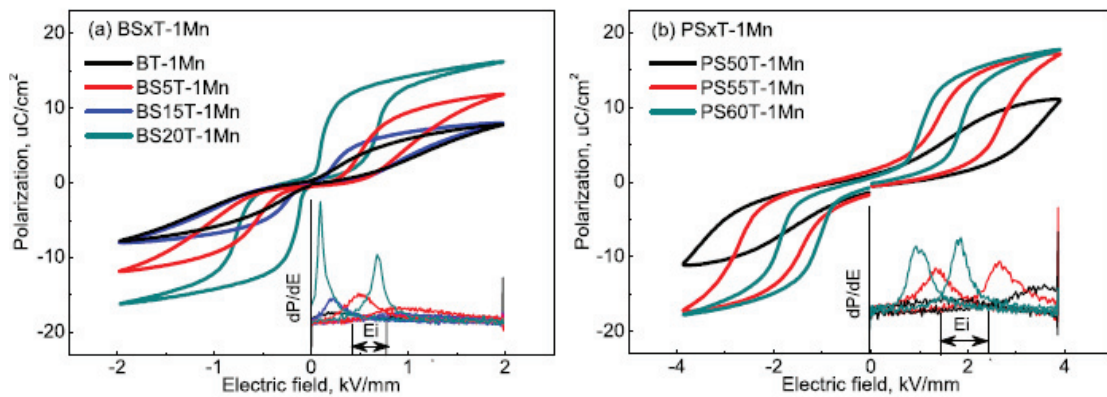
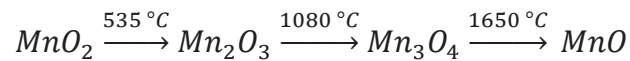


Figure 3.7. Double P-E loops of the well-aged (a)  $BS_xT-1Mn$  samples ( $x=0, 0.05, 0.15,$  and  $0.20$ ) and (b)  $PS_xT-1Mn$  ( $x=0.50, 0.55,$  and  $0.60$ ) samples. Each inset figure shows the  $dP/dE$  curves, and defect dipole field  $E_i$  <sup>204</sup>.

### 3.1.2.5. Temperature

Providing a high temperature environment is the most common method to accelerate the ferroelectric aging time<sup>200b</sup>. However, the material must be in the ferroelectric state during this process. Thus the temperature should not exceed  $T_c$ . Generally, a temperature closes to  $T_c$  but lower than  $T_c$  is chosen during the aging process. An increase in the aging time is expected, as the diffusion of the oxygen vacancy, which provides the formation of defect dipoles, will accelerate if the temperature is at this optimal level.

It is known that sintering temperature likely changes the valence of the acceptor ions. Consequently, this will affect domain stabilization. It is known that  $MnO_2$  can transform into different oxide forms at different sintering temperatures<sup>205</sup> as follows;



This means that valence states of Mn ions are influenced by the sintering temperature and with sintering temperatures above 1000°C different Mn valences can be found.

### 3.1.2.6. Electric field

In order to understand the effect of the electric field on the domain stabilization, the internal electric field change can be examined for the unaged sample to which a DC electric field is applied for a long time<sup>206</sup>. In Figure 3.8(b) polarization hysteresis loops of a acceptor doped and poled (or DC electric field applied) sample have been given. The calculation of  $E_i$  for both unpoled and poled state gives the  $E_i$  of 0.73 kV/mm and 0.71 kV/mm, respectively. Similar numbers of  $E_i$  means domain is stabilized in aged samples similar to poled samples<sup>204</sup>.

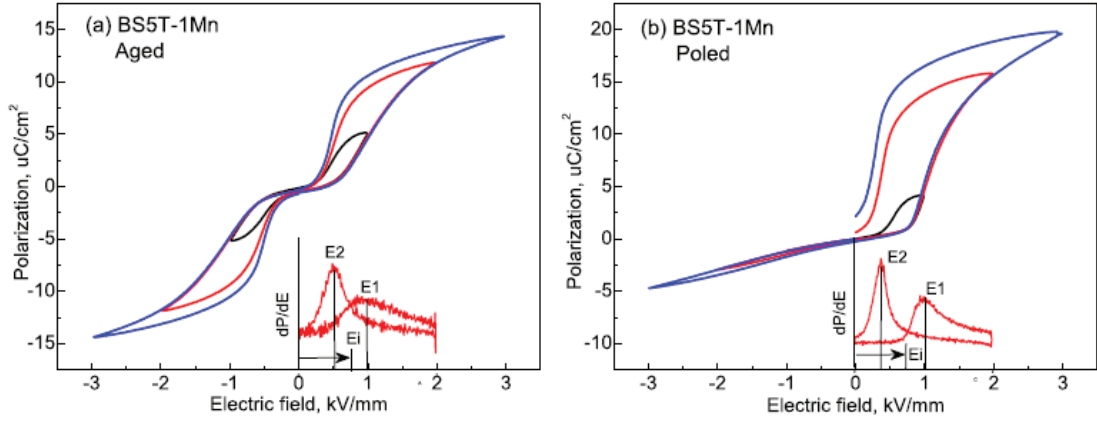


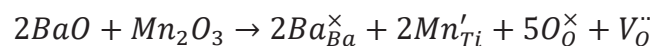
Figure 3.8. P-E loops in (a) the aged sample and (b) P-E loops in the poled (3 kV/mm) and aged sample<sup>204</sup>.

### 3.1.3. Mn Doping and Defect Formation

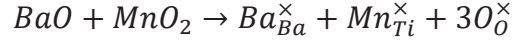
Mn-doping has been utilized in piezoelectric ceramics for different purposes. Mn-doping at the Ti-site was reported to decrease the leakage current of BaTiO<sub>3</sub> by forming defect dipoles with oxygen vacancies, which, in turn, reduce the mobility of oxygen vacancies<sup>207</sup> or by trapping of electron and holes<sup>208</sup>. It serves as acceptor doping and can help to obtain reversible domain switching and large electrostrain<sup>196a</sup>.

There is no consensus in the literature about the valence of Mn ions in sintered BaTiO<sub>3</sub>-based ceramics. While many authors suggest that Mn takes the valence of 3+ when sintered in air<sup>196b, 209</sup>, an electron paramagnetic resonance (EPR) spectroscopy-based study suggest that even though majority of Mn exists in 3+ state, some 4+ and also 2+ are also possible<sup>208</sup>. Some other studies mention only about Mn<sup>2+</sup> formation by using X-Ray Photoelectron Spectroscopy (XPS) data<sup>210</sup>.

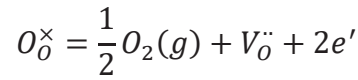
Mn valence affects the aging behavior and resulting domain stabilization by the formation of different defect dipole pairs. If the Mn<sup>3+</sup> ion is incorporated to Ti<sup>4+</sup> position of the BaTiO<sub>3</sub> system, one positive charge will be lost, causing an effective negative charge ( $Mn'_{Ti}$ ). An oxygen vacancy ( $V_{O}^{\bullet\bullet}$ ) with +2 effective charge will form in order to maintain the charge neutrality in the system. The corresponding equation in Kröger-Vink notation is as follows:



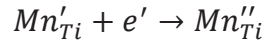
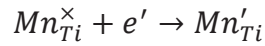
If the  $Mn^{4+}$  ion is incorporated to  $Ti^{4+}$  position of the  $BaTiO_3$  system, charge neutrality will be maintained and no oxygen vacancy will form:  $(Mn_{Ti}^{\times})$ . The corresponding equation is:



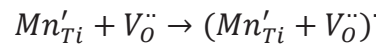
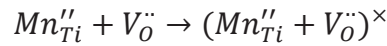
Of course, the oxygen in the system can spontaneously turn into oxygen gas (reduction of an oxide), causing an increase in electrons and an oxygen vacancy formation in the system;



In addition, Mn ions can be found in different forms in the system by reduction and oxidation reactions among themselves;



Oxygen vacancies ( $V_O^{\cdot\cdot}$ ) (formed to maintain the charge neutrality) can interact with Mn ions, and these formations are called as defect dipoles. While a neutral defect dipole  $((Mn''_{Ti} + V_O^{\cdot\cdot})^{\times})$  is formed in the interaction between  $Mn^{2+}$  and oxygen vacancies. In the interaction between  $Mn^{3+}$  and the oxygen vacancy, a positively charged defect dipole  $((Mn'_{Ti} + V_O^{\cdot\cdot})^{\cdot})$  is formed;



Since Mn valence might affect the defect dipole formation and aging behavior, it is of interest to study whether the Mn-precursor will have any effect on the resulting valence of the Mn-doped ceramics. Despite the many studies on the effect of Mn doping on the electrical properties of  $BaTiO_3$ -based Pb-free piezoelectrics, no systematic study can be found in the literature where the effect of Mn source on the ferroelectric aging and aging-affected electrical properties.

Therefore, in this study, we have investigated the effect of Mn precursor on the resulting Mn-valence, aging behavior and electrical properties of the BT-based ceramics. In order to decrease the Curie temperature ( $T_C$ ) of the BaTiO<sub>3</sub> samples slightly by Sr doping and for easy comparison with the existing literature, we have selected Ba<sub>0.95</sub>Sr<sub>0.05</sub>TiO<sub>3</sub> composition. Two different sintering temperatures (1325 °C and 1400 °C) to examine the grain size effect, two different Mn content (0.3 and 1%) to examine the defect dipole formation rate around solubility limit (1%) of Mn and away from it (0.3%) and two different Mn precursors (MnO<sub>2</sub> and Mn<sub>2</sub>O<sub>3</sub>) to examine the possible different Mn valence formation and different defect dipole types were used. We show by combining EPR spectroscopy and the evolution of the tetragonality with Mn-doping that we obtained from the XRD data, that Mn is slightly better incorporated into the perovskite structure when MnO<sub>2</sub> is used as Mn precursor. (When MnO<sub>2</sub> is used as Mn precursor we show that Mn is slightly better incorporated into the perovskite structure according to results from the evolution of the tetragonality with Mn-doping that we obtained from the XRD data and by combining EPR spectroscopy.) Ferroelectric aging causes constriction of the hysteresis loops and decreases  $\Delta T$  while Mn-doping resulted in better electrocaloric properties. It has been shown that the optimal conditions for the best  $\Delta T$  is obtained in samples with large grain size sintered at 1400 °C, in samples with Mn ratio of 1% and in samples using MnO<sub>2</sub>, where the aging effect is less.

### 3.2. Experimental Procedure for Mn Doped BST Ceramics

The conventional solid state reaction method was used to synthesize the samples: (Ba<sub>0.95</sub>Sr<sub>0.05</sub>)TiO<sub>3</sub> (BST), (Ba<sub>0.95</sub>Sr<sub>0.05</sub>)(Mn<sub>0.003</sub>Ti<sub>0.997</sub>)O<sub>3</sub> (BST-0.3Mn), (Ba<sub>0.95</sub>Sr<sub>0.05</sub>)(Mn<sub>0.01</sub>Ti<sub>0.99</sub>)O<sub>3</sub> (BST-1Mn) with two different Mn oxide precursors: MnO<sub>2</sub> and Mn<sub>2</sub>O<sub>3</sub>. BaCO<sub>3</sub> (99%), SrCO<sub>3</sub> (99%), Mn<sub>2</sub>O<sub>3</sub> (99%), MnO<sub>2</sub> (99%) and TiO<sub>2</sub> (99.9%) were used as starting raw materials (Table 3.1). All powders were dried at 200 °C overnight. Then they were weighed and mixed in a 30 ml high density polyethylene (HDPE) bottle using zirconia balls in ethanol media by planetary ball milling for 18 h. After drying, the powders were ground in agate mortar. The calcination process was carried out in pellet form at 1200 °C for 2 h. After calcination, the pellets were again ground into powder and were mixed thoroughly with 4 wt% polyvinyl alcohol (PVA)

binder in water and then ball milled for 18 h. Then powders were dried, sieved, and pressed into pellets (~10 mm in diameter, ~1 mm thick) under a pressure of ~100 MPa. Then the PVA binder was burned out at 600 °C for 4 h. After the burnout, the pellets were sintered at 1325 °C and 1400 °C for 2 h with a heating rate 5 °C/min (Figure 3.9).

Table 3.1. The list of the combination of variable parameters of the samples  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn).

Base ceramic	Mn comp. (x)	Mn precursor	Sintering Temp. (°C)	Sample name
BST	0	0	1325	BST-1325
			1400	BST-1400
	0.3	$\text{MnO}_2$	1325	BST-0.3Mn ( $\text{MnO}_2$ )-1325
			1400	BST-0.3Mn ( $\text{MnO}_2$ )-1400
		$\text{Mn}_2\text{O}_3$	1325	BST-0.3Mn ( $\text{Mn}_2\text{O}_3$ )-1325
			1400	BST-0.3Mn ( $\text{Mn}_2\text{O}_3$ )-1400
	1	$\text{MnO}_2$	1325	BST-1Mn ( $\text{MnO}_2$ )-1325
			1400	BST-1Mn ( $\text{MnO}_2$ )-1400
		$\text{Mn}_2\text{O}_3$	1325	BST-1Mn ( $\text{Mn}_2\text{O}_3$ )-1325
			1400	BST-1Mn ( $\text{Mn}_2\text{O}_3$ )-1400

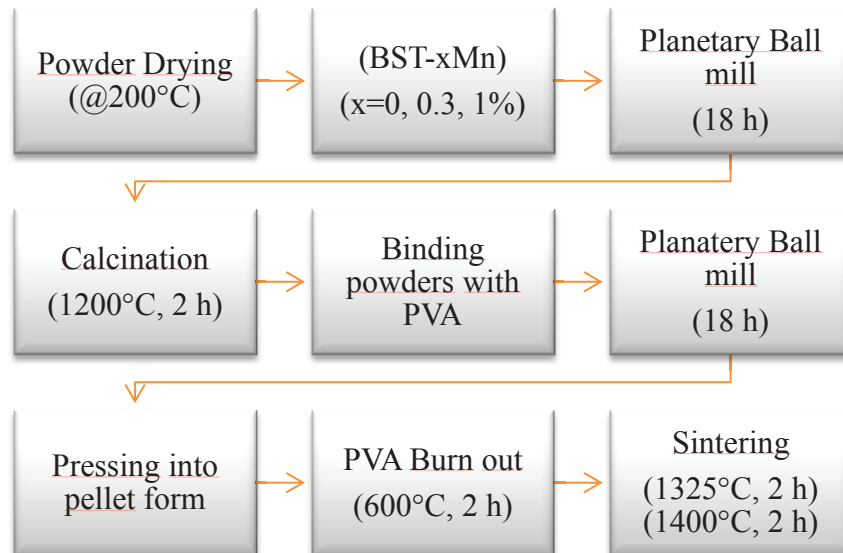


Figure 3.9. Schematic illustration of the synthesis routes of BST-xMn ceramics.

X-ray powder diffraction (XRD, Cu Ka radiation, Philips X'Pert Pro) was used to determine the phases and crystal structures of the sintered samples. The microstructure of ceramics was studied on thermally etched surfaces (at 1225 and 1300 °C, for 1 h, for the samples sintered at 1325 and 1400 °C, respectively) using a scanning electron microscope (SEM, FEI QUANTA 250 FEG). The average grain sizes were calculated by linear intercept method using ImageJ software. For dielectric and polarization-electric field hysteresis measurements, both surfaces of polished pellets were coated with silver paste and dried at 200 °C for 20 min. To obtain completely unaged state, deaging treatment was carried out at 270 °C for 30 min. for the Mn- doped samples. Then they were quenched to the room temperature. Hysteresis measurements of unaged samples were done immediately after quenching. The samples were aged at 90 °C and hysteresis loops were measured after 1, 3, 7 and 20 days of aging. Since hysteresis loops of the samples sintered at 1325 °C did not change anymore after 3 days, the aging duration of these samples was determined as 3 days. On the other hand, since no difference was observed between the 7-days and 20-days-aged P-E loops of the samples sintered at 1400 °C, the aging time for these samples was determined as 7 days. In order to test the consistency of ferroelectric aging, aging process was repeated for the aged samples after applying de-aging process to the aged samples and the same P-E loops were obtained after 7 days of aging.

The temperature dependence of dielectric constant and loss of the ceramics were measured at various frequencies (0.1, 1, 10, 100 kHz) by an LCR meter (KEYSIGHT, E4980AL). The polarization-electric field (P-E) hysteresis loops were obtained by using AIXACCT TF analyzer 1000 and a high voltage amplifier (TREK model 610E). The strain data were also collected simultaneously by a laser-interferometer (SIOS). Both for dielectric and hysteresis measurements a sample holder (AIXACCT piezo sample holder TFA 423-7) was used and temperature was controlled by AIXACCT temperature controller. X-band EPR measurements were carried out at room temperature using a CMS 8400 (Adani) spectrometer with a TE102 resonator cavity at a microwave frequency of 9.4 GHz.

The electrocaloric effect (ECE) of these samples was calculated by the indirect method. Temperature and electric field dependent polarization loops (P(E)) were used to calculate the adiabatic temperature change ( $\Delta T$ ) of the material. According to the Maxwell relation,  $(\partial P / \partial T)_E = (\partial S / \partial E)_T$  indirect ECE is determined as,



$$\Delta T = \frac{T}{\rho C} \int_{E_1}^{E_2} \left( \frac{\partial P}{\partial T} \right)_E dE$$

where the specific heat  $C$  has been considered as constant and taken as  $0.50 \text{ J/gK}^{211}$  and the densities  $\rho$  of samples that were measured by Archimedes' method have been used.

### 3.3. Results and Discussions

#### 3.3.1. Phase and Structure Properties of Mn Doped BST Ceramics

In Figure 3.10, XRD patterns of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics sintered at  $1325$  and  $1400$  °C are shown. All patterns show tetragonal symmetry with obvious splitting between (200) and (002) peaks and no extra phases can be observed. Rietveld refinements results of all patterns are shown in Table 3.2 and fitting of XRD patterns are given in the appendix section (Figure A.2, Figure A.3, Figure A.4 and Figure A.5). All refinements have weighted profile R values ( $R_{\text{wp}}$ ) below 10.4 % and have goodness of fit (GoF) values below 1.47.

Tetragonal splitting is more obvious for the samples sintered at  $1400$  °C (Figure 3.10(d)) than that of those sintered at  $1325$  °C (Figure 3.10(b)). This is also reflected in the tetragonality of the samples, which is higher for the samples sintered at  $1400$  °C (see Figure 3.11). This is an expected result as it is established that higher sintering temperature will lead larger grains and samples with larger grain size exhibit higher  $c/a$  value<sup>201a</sup>. Change of tetragonality with Mn content for all samples is also shown in Figure 3.11. It is clear from the figure that increasing Mn content decreases tetragonality. Tetragonality change can be ascribed to the incorporation of Mn ions with 2+, 3+ or 4+ valence to the Ti-site. When larger  $\text{Mn}^{3+}$  ( $r = 0.645 \text{ \AA}$ ) or  $\text{Mn}^{2+}$  ( $r = 0.670 \text{ \AA}$ ) ions are incorporated to the  $\text{Ti}^{4+}$  ( $r = 0.605 \text{ \AA}$ ) positions, they cause slight increase in  $a$ - $b$  tetragonal lattice parameters and the slight reduction in  $c$  lattice parameter<sup>212</sup>. Therefore  $c/a$  decreases and the peak splitting of (200) and (002) becomes less obvious for Mn doped samples<sup>209a</sup>. Tetragonality decrease with Mn doping implies that Mn ions have diffused into the BST lattice and caused lattice distortion<sup>201a, 213</sup>. However,  $\text{Mn}^{4+}$  ( $r = 0.530 \text{ \AA}$ ) ions have smaller ionic radii compared to  $\text{Ti}^{4+}$  they might cause different effect compared to  $\text{Mn}^{2+}$  and  $\text{Mn}^{3+}$ .

On the other hand, in the samples with MnO<sub>2</sub> source, it is observed that the tetragonality is reduced more significantly than the samples with Mn<sub>2</sub>O<sub>3</sub>, even though the difference is little. This may be due to the efficient incorporation of Mn into the system in the samples with MnO<sub>2</sub> source (see Figure 3.11). Alternatively, we can consider that the samples derived from MnO<sub>2</sub> source might have resulted in more Mn<sup>4+</sup> ion formation so that they have less tetragonality due to the smaller ionic radius (Mn<sup>4+</sup> (0.53 Å) < Ti<sup>4+</sup> (0.60 Å)).

Table 3.2. Rietveld refinement results of (Ba<sub>0.95</sub>Sr<sub>0.05</sub>)(Mn<sub>x</sub>Ti<sub>1-x</sub>)O<sub>3</sub> (BST-100xMn) ceramics.

Mn comp	Mn Source	Sint. Temp. (°C)	Sample name	<i>a</i> (Å)	<i>c</i> (Å)	<i>c/a</i>	Theo. Density (g/cm <sup>3</sup> )	R <sub>wp</sub> (%)	GoF
0	-	1325	BST-1325	3.9907(2)	4.0181(3)	1.0069	5.988	9.035	1.37
		1400	BST-1400	3.9913(1)	4.0255(2)	1.0086	6.091	9.398	1.42
0.3	MnO <sub>2</sub>	1325	BST-0.3Mn (MnO <sub>2</sub> )-1325	3.9928(1)	4.0187(1)	1.0065	5.981	8.995	1.53
		1400	BST-0.3Mn (MnO <sub>2</sub> )-1400	3.9915(2)	4.0246(1)	1.0083	6.015	1.0395	1.41
	Mn <sub>2</sub> O <sub>3</sub>	1325	BST-0.3Mn (Mn <sub>2</sub> O <sub>3</sub> )-1325	3.9892(3)	4.0160(2)	1.0067	5.995	9.524	1.37
		1400	BST-0.3Mn (Mn <sub>2</sub> O <sub>3</sub> )-1400	3.9919(1)	4.0256(1)	1.0084	6.012	8.136	1.39
1	MnO <sub>2</sub>	1325	BST-1Mn (MnO <sub>2</sub> )-1325	3.9929(2)	4.0181(2)	1.0063	5.983	8.870	1.35
		1400	BST-1Mn (MnO <sub>2</sub> )-1400	3.9919(1)	4.0245(2)	1.0082	5.975	8.224	1.35
	Mn <sub>2</sub> O <sub>3</sub>	1325	BST-1Mn (Mn <sub>2</sub> O <sub>3</sub> )-1325	3.9923(1)	4.0177(2)	1.0063	5.985	9.940	1.17
		1400	BST-1Mn (Mn <sub>2</sub> O <sub>3</sub> )-1400	3.9917(2)	4.0247(1)	1.0083	5.977	8.642	1.47

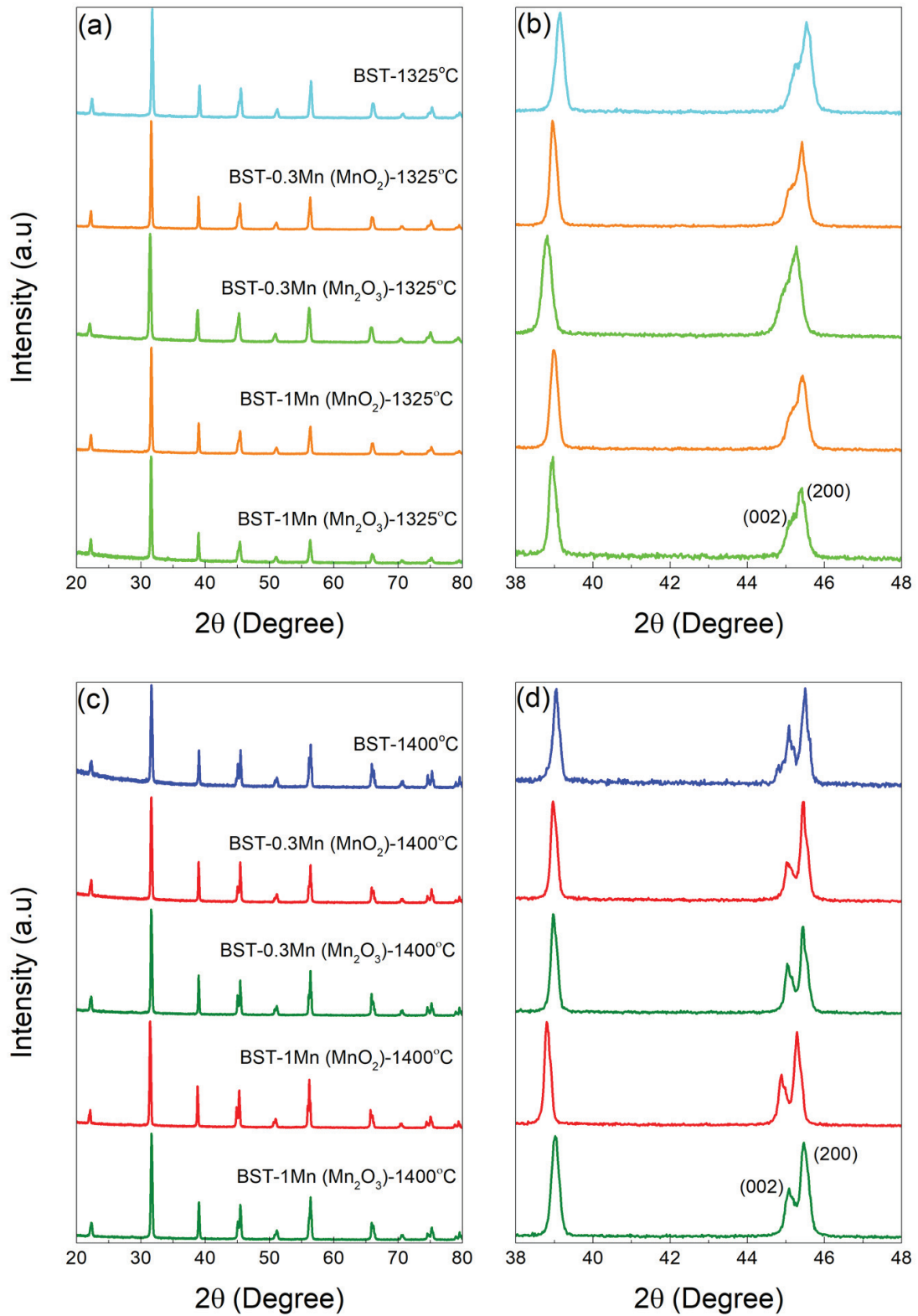


Figure 3.10 XRD patterns of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics (a,b) sintered at 1325 °C and (c,d) sintered at 1400 °C.

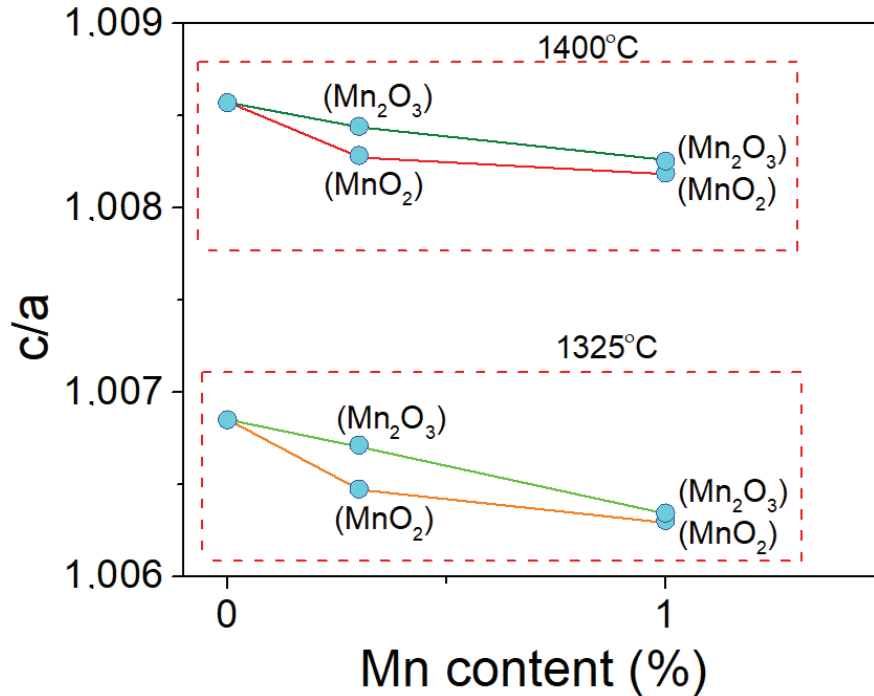


Figure 3.11. The tetragonality,  $c/a$  as a function Mn content of BST-100xMn ceramics according to the Rietveld refinement results.

### 3.3.2. Density and Microstructure of Mn Doped BST Ceramics

The relative density of all the samples, determined by Archimedes' method, is higher than 93 % of the theoretical density obtained by Rietveld refinements. SEM micrographs of the polished and thermally etched surfaces of the samples sintered at 1325 °C and 1400 °C are given in Figure 3.12 and Figure 3.13 respectively.

The average grain sizes of samples sintered at 1325 °C and 1400 °C were in the range of 0.68 to 1.16  $\mu\text{m}$  and between 24.78 and 86.16  $\mu\text{m}$ , respectively (see the insets in the Figure 3.12 and Figure 3.13). Significant increase of the grain size at 1400 °C can be ascribed to increasing rates of diffusion at this temperature. Grain sizes of Mn-doped BST ceramics are clearly lower than those of pure BST. Especially in samples sintered at 1400 °C, the grain size decreases more significantly with increasing Mn content

(Figure 3.14). These results indicate that Mn-doping can inhibit the grain growth of BST ceramics as shown in other reports; such as in Mn doped PZT<sup>214</sup> and BaTiO<sub>3</sub>-based ceramics<sup>203b, 215</sup>. The decrease in the grain size with Mn-doping was related to the segregation of Mn-oxides at the grain boundaries, which hinder grain growth<sup>203b, 215</sup>.

It is observed that the average grain sizes are slightly lower in samples with Mn<sub>2</sub>O<sub>3</sub> as the Mn source compared to those with MnO<sub>2</sub> (Figure 3.14). If the decrease in grain size is due to the increase of Mn-oxide residues which prevent the grain growth, we can deduce that amount of Mn<sub>2</sub>O<sub>3</sub> residues at the grain boundaries is slightly higher than those of MnO<sub>2</sub>. This implies that the incorporation of Mn ion into BST is more efficient in the samples with MnO<sub>2</sub> source. This result is consistent with the XRD results, which showed a stronger suppression of tetragonality in the samples with MnO<sub>2</sub> source. In addition, sintering temperature might have also affected the amount of Mn segregated at the grain boundaries. Since grain size of the samples is more significantly suppressed by Mn-segregation at the grain boundaries for the samples sintered at 1400 °C, it can be inferred that there is more Mn segregation at the grain boundaries.

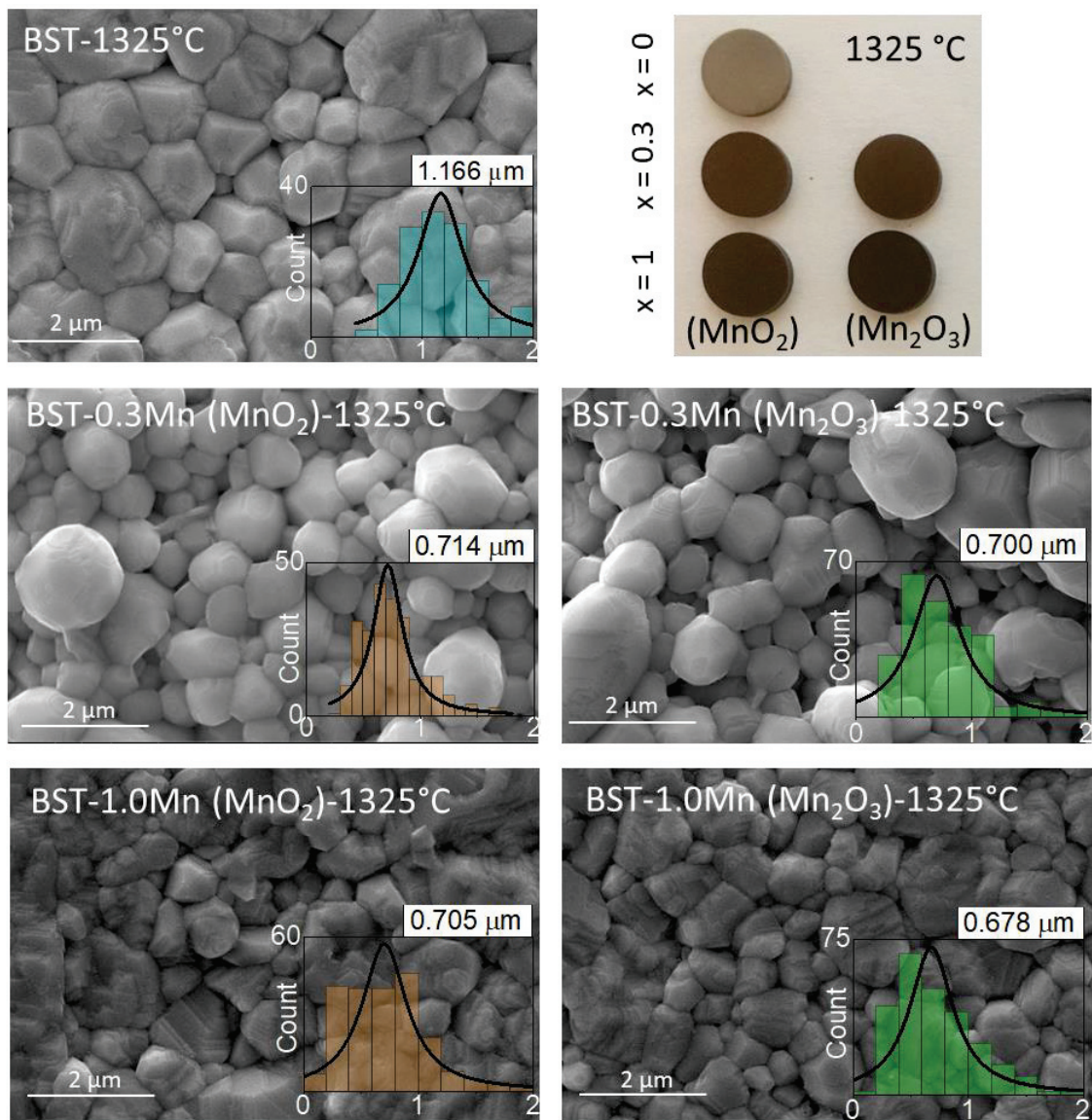


Figure 3.12. SEM images and grain size distributions of BST-100xMn ceramics sintered at 1325 °C and photographs of the samples.



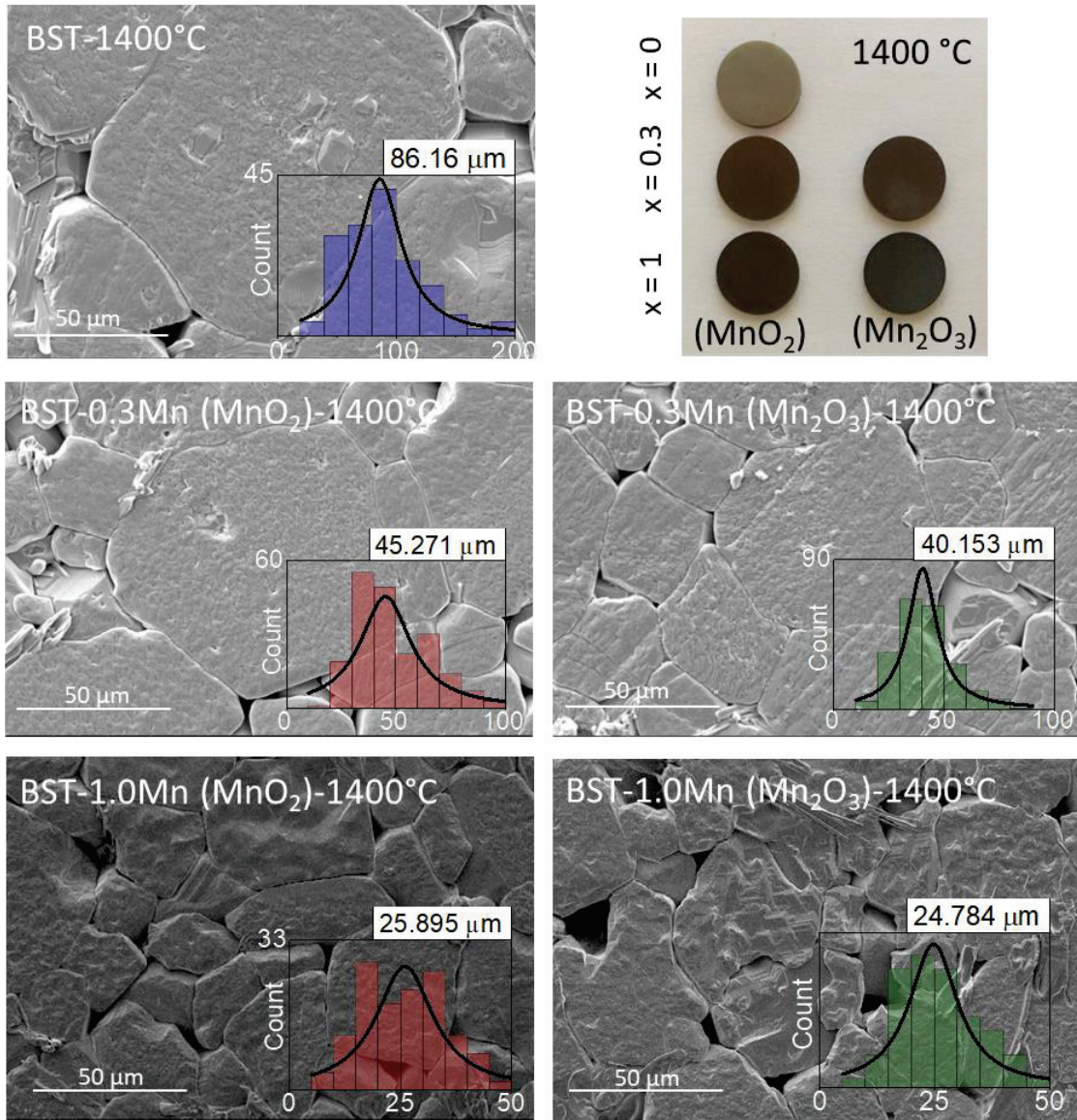


Figure 3.13. SEM images and grain size distributions of BST-100xMn ceramics sintered at 1400 °C and photographs of the samples.

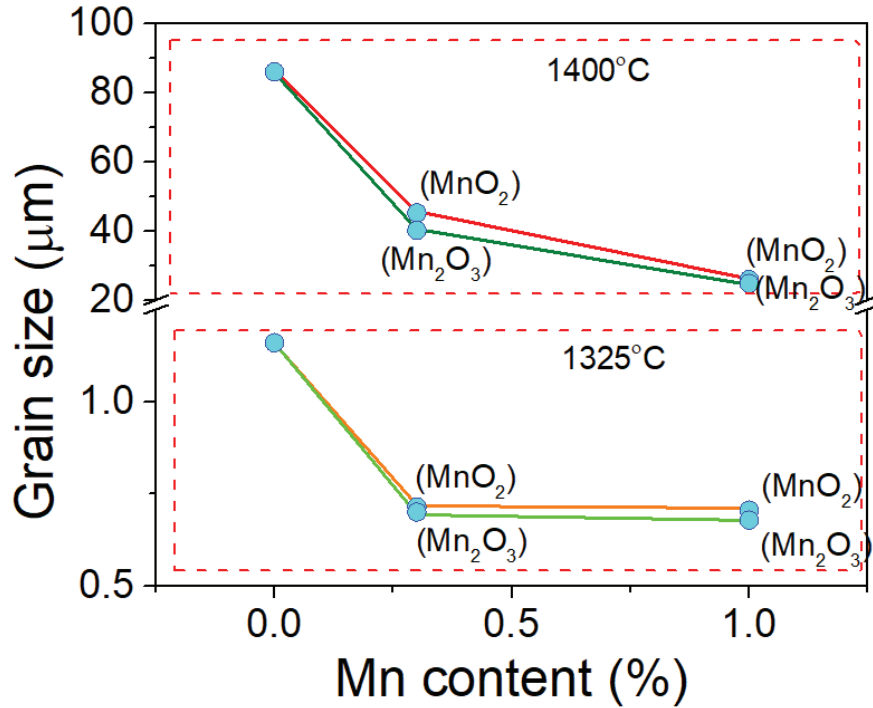


Figure 3.14. Average grain sizes of the BST-100xMn ceramics sintered at 1325 °C (lower lines; orange for MnO<sub>2</sub> used ones light green for Mn<sub>2</sub>O<sub>3</sub> used ones) and 1400 °C (upper lines; red for MnO<sub>2</sub> used ones dark green for Mn<sub>2</sub>O<sub>3</sub> used ones) as a function of Mn content and Mn precursor.

### 3.3.3. Dielectric Properties of Mn Doped BST Ceramics

Figure 3.15 shows the temperature dependence of the dielectric constant and loss of the samples with different Mn precursors and different sintering temperatures (see also Figure A. 6). Typical peak in the dielectric constant occurs at the Curie temperature ( $T_C$ ) marking the transition from the ferroelectric to the paraelectric phase.  $T_C$  decreases with Mn-doping and changes in the range of 111-115 °C for BST-xMn ( $x = 0, 0.3$  and 1 %) samples.

Dielectric constant maxima of the samples all sintered at 1400 °C are larger than those samples sintered at 1325 °C, due to the larger grain sizes of the samples sintered at 1400 °C, which reduces the clamping effect of the grain boundaries. On the other hand, at room temperature, the samples sintered at 1325 °C have slightly higher dielectric constant values compared to those sintered at 1400 °C. This result can be explained by the increasing density of 90° domain walls<sup>216</sup>. The samples sintered at



1325 °C have fine grains (0.68-1.66  $\mu\text{m}$ ) and they are expected to have smaller domain size and higher density of 90° domain walls.

Mn-doped BST ceramics show slightly lower dielectric constant than that of undoped ones for all temperatures at and below  $T_c$ . In addition, it appears that increasing the Mn content further decreases the dielectric constant. It is well known that defect dipoles that form as a result of Mn-doping will restrict the domain wall motion and thus the dielectric constant<sup>203a</sup>. The restriction of the domain movement in the Mn-doped samples causes a slight decrease in the dielectric constant and the loss compared to the non-doped one. Moreover, it can be observed that  $\text{MnO}_2$  used samples exhibits slightly higher dielectric constant. The reason can be attributed to slightly larger grain size of  $\text{MnO}_2$  containing ceramics.

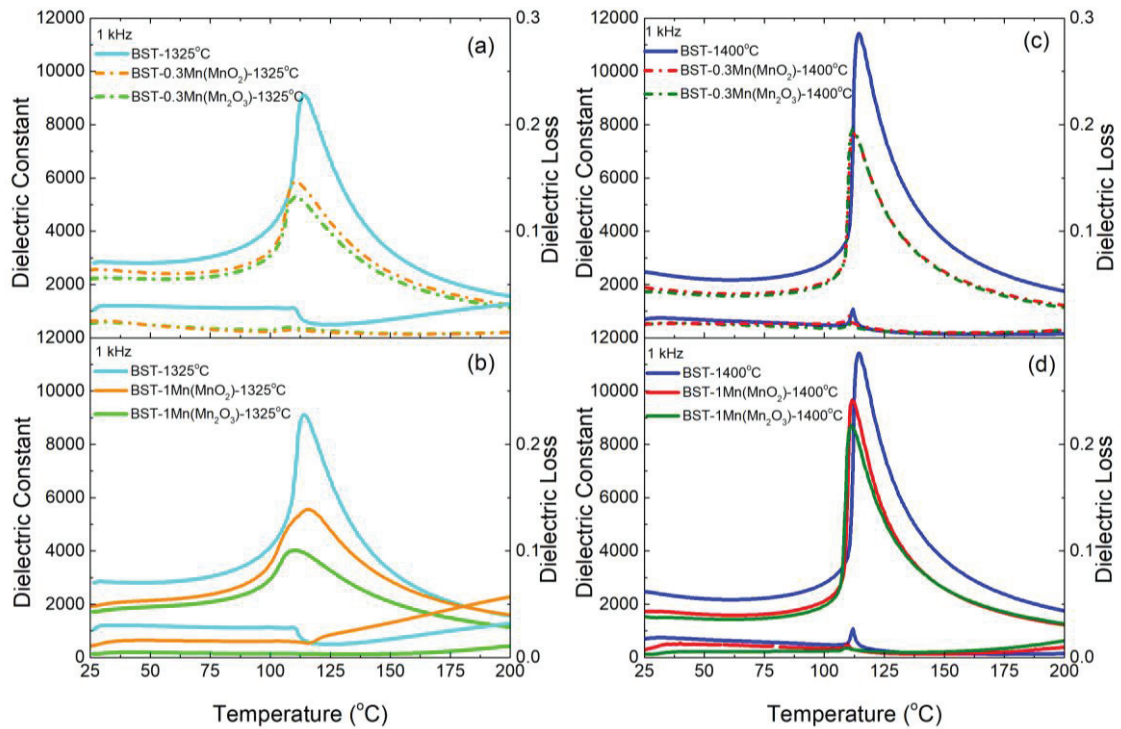


Figure 3.15. Comparison of the dielectric constant and loss of (a,b) the samples sintered at 1325 °C and (c, d) the samples sintered at 1400 °C.

### 3.3.4. Aging and Electrocaloric Properties of Mn Doped BST Ceramics

Room temperature polarization-electric field hysteresis loops of unaged and aged states of all ceramics are given in Figure 3.16 and Figure 3.17. For comparison, all measurements have been plotted under the same maximum electric field of 30 kV/cm. The internal electric field ( $E_i$ ) values calculated from the polarization loops for each case are written inside the figures.  $E_i$  values in pink and black color represent the aged and unaged ceramics, respectively.  $E_i$  originating from the defect dipoles is expressed as,

$$E_i = \frac{(E_1 + E_2)}{2}$$

where  $E_1$  and  $E_2$  are the peak fields of forward and backward domain switching processes.

The most significant difference for the samples sintered at different temperatures, is the increase in remnant polarization and hysteresis behavior for the samples sintered at 1400 °C. This is due to the larger grain sizes of those samples. Aging affects the samples' hysteresis loops differently. All samples have been aged under same conditions at 90 °C, below the  $T_C$ . Aging affects the hysteresis loops of the samples differently. The samples sintered at 1325 °C and 1400 °C were aged completely after 3 and 7 days, respectively. On the other hand, for ceramics sintered at 1325 °C, hysteresis loops of unaged and aged states did not differ significantly: i.e. the de-aged samples with 1% Mn sintered at 1325 °C shows constricted loops, which does not differ much after aging. This might be due to the very fast aging kinetics due to the fast oxygen diffusion at the high-density grain boundaries at this sintering temperature (Ceramics sintered at 1325 °C are fine grained and have higher density of grain boundaries). In addition, it can be observed the constriction of the hysteresis loops and  $E_i$  values of the samples sintered at 1400 °C are less than those sintered at 1325 °C samples, possibly due to the larger average grain size. The average grain size scales with the average domain size as the domain size is larger for 1400 °C samples, domain self-clamping effect (domain wall movement being restricted by the high density of domain walls)<sup>206b</sup> is less therefore, hysteresis loops do not show much constriction.  $E_i$  values in all cases increase with increasing Mn content from 0.3 to 1% due to increase in the defect dipole density. Finally, we observe a higher  $E_i$  in the samples with  $Mn_2O_3$  source (Figure A. 7). This might be due to the different Mn valence of the ceramics.

Another important result is that  $E_i$  is higher in the samples with  $Mn_2O_3$  source. This might be due to higher amount of  $Mn^{2+}$  and  $Mn^{3+}$  present in these samples, which will lead to more defect dipoles and higher  $E_i$ . Conversely, it can be deduced that there might be relatively higher concentration of  $Mn^{4+}$  ions in the samples with  $MnO_2$ . This suggestion is in agreement with our discussion of the evolution lattice parameters where we ascribed the stronger decrease of tetragonality of the samples with  $MnO_2$  source to the stronger presence of  $Mn^{4+}$ . In addition, we attributed the higher dielectric constant of the samples with  $MnO_2$  source to less defect dipole and oxygen vacancy formation. So, this also supports our conclusion.

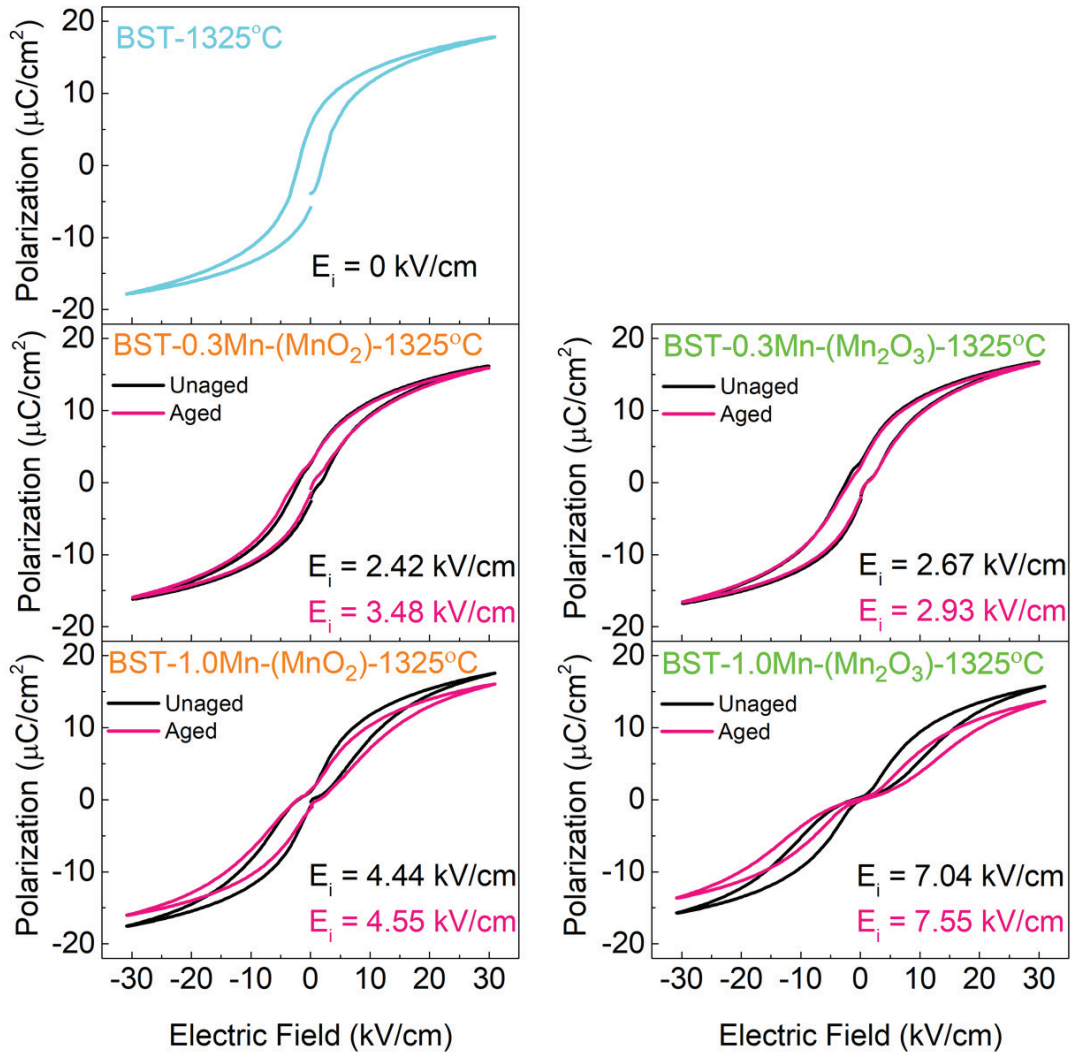


Figure 3.16. Room temperature polarization-electric field hysteresis loops of undoped BST ceramics (cyan and blue loops) and unaged (black loops) and aged (pink loops) Mn doped ceramics sintered at 1325 °C.

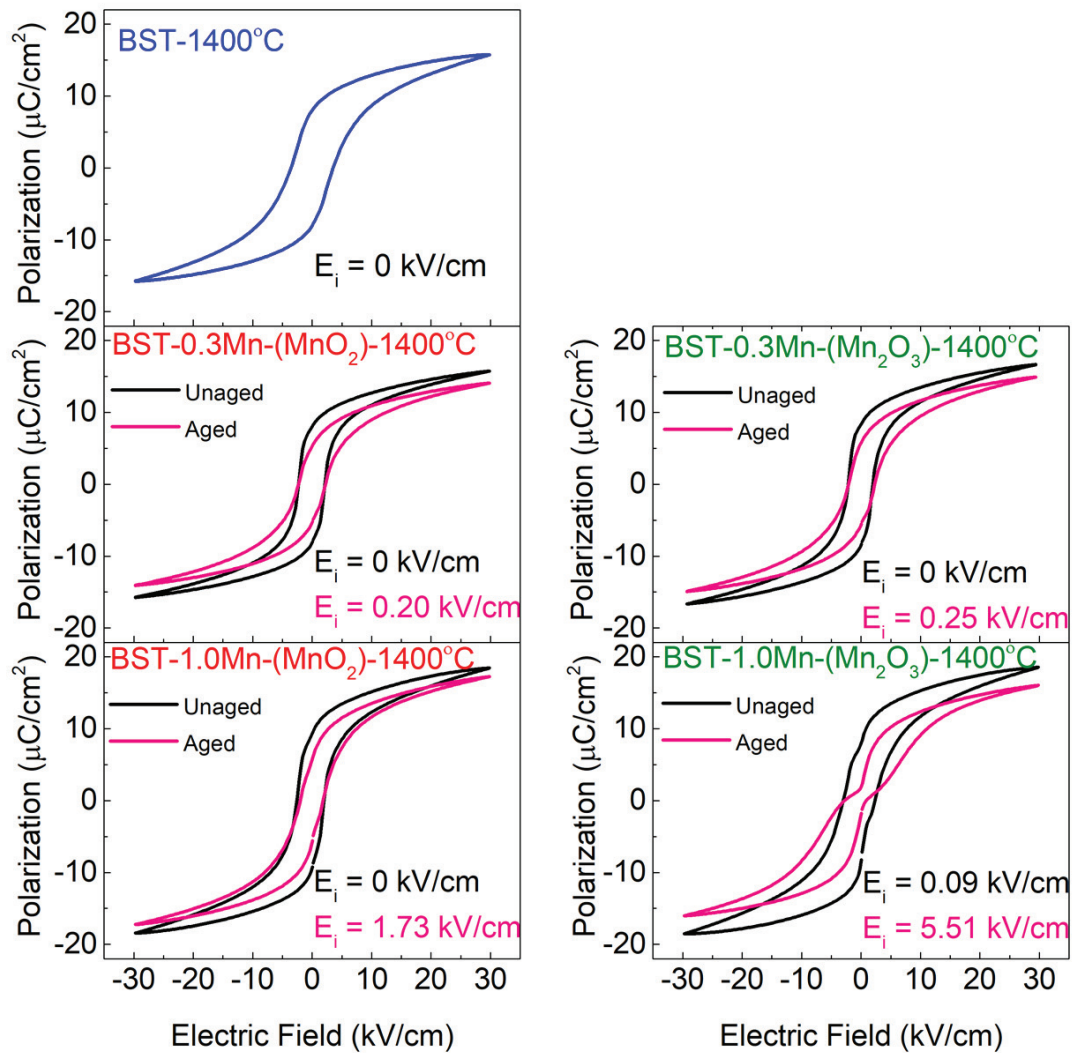


Figure 3.17. Room temperature polarization-electric field hysteresis loops of undoped BST ceramics (cyan and blue loops) and unaged (black loops) and aged (pink loops) Mn doped ceramics sintered at 1400 °C.

In Figure 3.18 and Figure 3.19, temperature dependent  $\Delta T$  of unaged and aged ceramics under 30 kV/cm are shown for the samples sintered at 1325 and 1400 °C, respectively. Temperature dependence of the electrical polarization values obtained from the hysteresis loops (Figure A. 8 and Figure A. 9) at 30 kV/cm which were used to calculate  $\Delta T$  are included in the insets of each figures. Maximum  $\Delta T$  values obtained around  $T_C$  of each sample are written on the figures and are also tabulated in Table 3.3.

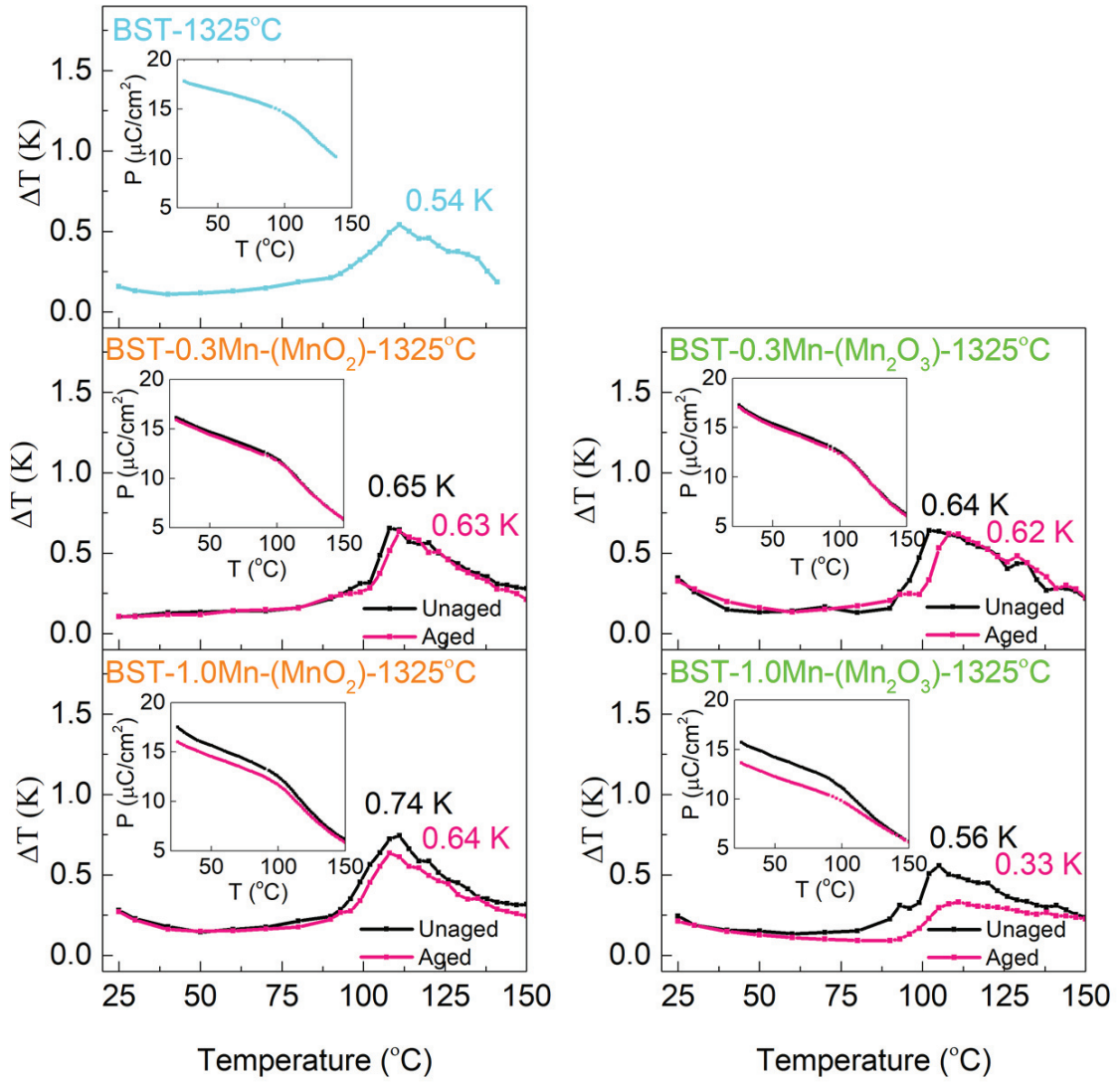


Figure 3.18. Temperature dependent  $\Delta T$  values of unaged and aged ceramics sintered at 1325 °C under 30 kV/cm. Black and pink curves represent the unaged and aged states, respectively. Temperature dependence of the electrical polarization values under the same electric field are included in the insets.



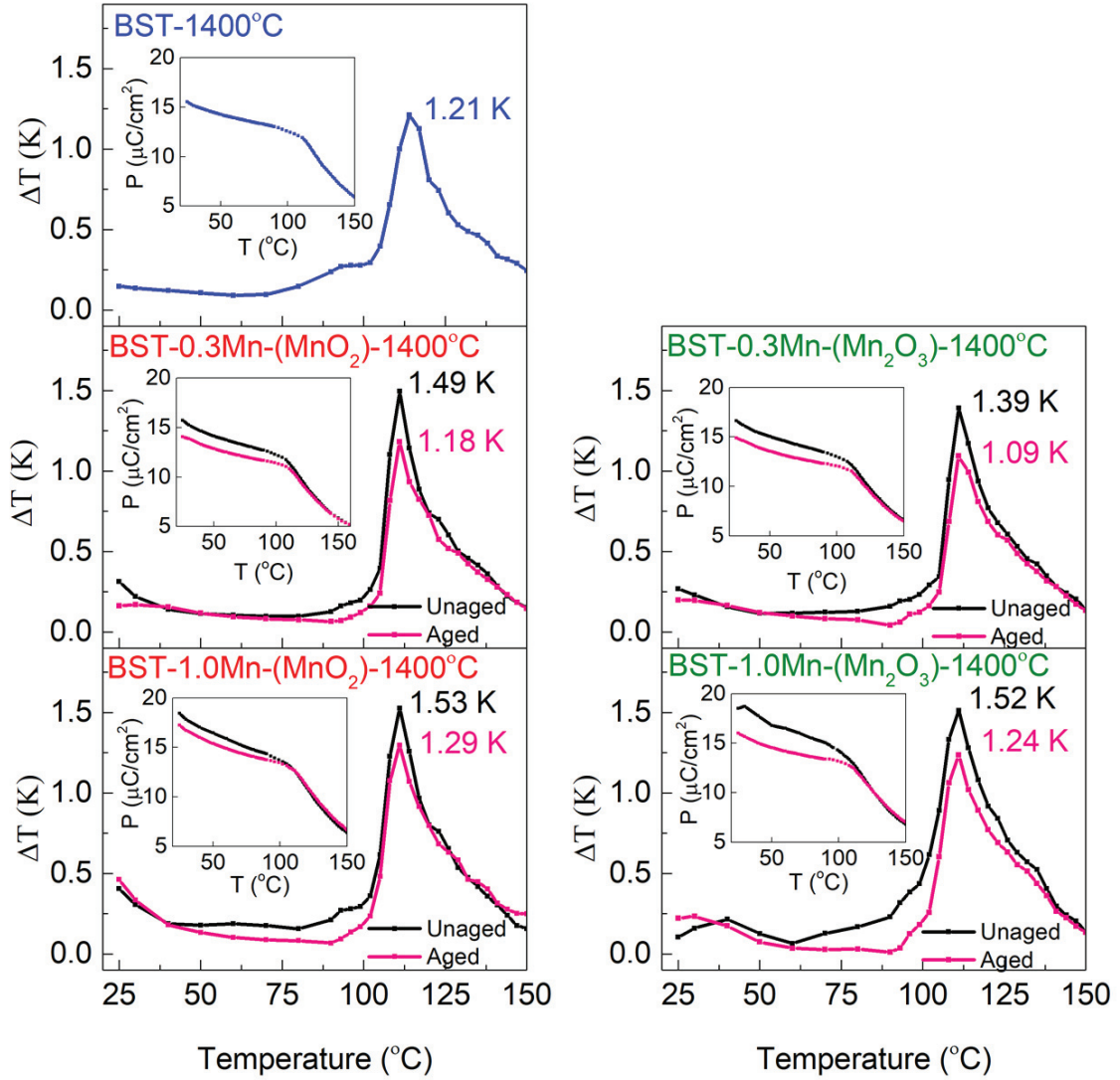


Figure 3.19. Temperature dependent  $\Delta T$  values of unaged and aged ceramics sintered at 1400 °C under 30 kV/cm. P-T curves are included in the insets.

Table 3.3. Maximum  $\Delta T$  values obtained for all samples under 30 kV/cm.

Sample	$\Delta T$	$\Delta T$	$\Delta T$	$\Delta T$
	(1325 °C) (unaged)	(1325 °C) (aged)	(1400 °C) (unaged)	(1400 °C) (aged)
BST	0.54 K	-	1.21 K	-
BST-0.3Mn (Mn <sub>2</sub> O <sub>3</sub> )	0.64 K	0.62 K	1.39 K	1.09 K
BST-0.3Mn (MnO <sub>2</sub> )	0.65 K	0.63 K	1.49 K	1.18 K
BST-1Mn (Mn <sub>2</sub> O <sub>3</sub> )	0.56 K	0.33 K	1.52 K	1.24 K
BST-1Mn (MnO <sub>2</sub> )	0.74 K	0.64 K	1.53 K	1.29 K



According to these results, the following observations are made: 1) The samples sintered at 1400 °C resulted in larger  $\Delta T$  compared to those sintered at 1325 °C. This can be explained by higher tetragonality, and larger grain size of the samples sintered at 1400 °C. 2) 0.3 % Mn-doped samples yielded larger  $\Delta T$  compared to undoped BST samples. Increasing Mn-content from 0.3 to 1 mol % increases  $\Delta T$  further for the samples sintered at 1400 °C but no systematic trend can be found for the samples sintered at 1325 °C. (Increasing Mn-content from 0.3 to 1 mol % increases  $\Delta T$  further but BST-1Mn ( $\text{Mn}_2\text{O}_3$ ) sample sintered at 1325 °C seems to break this trend. This may be due to its extremely pinched loop behavior even in the unaged state or its immediate aging.) It was shown with previously that Mn doping can indeed increase  $\Delta T$  of  $\text{BaTiO}_3$  based ceramics and this increase was correlated to the decrease of dielectric loss and increase of the saturation polarization due to the reduction of ferroelectric domains<sup>210</sup>. Here, if we compare the maximum polarization values of Mn-doped but not aged samples at room temperature with undoped samples, we see that the polarization increases or does not change much by Mn-doping. 3) Samples in the aged state always result in lower  $\Delta T$ . This is due to the fact that aging results in a suppression of electrical polarization at temperature below  $T_C$ . This suppression is more pronounced for the samples sintered at 1400 °C. Since the slope of  $P(T)$  curves plotted as insets in Fig. 7 decreases due to this suppression,  $\Delta T$  decreases. The suppression of electrical polarization is stronger when  $E_i$  larger. 4) Samples with  $\text{MnO}_2$  precursor always result in larger  $\Delta T$ . This might also be related with the slightly better incorporation of Mn ions in the Ti-site in  $\text{MnO}_2$  sourced samples, compared to  $\text{Mn}_2\text{O}_3$  sourced samples. Since Mn-doping increases  $\Delta T$ , incorporation of more Mn ions will lead to larger  $\Delta T$ .

In a previous study<sup>209b</sup>, it was mentioned that one could obtain large electrocaloric effect (ECE) with the optimal conditions; low  $P_r$  and large  $P_{\text{max}}$ , due to causing more entropy change. In the aged samples  $\Delta T$  decreased and  $E_i$  increased therefore a more pinched polarization loop with lower  $P_r$  and lower  $P_{\text{max}}$  was obtained in our study. Here, if a connection is to be established between  $E_i$  and  $\Delta T$ , it is concluded that as  $E_i$  increases,  $\Delta T$  also decreases.

It is noteworthy that the  $\Delta T$  of the  $\text{MnO}_2$  used samples is higher than the  $\text{Mn}_2\text{O}_3$  used samples. The difference between the  $\Delta T$  values of aged and unaged states is higher for samples with  $\text{Mn}_2\text{O}_3$  source because  $E_i$  fields are higher in those samples.

### 3.3.5. Electron Paramagnetic Resonance Analysis of Mn Doped BST Ceramics

The EPR spectra of all BST-100xMn samples measured at room temperature are shown in Figure 3.20. Undoped BST ceramics are expected to be diamagnetic and they should not include any EPR active center. However, in the EPR spectra of undoped BST samples, two significant signals were observed (Figure 3.20(a) and (d)). These signals were also observed in a previous report and were associated with barium or titanium vacancies<sup>217</sup>. The g-factors corresponding to these signals were obtained as 1.99 and 1.97, which is in reasonable agreement with the previous reports<sup>218</sup>.

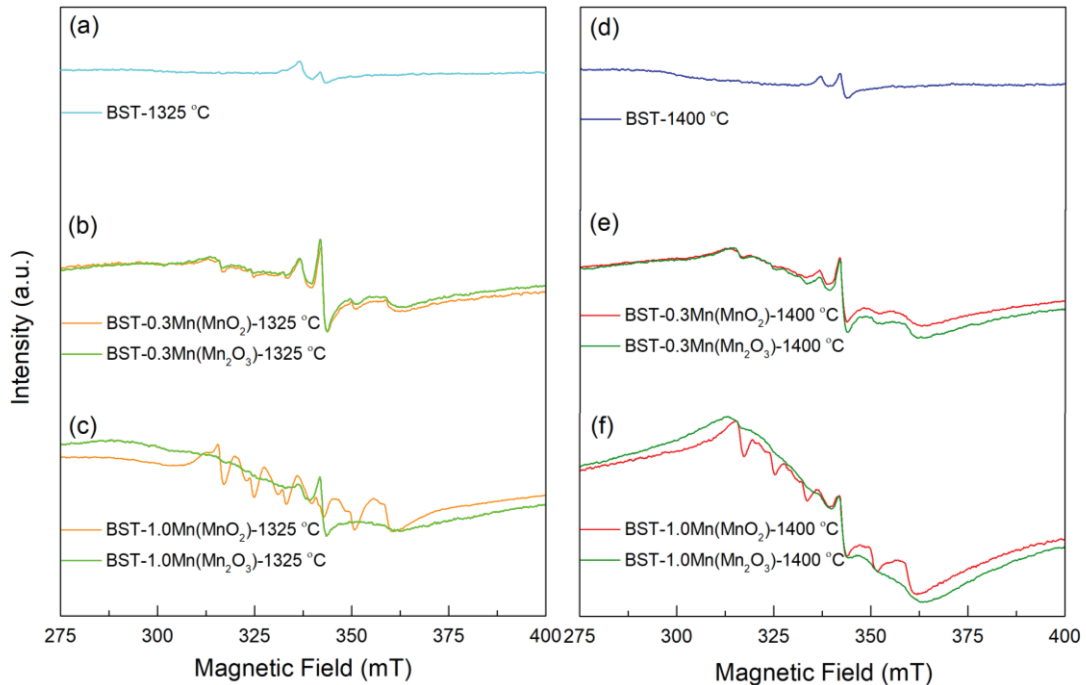


Figure 3.20. EPR spectra of all  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics.

The EPR spectra of Mn-doped BST compounds measured at room temperature show typical Mn ion signals as shown in Figure 3.20(b, c, e, f). Especially BST-1Mn ( $\text{MnO}_2$ ) sample shows a clear hyperfine sextet with a hyperfine parameter  $A \sim 8.6$  mT and g-factor  $\sim 2.001$  that corresponds to  $\text{Mn}^{2+}$ .  $\text{Mn}^{2+}$  ion has electron and nuclear spin numbers  $S = 5/2$  and  $I = 5/2$ , respectively. Actually, expected EPR spectra should give thirty distinct EPR signals due to the Zeeman splitting of electrons, it gives five EPR signals and each of these splits into six hyperfine lines ( $2I+1 = 6$ ). However, in the EPR

spectra given in Figure 3.20, we only observed six hyperfine lines. This is due to the fact that the microwave energy hence the Zeeman energy, is not high enough to overcome the large crystal field splitting energy (sometimes called zero field splitting) of the ceramic system <sup>219</sup>. Therefore, only the central electronic transition ( $\Delta M_S = 1/2 \leftarrow -1/2$  and  $\Delta M_I = -5/2 \leftarrow -3/2; -3/2 \leftarrow -1/2; -1/2 \leftarrow 1/2; 1/2 \leftarrow 3/2; 3/2 \leftarrow 5/2$ ) has been resolved. Similar effect has been observed for similar Pb-free materials such as Mn doped KNN <sup>220</sup>. In addition to the hyperfine sextets originating from  $Mn^{2+}$ , we also observe a strong and broad (half-Gaussian) signal. Such a broad signal was reported previously to originate from Mn-oxide residues that are present at the grain boundaries, which is consistent with our understanding of grain size refinement with Mn-doping discussed earlier <sup>219</sup>. EPR signals that we previously have ascribed to barium or titanium vacancies <sup>217</sup> are also observed for undoped are also present for the Mn-doped samples in different magnitudes.

The valence of all Mn-doped samples was also determined as  $Mn^{2+}$ . Therefore, it can be said that there is no valence difference in EPR between samples with  $MnO_2$  and  $Mn_2O_3$  precursors. However, the inability to detect  $Mn^{3+}$  ion signals and the apparent broad signal originating from Mn oxide residues <sup>221</sup> as well as the signals coming from Ba or Ti vacancies may prevent this analysis result from being conclusive. We also note that we have not observed any sextets for  $Mn^{4+}$ , which were reported in a study <sup>208</sup>.

On the other hand, we observe some subtle but important differences between Mn-doped samples. Even though there is no significant difference in  $Mn^{2+}$  sextet signals for 0.3 % Mn doped samples with  $Mn_2O_3$  and  $MnO_2$  precursors, an obvious difference in  $Mn^{2+}$  sextet signals of 1 % Mn doped samples have been observed for different precursors.  $Mn^{2+}$  sextet signals are almost disappeared in the samples with 1%  $Mn_2O_3$ . There may be two reasons for this: First one is  $Mn_2O_3$  might have caused more residual Mn-oxide at the grain boundaries (less Mn is incorporated to the perovskite structure as inferred from XRD experiments) and therefore the broad half-Gaussian signal from the residual Mn-oxide stronger, sextet signals from the incorporated  $Mn^{2+}$  weaker in samples with  $Mn_2O_3$ . Second one, In the samples with  $Mn_2O_3$  precursors,  $Mn^{2+}$  ions might have interacted more with oxygen vacancies (generate more defect dipoles), causing free  $Mn^{2+}$  signals <sup>222</sup> to weaken. Strong weakening of the sextet signal in samples with  $Mn_2O_3$  source can also be explained by the lower solubility limit of  $Mn_2O_3$  compared to  $MnO_2$ .

For the two different sintering temperatures, no clear difference in EPR signals was observed except a slight increase in the intensity of the broad signal of the samples sintered at 1400 °C samples. This might result from the higher amount of Mn-oxide residues at the grain boundaries at higher sintering temperatures.

### **3.4. Conclusions**

We have systematically studied the effect of different Mn-precursors and Mn content as well as sintering temperature on the aging properties and resulting dielectric, ferroelectric and electrocaloric properties of Mn-doped BST ceramics. We observed subtle but important differences between the samples synthesized using different Mn precursors on the aging behavior. We deduced that Mn is incorporated better in samples with MnO<sub>2</sub> source compared to those with Mn<sub>2</sub>O<sub>3</sub> source, from the change of tetragonality with Mn-doping. Using EPR measurements, we suggest that Mn that is not incorporated to the perovskite structure segregates at the grain boundaries as residual Mn-oxide. Average grain size of the Mn-doped samples decreases significantly due to the segregated Mn-oxide at the grain boundaries preventing the grain growth. Grain size and amount of Mn<sup>2+</sup> that is incorporated to the structure determine the aging properties. Mn-doping suppresses the dielectric constant as expected. Ferroelectric aging and resulting constriction in the hysteresis loops is significantly more pronounced for the Mn-doped samples sintered at 1325 °C probably due to the faster oxygen vacancy diffusion through the grain boundaries. Aging results in suppression of  $\Delta T$  however we observed an increase in  $\Delta T$  of the samples with Mn-doping compared to undoped BST.

## CHAPTER 4

# ENHANCEMENT OF ELECTROCALORIC EFFECT BY IMPROVING TETRAGONALITY

### 4.1. Introduction to BT-NBT Ceramics

As lead-free piezoelectric materials,  $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BNT) based materials have been widely used especially as a solid solution with  $\text{BaTiO}_3$ <sup>176</sup>. The increased piezoelectric and dielectric properties of  $(1-x)\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3-x\text{BaTiO}_3$  (NBT-BT) system have been obtained at rhombohedral-tetragonal morphotropic phase boundary (MPB) which corresponds to  $x = 0.06-0.11$  compositions<sup>175</sup>. However, few studies are focused on the compositions close to  $\text{BaTiO}_3((1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3)$ (BT-NBT)<sup>223</sup>. The reports show that incorporation of NBT into BT up to 30% of NBT composition causes an increase of the Curie temperature ( $T_C$ ) and the tetragonality ( $c/a$ ) of the system. This is an unusual effect because apart from Pb, all A-site substitutions reduce the ferroelectric distortion and  $T_C$ <sup>224</sup>. The origin of the enhancement of the tetragonality was attributed to the decrease in the oxygen-octahedral volume (Figure 4.1) rather than the off centering of the B site  $\text{Ti}^{+4}$  ion<sup>223b</sup>. When the NBT content is above  $x = 0.15$ , a gradual decrease in  $c$  and also more significant decrease in  $a$  is observed which effectively raises the tetragonality.

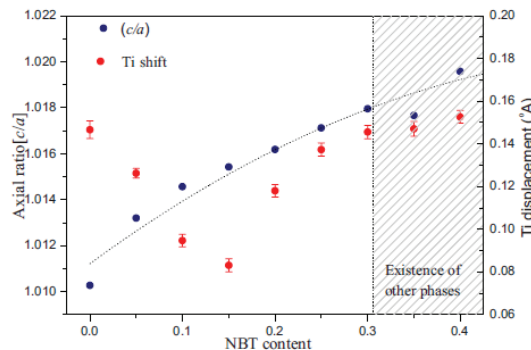


Figure 4.1. Tetragonality ( $c/a$ ) and the shifts of the  $\text{Ti}^{4+}$  ion along the polar direction of the unit cell as a function of NBT content<sup>223b</sup>.

The relation between increase in tetragonality in BT-NBT systems and their dielectric behavior was investigated in a study by collecting dielectric data under DC and AC fields <sup>224</sup>. According to this study, it was claimed that the enhancement of  $c/a$  reduces the reversible domain wall contribution to polarization due to its increased in the lattice incompatibility during the domain wall motion and irreversible domain walls which exhibit lower dielectric constants.

#### 4.1.1. Advantages of BT-BNT Systems and Its Potential Applications

BaTiO<sub>3</sub> (BT) is preferred in capacitors due to its high-dielectric properties. However, to maintain temperature-stable dielectric constant at high temperatures is not possible due to the decrease of permittivity above the Curie temperature (125 °C). The temperature stable dielectric behavior and enhanced T<sub>C</sub> (~180 °C) of BT-BNT ceramics are promising for positive temperature coefficient resistor (PTCR) ceramics <sup>225</sup>, and also for multilayer ceramic capacitors (MLCCs) which can withstand high working temperature <sup>226</sup>. For example, the use of X7R and X8R type MLCCs as capacitors in environments with operating temperatures up to 200 °C would not be suitable as their ceiling temperatures are 125 °C and 150 °C, respectively <sup>227</sup>. As high Curie temperature is required for PTCR applications, the high T<sub>C</sub> value (490 °C) of PbTiO<sub>3</sub> is more advantageous than the T<sub>C</sub> value of BaTiO<sub>3</sub> (130 °C). For this reason, BT-NBT solid solution, which is a Pb-free material, is considered in some studies <sup>228</sup> as a potential material in such applications due to its high T<sub>C</sub> and stable dielectric constant.

The influence of high tetragonality and high T<sub>C</sub> on the electrocaloric effect and field-induced strain in these systems has not been studied yet. Therefore, in this study, the electrocaloric and piezoelectric properties of BaTiO<sub>3</sub>-xBi<sub>0.5</sub>Na<sub>0.5</sub>TiO<sub>3</sub> (BT-NBT) ceramics with x = 0, 0.05, 0.10, 0.20, 0.30, and 0.40 compositions were investigated.

## 4.2. Experimental Procedure for BT-NBT Ceramics

The conventional solid state reaction method was used to prepare the ceramic samples, (1-x)BaTiO<sub>3</sub>-xBi<sub>0.5</sub>Na<sub>0.5</sub>TiO<sub>3</sub> (BT-NBT) where x = 0, 0.05, 0.10, 0.20, 0.30,

and 0.40 (see Table 4.1). The high purity metal oxides and carbonates BaCO<sub>3</sub> (99%), Bi<sub>2</sub>O<sub>3</sub> (99.9%), Na<sub>2</sub>CO<sub>3</sub> (99.8%), and TiO<sub>2</sub> (99.9%) were used as starting raw materials. All powders were dried at 200 °C overnight. Then they were weighed according to stoichiometric formula and mixed in a 30 ml HDPE bottle using zirconia balls in ethanol media by planetary ball milling for 18 h. The calcination process was carried out in pellet form at 1000 °C and 1050 °C for 2 h. After calcination the pellets were ground into powder and were mixed with 0.3% mol MnO<sub>2</sub> (99%) powder to enhance the insulation resistance and electrical breakdown field. The powders were mixed with 4 wt% polyvinyl alcohol (PVA) binder and water solution and then ball milled for 18h. Then powders were dried, sieved and pressed into the disc form (~10 mm in diameter, ~1mm thick) with a pressure ~100MPa. Then the PVA binder was burned out at 600 °C for 4 h. After burn out the pellets were sintered at 1200 °C for 2 h with a heating rate 5 °C/min.

X-ray diffraction (XRD, Cu Ka radiation, Philips X'Pert Pro) was used to determine the phases of the sintered samples. The microstructure of ceramics was studied on thermally etched surfaces (at 1100 °C, for 1 h) using a scanning electron microscope (SEM, FEI QUANTA 250 FEG). For dielectric and polarization-electric field hysteresis measurements, both surfaces of polished pellets were coated with silver paste and dried at 200 °C for 20 min.

The temperature dependence of dielectric properties of ceramics was measured at different frequencies (0.1, 1, 10, 100 kHz) by an LCR meter (KEYSIGHT, E4980AL). The polarization-electric field (P-E) hysteresis loops were obtained by using AIXACCT TF analyzer and a high voltage amplifier (TREK model 610E). The strain data were also collected simultaneously by a laser-interferometer (SIOS). Both for dielectric and hysteresis measurements a sample holder (AIXACCT piezo sample holder TFA 423-7) was used and temperature was controlled by AIXACCT temperature controller.



Table 4.1. Table of synthesized  $(1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BT-NBT) ceramics.

Base ceramic	NBT comp. (x)	Sample name	Calcination	Sintering
BT	0.00	BT	1050 °C	1200 °C 2h
	0.05	BT-0.05NBT	1000 °C	1200 °C 2h
	0.10	BT-0.10NBT	1000 °C	1200 °C 2h
	0.20	BT-0.20NBT	1000 °C	1200 °C 2h
	0.30	BT-0.30NBT	1000 °C	1200 °C 2h
	0.40	BT-0.40NBT	1000 °C	1200 °C 2h

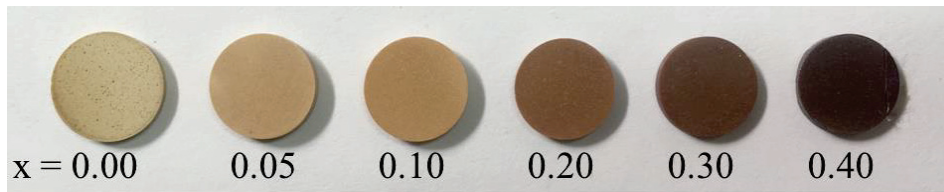


Figure 4.2. Photographs of the samples (BT–xNBT) in pellet form after sintering.

### 4.3. Results and Discussions

#### 4.3.1. Phase and Structure of BT-NBT ceramics

Figure 4.3(a) shows the x-ray diffraction patterns of the NBT-BT ceramics as a function of NBT composition. There is no observed impurity peak for any compositions. A gradual increase of the tetragonal peak splitting as a function of NBT composition is seen from Figure 4.3(b) which demonstrates the X-ray diffraction patterns of the (002) and (200) peaks. It can be realized that the (200) peak position shifts to a higher angle, while a little change occurs in the (002) peak position with the increasing NBT composition. It corresponds to a slight increase in the lattice parameter  $c$  while a continuous decrease in the lattice parameter  $a$  occurs as obtained from Rietveld refinement of the XRD patterns. In Table 4.2 and Figure 4.4 the obtained lattice parameters  $a$ ,  $c$ , and their ratio ( $c/a$ ) have been summarized. The systematic increase in  $c/a$  values shows that the tetragonality is enhanced dramatically by NBT incorporation, despite the substitution of two heterovalent  $\text{Na}^{+1}$  and  $\text{Bi}^{+3}$  ions into A-site. Generally, when cations such as Zr, Sn and Sr are doped into  $\text{BaTiO}_3$ , they are

known to weaken the ferroelectric distortion and reduce the tetragonality or  $T_C$ <sup>229</sup>. Here, on the other hand, tetragonality is increased by substituting  $\text{Na}_{0.5}\text{Bi}_{0.5}$  into the A-site. Rao et al explained this increase<sup>230</sup> with the shortening of A-site-oxygen bond distances and strengthening of the covalent character, resulting in the strengthening of spontaneous tetragonal strain.

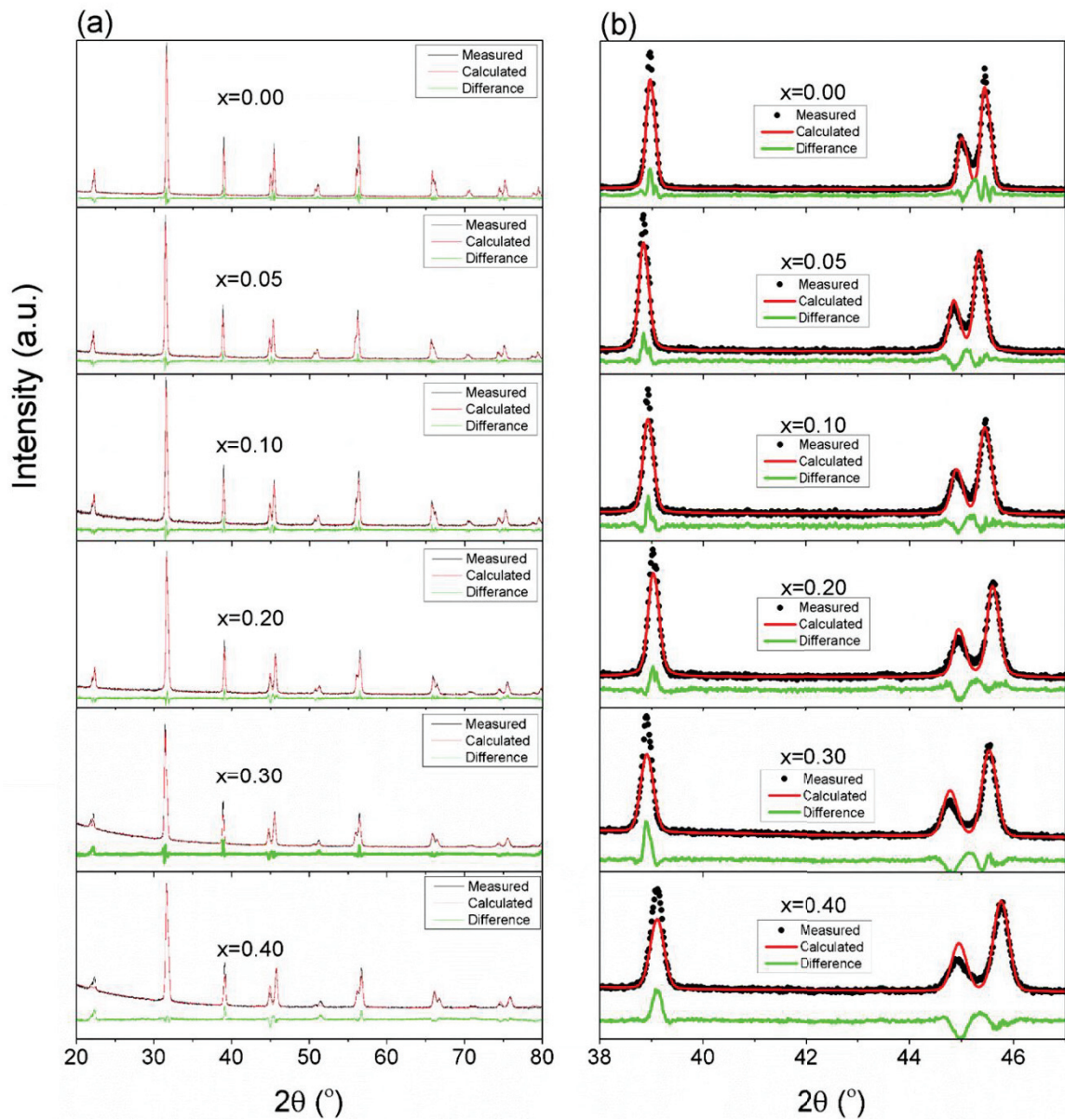


Figure 4.3. Refined XRD patterns of  $(1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BT-NBT) ceramics for  $x = 0, 0.05, 0.10, 0.20, 0.30$  and  $0.40$  compositions in the range between (a)  $20^\circ$ - $80^\circ$  and (b)  $38^\circ$ - $47^\circ$ .

Table 4.2. Rietveld refinement results of  $(1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BT-NBT) ceramics.

SAMPLE	$a$ (Å)	$c$ (Å)	$c/a$ (Å)	Theoretical Density ( $\text{g}/\text{cm}^3$ )	$R_{\text{wp}}$ (%)
BT	3.9939(1)	4.0313(1)	1.0093	6.022	11.208
BT-0.05NBT	3.9932(2)	4.0336(2)	1.0101	5.994	8.888
BT-0.10NBT	3.9886(1)	4.0346(1)	1.0115	5.979	8.986
BT-0.20NBT	3.9778(1)	4.0327(1)	1.0137	5.958	8.695
BT-0.30NBT	3.9674(2)	4.0300(2)	1.0157	5.938	6.514
BT-0.40NBT	3.9602(2)	4.0274(2)	1.0170	5.909	6.233

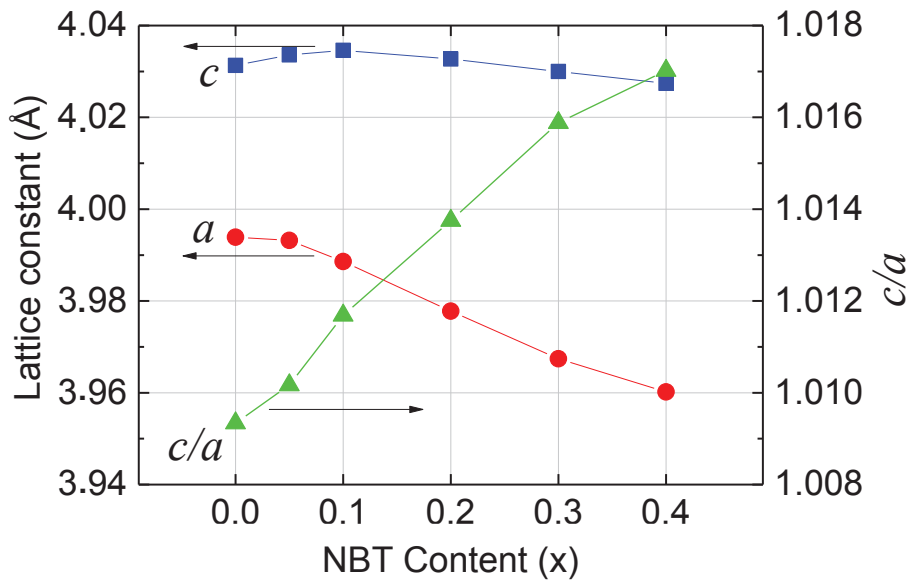


Figure 4.4. Lattice parameters and tetragonality ( $c/a$ ) of BT-NBT ceramics as a function of NBT content according to Rietveld refinement results.

### 4.3.2. Microstructure of BT-NBT ceramics

The SEM images of the  $(1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  ceramics which were sintered at  $1200\text{ }^\circ\text{C}$  have been given in Figure 4.5. The average grain sizes of the samples for  $x = 0, 0.05, 0.10, 0.20, 0.30,$  and  $0.40$  compositions are calculated as  $0.24,$

0.56, 0.65, 0.83, 1.29 and 1.85  $\mu\text{m}$ , respectively by linear intercept method and their distribution plots are given in the insets of Figure 4.5. The increase in the grain size as the NBT incorporation shows that NBT enhances the grain growth and cause some microstructural differences even under the same synthesis conditions. The  $x = 0.00$ , 0.05 and 0.10 samples appear to have incomplete grain growth as evidenced by their submicron grain sizes and pores around grain boundaries. As the  $x = 0.20$ , 0.30 and 0.40 samples have similar dense microstructures, their electrical properties can be more appropriately compared.

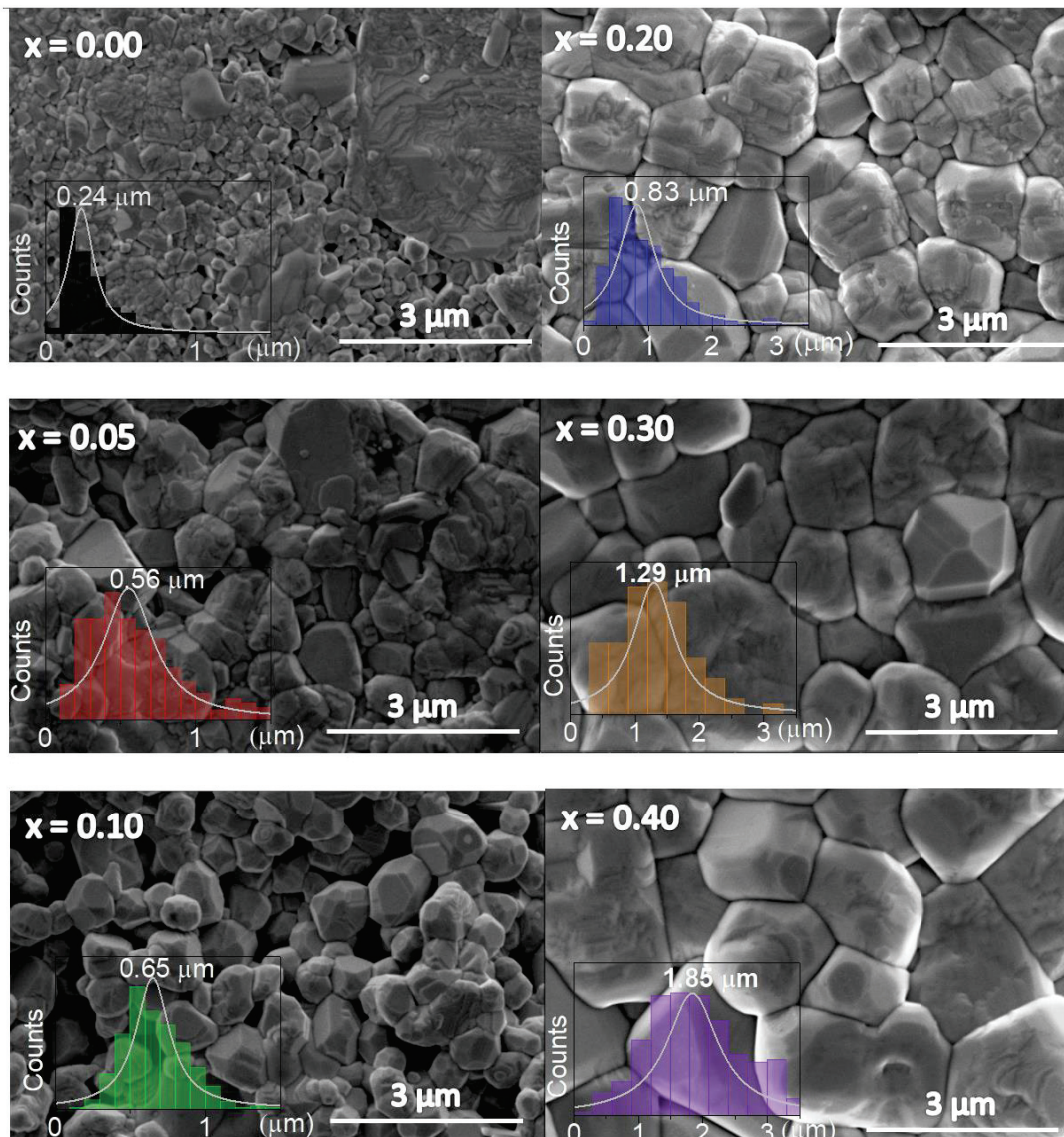


Figure 4.5. SEM images of  $(1-x)\text{BaTiO}_3-x\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BT-NBT) ceramics for  $x = 0$ , 0.05, 0.10, 0.20, 0.30, and 0.40 compositions.



### 4.3.3. Dielectric Properties of BT-NBT ceramics

Figure 4.6 shows the dielectric constant and dielectric loss data of the BT-NBT samples. In Figure 4.6(a) and (b) comparison of dielectric constant and dielectric loss of the samples for all compositions at 10 kHz are shown, respectively. According to these results, an obvious trend depending on the NBT composition has been realized. Consistent with the literature, the  $T_C$  value increases as the NBT composition incorporation increases. This is an expected result in accordance with the increase in the tetragonality ( $c/a$ ) with NBT composition<sup>223b</sup>. The increase in  $T_C$  was attributed to shortening of the Ti–O bonds by calculating the bond-valence sum (BVS) of the Ti ion that it approaches its ideal value by NBT incorporation<sup>223b</sup>. On the other hand, it can be seen that the dielectric constant at  $T_C$  has been also increased as the NBT composition increased up to  $x = 0.20$  composition and decreases again for 0.30 and 0.40 compositions (Figure 4.6a).

The increase in the NBT incorporation seems to induce the decreased dielectric constant below  $T_C$  which is originated from decrease in domain wall mobility. It is known that  $90^\circ$  domain wall fluctuations (or mobility) contribute most effectively to increase the dielectric constant around room temperature<sup>231</sup>. Therefore, the higher  $90^\circ$  domain wall density, the greater its contribution to the dielectric constant. Generally, the increase in  $90^\circ$  domain wall density and hence increase in dielectric constant around room temperature are expected with the increase of grain size<sup>28, 216a</sup>. However, in the BT-NBT samples, by NBT incorporation the room temperature dielectric constant decreases even increasing grain size, therefore the strong increase in  $c/a$  probably suppresses the  $90^\circ$  domain wall mobility, so tetragonality becomes the main effect that causes a decreased dielectric constant<sup>224, 232</sup>. Because, the increase of tetragonality can cause a decrease in the domain wall mobility due to the intergranular constraints<sup>18</sup> consequently cause decrease in dielectric constant. In another words, the decrease in the dielectric constant around room temperature by NBT incorporation can be attributed to high tetragonality that reduces  $90^\circ$  domain walls mobility. Additionally, the increase in dielectric constant around  $T_C$  up to  $x = 0.20$  NBT composition can be attributed to grain size or grain boundary effects. This situation causes the dielectric function to form a sharper step around  $T_C$ , especially for the  $x = 0.20$  composition. This sharp step can be advantageous for the electrocaloric effect due to the possible first order phase transition.

Figure 4.6(c-f) demonstrates temperature dependent dielectric constants and loss of the samples for  $x = 0, 0.05, 0.10, 0.20, 0.30$  and  $0.40$  compositions respectively for  $0.1-100$  Hz. A trend of peak sharpening can be seen with increasing NBT concentration. This first order like phase transition especially seen in that of  $x = 0.20$  composition. However for  $0.30$  and  $0.40$  compositions the phase transition become more diffuse that can be a result of occurrence of frozen impurities, vacancies, or nonstoichiometric regions<sup>223b</sup>.

The peaks around room temperature, observed in the dielectric constant and dielectric loss of the  $x = 0, 0.05$  and  $0.10$  compositions, do not correspond to any phase transition because they have been inhibited as the frequency increases. However this peak occurrence can be a result of the contribution from space-charge polarization effect or Schottky diode effect<sup>223b</sup> possibly occurs in grain boundary pores.

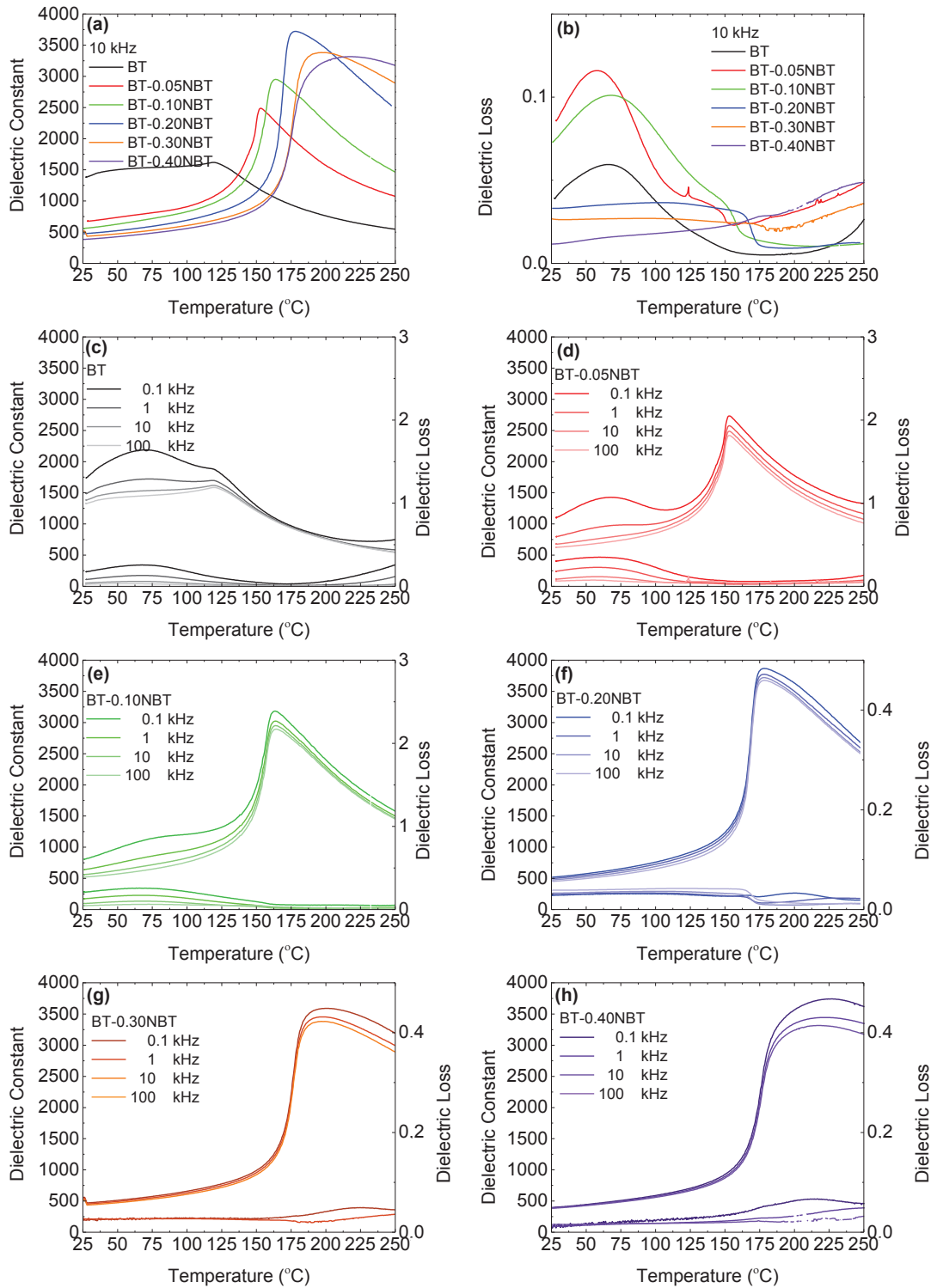


Figure 4.6. Comparisons of (a) dielectric constant and (b) loss of the samples for all compositions at 10 kHz and (c-f) dielectric constant and loss of the samples for  $x = 0, 0.05, 0.10, 0.20, 0.30,$  and  $0.40$  compositions, respectively.



#### 4.3.4. Ferroelectric and Electrocaloric Properties

In Figure 4.7 temperature dependent polarization and strain-electric field hysteresis loops of all specimens (except  $x = 0$  and  $0.40$ ) have been given. Due to the contribution of conductivity in undoped BT sample, measurement was carried out at  $50$  Hz but the sample experienced dielectric breakdown at  $50$  °C. The conductivity contribution is also present in the  $x = 0.05$  composition, which is evident from the lossy hysteresis behavior around  $T_C = 150$  °C (Figure 4.7(a)). For  $x = 0.10$  composition the conductivity contribution is not observed at all and the P-E loops are slim above  $T_C = 163$  °C as expected in the paraelectric phase (Figure 4.7(b)). The lack of conductivity contribution is also supported by the fact that the loss value of  $x = 0.10$  composition above  $T_C$  (see Figure 4.6(e)) does not increase with increasing temperature. The positive strain values reach their maximum at  $T_C$  for the  $x = 0.10, 0.20$  and  $0.30$  compositions (Figure 4.7(d, f, h)). Interestingly, it is observed that the polarization loops of the  $x = 0.20$  and  $0.30$  compositions at  $T_C$  have double loop-like shapes (Figure 4.7(e, g)). This rare behavior is attributed to their strongly tetragonal ferroelectric domain structure and occurrence of the sudden phase transition at  $T_C$  indicating first order like phase transition<sup>233</sup>. Polarization and strain behavior is similar for  $x = 0.20$  and  $0.30$  compositions. The coercive fields of these latter samples increase as the NBT content increases.

In order to support the unusual observation of the first-order phase transition, besides the electric field dependent hysteresis behavior of polarization (P-E) (Figure 4.8 (b)) at  $T_C$ , DSC measurements (Figure 4.8(a)) and temperature dependent behavior of remnant polarization ( $P_r - T$ ) (Figure 4.8(c)) have been also investigated. The heat flow peak around  $T_C$  of the  $x = 0.20$  and  $0.30$  compositions is sharper which implies a sharper phase transition behavior<sup>234</sup>. P-E hysteresis loops in  $T_C$  have double loop behavior for  $x = 0.20$  and  $0.30$  compositions as shown in Figure 4.8(b). On the other hand, the sudden decrease in  $T_C$  in the  $P_r - T$  graphs of  $x = 0.20$  and  $0.30$  compositions might also indicate first-order like nature of the phase transition as shown in Figure 4.8(c).

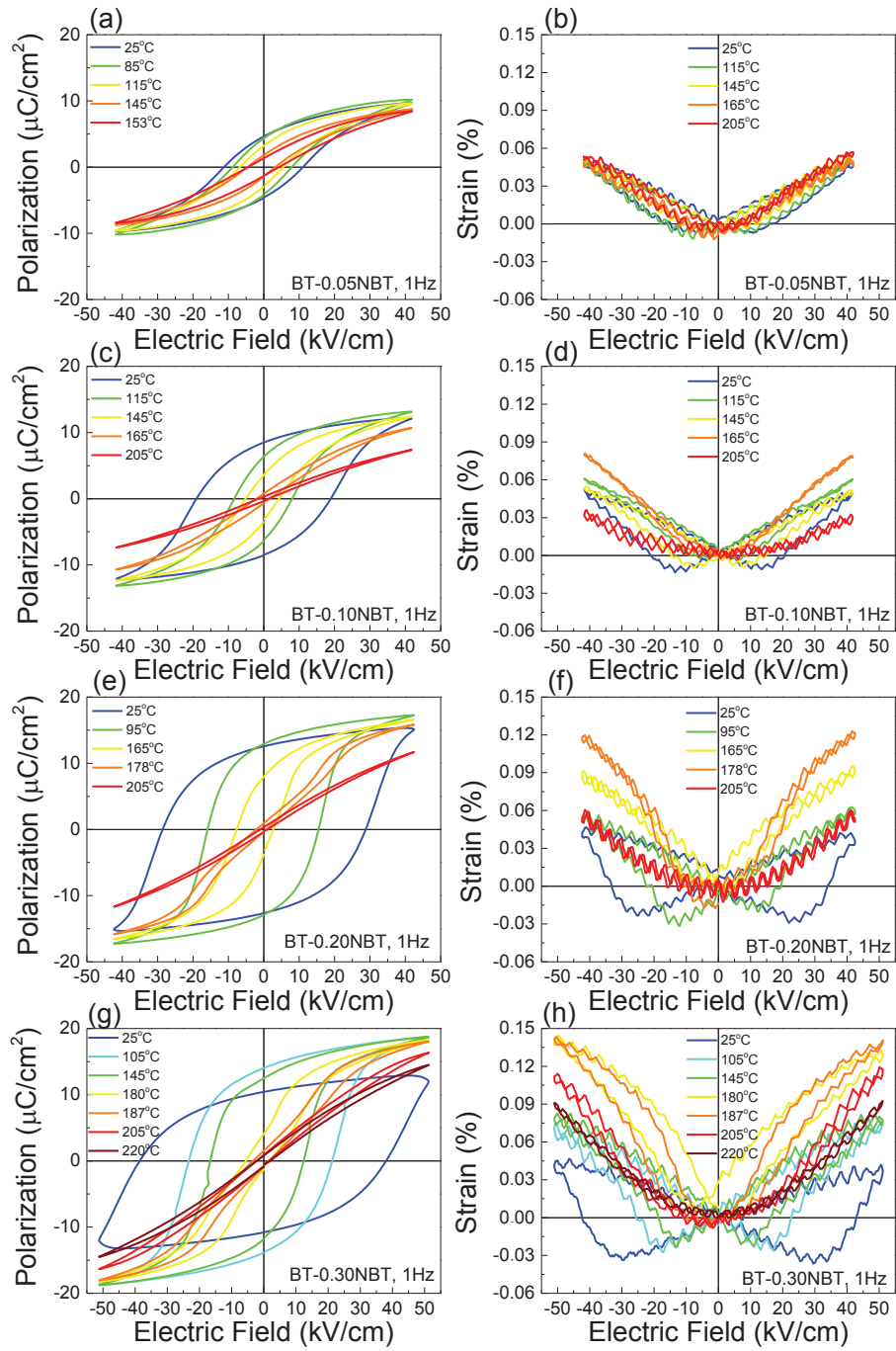


Figure 4.7. Temperature dependent (a-d) polarization and (e-h) strain-electric field hysteresis loops the ceramics.

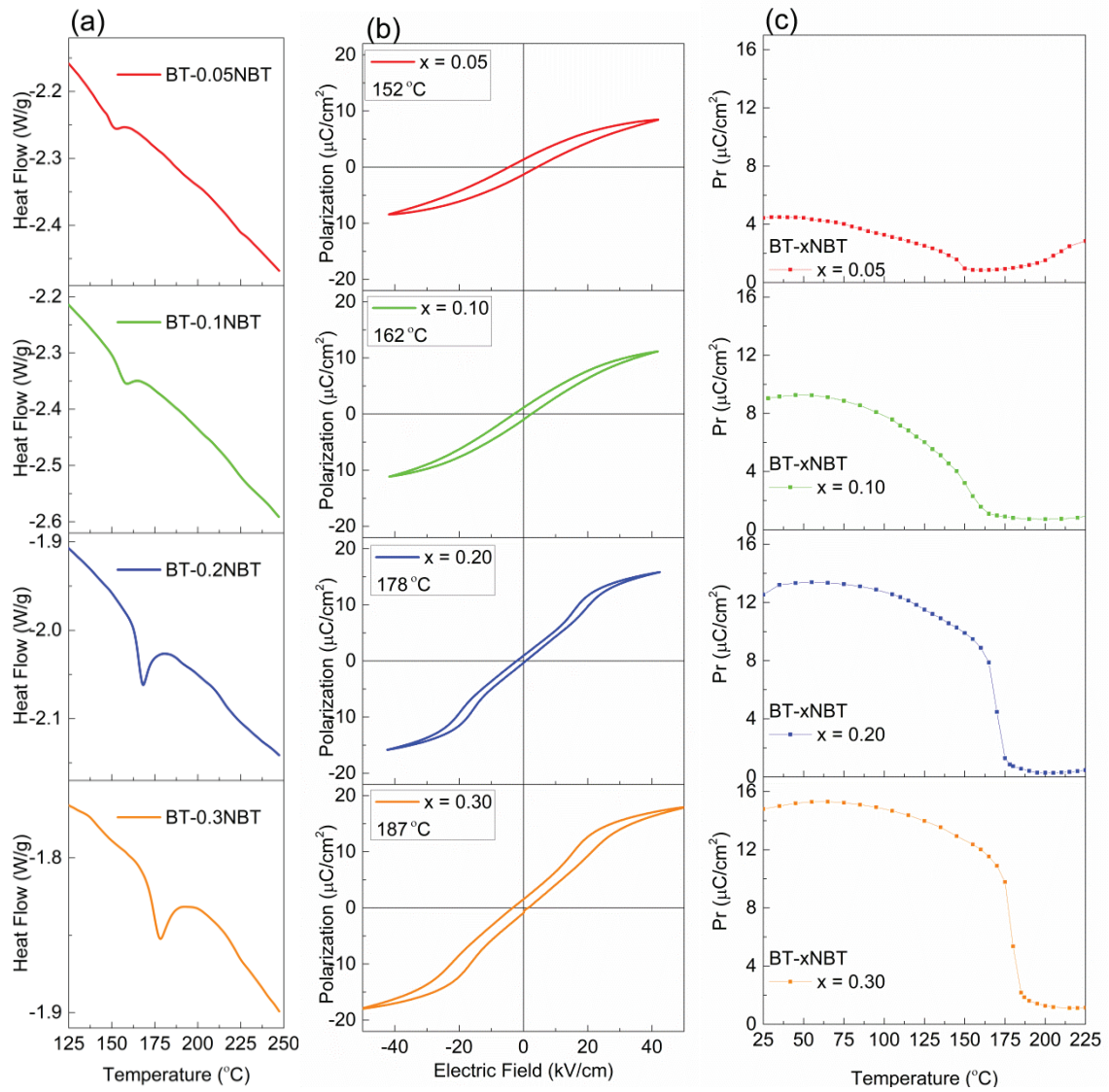


Figure 4.8. Comparison of phase transition behavior of samples at  $T_C$  via (a) DSC measurements, (b) P-E measurements and (c) temperature dependent remnant polarization

According to polarization-electric field hysteresis loop comparison (Figure 4.9(a)) as NBT content increases  $P_S$ ,  $E_C$  and  $P_r$  also increases considerably. The spontaneous polarization (which is proportional to saturation polarization) also increased but in proportion to the tetragonality ( $c/a$ ). The reasons for this can be given as (1) the restriction of domain wall motion due to the increased tetragonality or the reduction of  $90^\circ$  domain wall densities and (2) less change in the lattice parameter  $c$  compared to  $a$  which can cause lower  $P_S$  dipole.

Strain-electric field hysteresis loop comparison for all compositions at 40 kV/cm is shown in Figure 4.9(b). It is observed that the positive strain slightly decreases as the NBT content increases. Simultaneously, the negative strain increases and a more evident butterfly-shaped loop characteristic emerges with the increase in NBT content. In fact, the decreased negative strain in  $x = 0.05$  and  $0.10$  compositions can be attributed to the incomplete grain growth and the small grain size that restricts the formation of long range ordered ferroelectric domains. On the other hand, the decreased the positive strain with the NBT incorporation indicates that the NBT restricts the domain switching behavior despite the increase in grain size.

Temperature dependence of the electrical polarization and corresponding  $\Delta T$  values at 40 kV/cm are shown in Figure 4.9(c, d). The maximum  $\Delta T$  values were obtained as 0.36, 1.30, 2.63, and 3.02 K for compositions  $x = 0.05, 0.10, 0.20$  and  $0.30$ , respectively. (Although a max  $\Delta T$  value of 1.78 K was obtained for the  $x = 0.40$  composition, this sample was excluded from the comparison for now due to its conductivity contribution around  $T_C$ ). On the other hand, their corresponding  $\Delta T/E$  ratios are 0.090, 0.325, 0.657 and 0.755 K m/MV respectively. The highest  $\Delta T$  values belong to the  $x = 0.20$  and  $0.30$  compositions. The reason for this can be ascribed to the first order like phase transition behavior<sup>235</sup> and abrupt polarization drop around  $T_C$ .

The comparison of  $d_{33}$  coefficient and so called high field  $d_{33}^*$  coefficients of the samples are given in Figure 4.10. It can be observed that the increase in NBT content decreased both  $d_{33}$  and  $d_{33}^*$  coefficients. The positive strain has been also decreased slightly but the negative strain has been increased significantly by NBT incorporation as it given in Figure 4.9(b). These piezoelectric properties indicate that the increase in tetragonality or coercive field restricts the domain switching behavior and domain wall motion despite the decrease in grain boundary effect with the increase of NBT content.

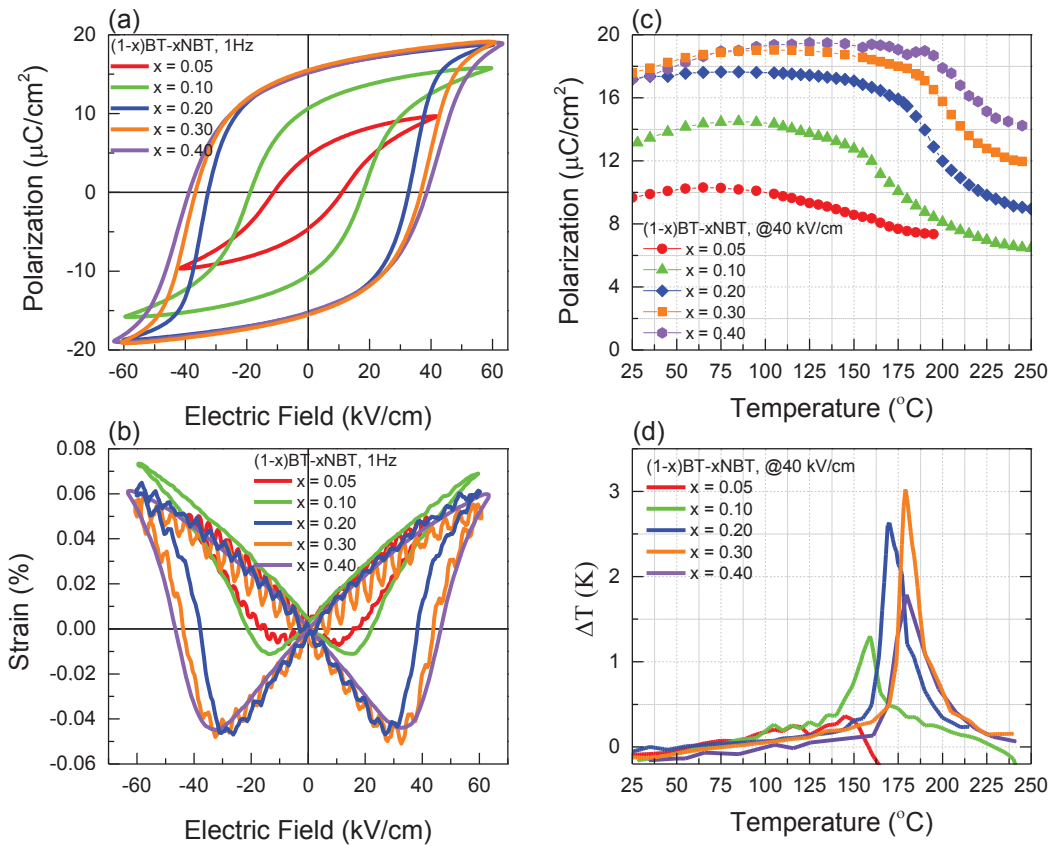


Figure 4.9. Room temperature (a) polarization and (b) strain-electric field hysteresis loop comparison for all compositions and (c) temperature dependence of the electrical polarization and corresponding (d)  $\Delta T$  values at 40 kV/cm.

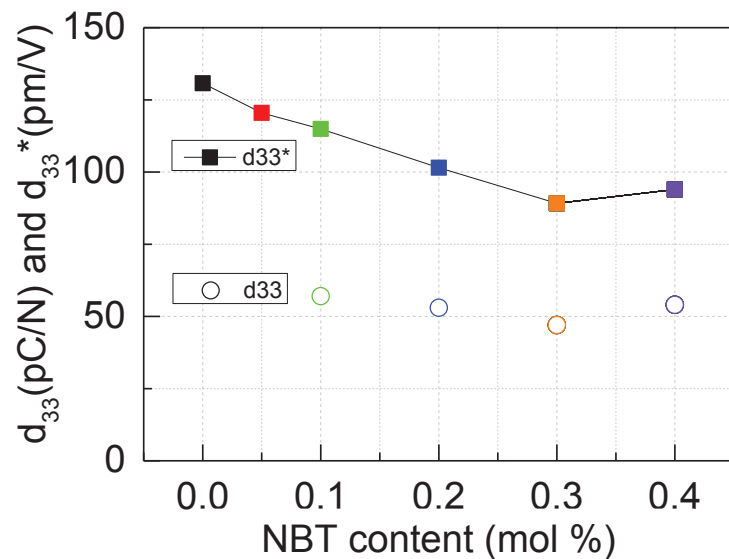


Figure 4.10. Room temperature piezoelectric coefficient ( $d_{33}$ ) and high-field piezoelectric coefficient ( $d_{33}^*$ ) as a function of NBT content.

#### 4.4. Conclusions

In this study, by NBT incorporation to the BT system, increase in tetragonality has been obtained. According to the dielectric, piezoelectric and ferroelectric findings, the increase in the  $T_C$  value, increase in negative strain and increase in coercive field were associated with the restriction of domain switching due to enhanced tetragonality by NBT incorporation. In addition, electrocaloric properties of BT-NBT systems were measured indirectly for the first time, and it was shown that the increase in tetragonality by NBT incorporation also increased the electrocaloric effect. The highest  $\Delta T = 3.02$  K has been obtained for  $x = 0.30$  compositions at  $187$  °C and at  $40$  kV/cm electric field that corresponds to  $\Delta T/E = 0.755$  K m/MV. The reason for the enhancement of  $\Delta T$  has been associated with the increased first order like phase transition behavior around  $T_C$  by NBT incorporation.

## CHAPTER 5

# ELECTROCALORIC PROPERTIES OF TEXTURED KNBT CERAMICS

### 5.1. Introduction

In the development of lead-free ceramics together with chemical composition development, obtaining crystallographically oriented ceramics provides important advantages in increasing the electrical properties. Dielectric and electro-mechanical properties can be developed at high levels from the ceramics produced as crystallographically oriented, close to single crystal properties<sup>174</sup>. One of the most promising lead-free ceramics for actuator applications is the textured KNN-based piezoelectric material  $((K_{0.5}Na_{0.5})_{1-x}Li_x)(Nb_{1-y}Ta_y)O_3$  which was developed by Saito et al. in 2004<sup>236</sup>. While a  $d_{33}$  value of 300 pC/N was obtained in randomly oriented ceramics,  $d_{33}$  increased to 416 pC/N in ceramics textured along (00l) direction<sup>236</sup>. The results reveal that development can improve piezoelectric properties of ferroelectric ceramics. In the aforementioned study, grain oriented materials were produced using the templated grain growth (TGG) method. Prior to TGG studies, it is known that high piezoelectric coefficient and low hysteresis properties were obtained in certain crystallographic orientations<sup>237</sup>. In the TGG method, an oriented microstructure is obtained using a seed crystal (sometimes called a template), which is a single crystal oriented along a crystallographic direction, by growing the matrix grains around them (Figure 1.24). It was previously shown that pyroelectric effect is improved with texturing<sup>93</sup>. The pyroelectric effect is defined as the change of electrical polarization with temperature in polar materials ( $dP/dT$ ). Therefore, materials with a high pyroelectric coefficient ( $dP/dT$ ) are potentially expected to exhibit a large electrocaloric effect<sup>238</sup>.

In this study, the contribution of texturing in  $0.82(Na_{0.5}Bi_{0.5}TiO_3)$ - $0.18(K_{0.5}Bi_{0.5})TiO_3$  (0.82NBT-0.18KBT) samples (abbreviated as 0.82NBT-0.18KBT or KNBT in this study), to the electrocaloric effect was investigated. The reason for



choosing this composition is that a large electrocaloric efficiency has been reported among Pb-free ferroelectrics which is related to the presence of a MPB <sup>239</sup>. Previously reported electrocaloric temperature change in the behavior of this material is shown in Figure 5.1. It can be observed that the electrocaloric temperature change is maximum near the depolarization temperature.

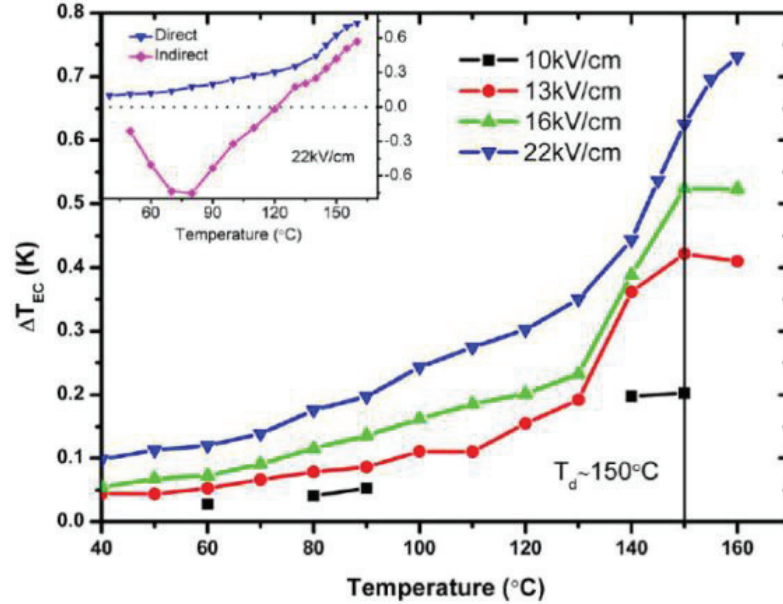


Figure 5.1. Electrocaloric temperature change of  $0.80\text{Na}_{0.5}\text{Bi}_{0.5}\text{TiO}_3\text{-}0.20\text{K}_{0.5}\text{Bi}_{0.5}\text{TiO}_3$  ceramics <sup>239</sup>.

## 5.2. Experimental Procedure for KNBT Synthesis

The samples studied in this section was synthesis by Muhammet Ali Ünal with the assistance of Dr. Gökçe Yıldırım Özarslan under the supervision of Prof. Dr. Ender Suvacı. To produce templated  $0.82\text{Na}_{0.5}\text{Bi}_{0.5}\text{TiO}_3\text{-}0.18\text{K}_{0.5}\text{Bi}_{0.5}\text{TiO}_3$  (0.82NBT-0.18KBT) samples,  $\text{Na}_{0.5}\text{Bi}_{0.5}\text{TiO}_3$  (NBT) and  $\text{K}_{0.5}\text{Bi}_{0.5}\text{TiO}_3$  (KBT) powders were synthesized separately and the desired stoichiometry was mixed. For NBT and KBT powder synthesis, the stoichiometric mixtures of  $\text{Na}_2\text{CO}_3$ ,  $\text{K}_2\text{CO}_3$ ,  $\text{Bi}_2\text{O}_3$  and  $\text{TiO}_2$  raw material powders were calcined after homogenous mixtures were obtained in the attritor mill. Furnace regimes arranged to  $5\text{ }^\circ\text{C}/\text{min}$  rate, NBT calcination carried out at  $800\text{ }^\circ\text{C}$  and 2 hours at KBT calcined at  $850\text{ }^\circ\text{C}$  for 2 hours. After calcination of NBT and KBT

powders, they were homogeneously ground and mixed in an attritor mill at the desired stoichiometric ratios (0.82NBT-0.18KBT).

The tape casting production of KNBT samples with 0%, 7% and 10% NBT template ratio was carried out by using the recipe available in the Master of Science thesis of Muhammet Ali Ünal <sup>92</sup>. To avoid deviation from MPB, raw powders were weighed according to the stoichiometric ratio, considering the ratios of NBT templates <sup>240</sup>. The dispersant abbreviated as TEGO was used to keep powders homogeneous in solvents. The combination of MEK (methyl ethyl ketone) and Ethanol with 50-50% rate was used as solvent medium. The other components, PVB (Polyvinyl Butyral) and DBP (dibutyl phthalate) were used as binder and plasticizer respectively.

Using this recipe, KNBT ceramics were tape casted. Afterwards, they were cut into 1 cm<sup>2</sup> pieces and stacked on top of each other so that the approximate height was 1 mm after pressing and pressed with a laminating press. Pressing was done at 30 °C with a pressure of 100 MPa. The binder burnout process was carried out at 400 °C for 1 hour, and 600°C for 6 hours. After binder burnout, the obtained samples were pressed with cold isostatic press (CIP) at 300 MPa pressure for 10 seconds. Afterwards, all samples were sintered at 1150 °C for 24 hours. The calcination and sintering conditions are shown in the Table 5.1.

Table 5.1. Calcination and sintering conditions of KNBT samples.

NBT TEMPLATE	Sample name	Calcination of NBT	Calcination of KBT	Calcination of KNBT	Sintering
0%	KNBT-0PL	800 °C, 2 h	850 °C, 2 h	700 °C, 10 min	1150 °C 24 h
7%	KNBT-7PL	800 °C, 2 h	850 °C, 2 h	700 °C 10 min	1150 °C 24 h
10%	KNBT-10PL	800 °C, 2 h	850 °C, 2 h	700 °C 10 min	1150 °C 24 h

## 5.3. Results and Discussions

### 5.3.1. Structure of KNBT ceramics

XRD patterns of sintered 0 %, 7 % and 10 wt% templates containing samples are shown in Figure 5.2. When the XRD results are examined, it is seen that a single-phase perovskite structure is obtained for all samples. On the other hand, depending on the template amount, it is observed that the intensity of the peak belonging to the (110) plane decreases while the intensity of the (200) peak, increases. As a result, it was understood that the tape casting method provides orientation along (200) plane (Lotgering factor  $\approx 47\%$ ) even in the KNBT-0PL ceramic material which does not contain any templates. The weak presence of (002) peak is related with the KBT content in the solid solution and points to the contribution from the tetragonal phase<sup>240</sup>

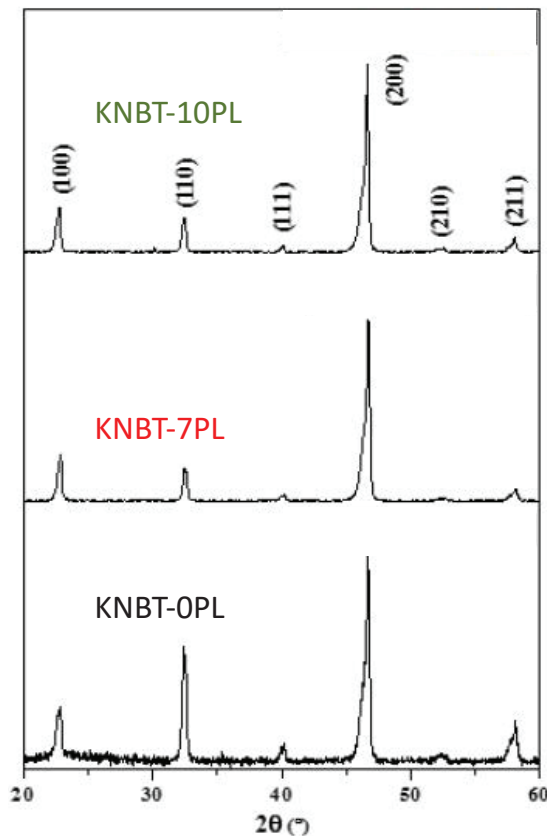


Figure 5.2. XRD patterns of samples containing 0%, 7% and 10% template sintered for 24 hours at 1150°C.

### 5.3.2. Microstructure of KNBT ceramics

In Figure 5.3 SEM surface images of polished and etched KNBT samples sintered for 24 hours at 1150 °C are shown. According to these SEM images, it is observed that the densification has occurred to a certain level. If the KNBT sample containing 7 wt% template is considered, it is seen that the templates do not completely swallow the matrix powders, and the grains formed by the matrix powders growing in the structure. The lower orientation level in XRD pattern of this sample also supports this situation. With the increase in the amount of template, it is seen that the templates absorb the matrix grains more during sintering in the sample containing 10 % template compared to the sample containing 7 % template. Average grain sizes are 3.2, 5.8 and 6.2  $\mu\text{m}$  for 0%, 7% and 10% template containing KNBT samples, respectively. The density of the samples, slightly decreases with increasing template content (5.71, 5.61, 5.57  $\text{g}/\text{cm}^3$  for 0%, 7%, 10% template containing KNBT samples, respectively).

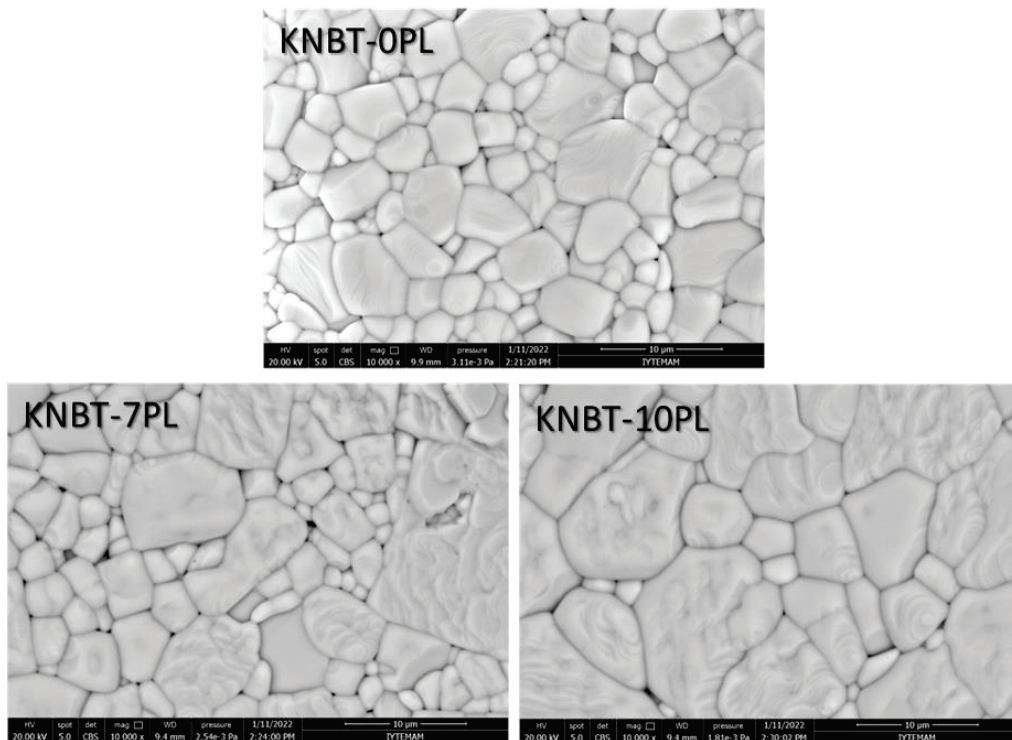


Figure 5.3. SEM surface images of samples containing 0%, 7% and 10% template, sintered for 24 hours at 1150 °C.

### 5.3.3. Dielectric and Ferroelectric Properties of KNBT Ceramics

In Figure 5.4(a), the comparison of the dielectric constant and dielectric loss measurement results at 1 kHz of KNBT samples containing 0, 7 and 10 % templates are given. The shoulder temperature ( $T_s$ ) is around 145 °C for both samples containing KNBT-7PL and KNBT-10PL, while  $T_s$  is around 125 °C in the sample without templates (KNBT-0PL). Thus,  $T_s$  increases with the addition of the template. The temperature  $T_s$  is proportional to the depolarization temperature ( $T_d$ ) but is different from it. In the KNBT-0PL sample, the temperature at which the polarization decreases drastically was determined as  $T_d$  and it was observed that it overlaps with  $T_s$ . However, as it will be seen in the next section, due to the increase in conductivity in templated samples at high temperatures, which is also evident from dielectric losses,  $T_d$  determination from P-E loops for templated samples was not done because it would not be accurate. Therefore,  $T_s$  will be used for comparison.

The effect of texturing on the hysteresis loops at room temperature is shown in Figure 5.4(b), accordingly; the highest polarization value at room temperature was measured in the sample without any template (KNBT-0PL). As the amount of template and degree of orientation increases, the maximum polarization slightly decreases. Possible reasons for the decrease of the polarization with increasing orientation can be: (1) The NBT templates added in the templated samples cause a deviation from the morphotropic phase boundary despite the necessary stoichiometric adjustments. (2) The microstructure difference: increased the grain size of our templated samples did not cause an increase in the polarization however, it is known that the increase grain size causes increased polarization<sup>241</sup>. (3) The orientation difference: As expected in the templated samples, the intensity of the (200) plane increased compared to (110). However, the orientation contribution did not lead to an increase in polarization unlike previous reports in the literature<sup>242</sup>. The absence of this increase here indicates that other factors might be effective.

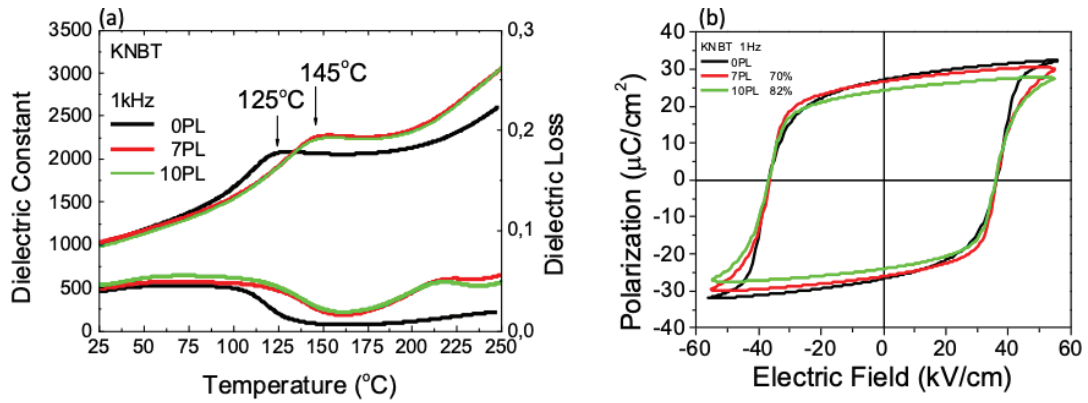


Figure 5.4. (a) Dielectric constant comparison of KNBT samples containing 0, 7 and 10% templates at 1 kHz, (b) Polarization-electric field comparison of KNBT samples containing 0, 7 and 10% templates at 1 Hz and at room temperature.

Figure 5.5 (a), Figure 5.5 (d) and Figure 5.6 (a) shows the temperature dependent polarization, strain and current hysteresis measurements of the KNBT-0PL sample respectively. An electric field up to 50 kV/cm was applied for the polarization hysteresis loop to reach saturation. With the increase in temperature, the maximum polarization increases slightly at first because, the polarization has not yet reached saturation in the highest applied electric field at room temperature and the curves can reach more saturation with the increase in temperature. The hysteresis loops get slimmer; remnant polarization and coercive field decreases as the temperature increases. With further increase in temperature, double hysteresis behavior was observed, indicating the transition from non-ergodic to ergodic state<sup>30</sup>. The remnant polarization ( $P_r$ ) decreases drastically around the depolarization temperature,  $T_d = 125$  °C (see Figure 5.7(a)) while the negative strain observed up to the  $T_d$  disappears above the  $T_d$  (see Figure 5.7(b)). It is also observed that the  $E_2$  peak in the current-electric field hysteresis loops has negative values when the temperature rises above  $T_d$ . It was noticed that at  $T_d$ , the  $E_2$  peak coincides with zero electric field. At higher temperatures (e.g. 205 °C), very slim curves with almost no hysteresis are observed. Additionally,  $E_1$  peak vanished at high temperatures (see Figure 5.7(a)). All these observations show that there is a transition from non-ergodic relaxor to ergodic relaxor behavior.

Figure 5.5 (b,c), Figure 5.5 (e,f) and Figure 5.6 (b,c) shows the temperature dependent polarization, strain and current measurements of the KNBT-7PL and KNBT-10PL samples respectively. Templated samples have similar behavior with that of non-templated KNBT-0PL sample. However, their depolarization temperature is around  $T_d =$



145 °C as approximated by  $T_S$  from the dielectric measurements, which was confirmed from as the sharp decrease of the remnant polarization around 145 °C and current  $E_1$  peak that goes zero field above 145 °C. On the other hand, the templated samples shows lossy curves at 205 °C that is, the polarization increases again with temperature. This shows that above  $T_d$  the conductivity contribution increases and this masks the actual behavior of the sample at high temperatures.

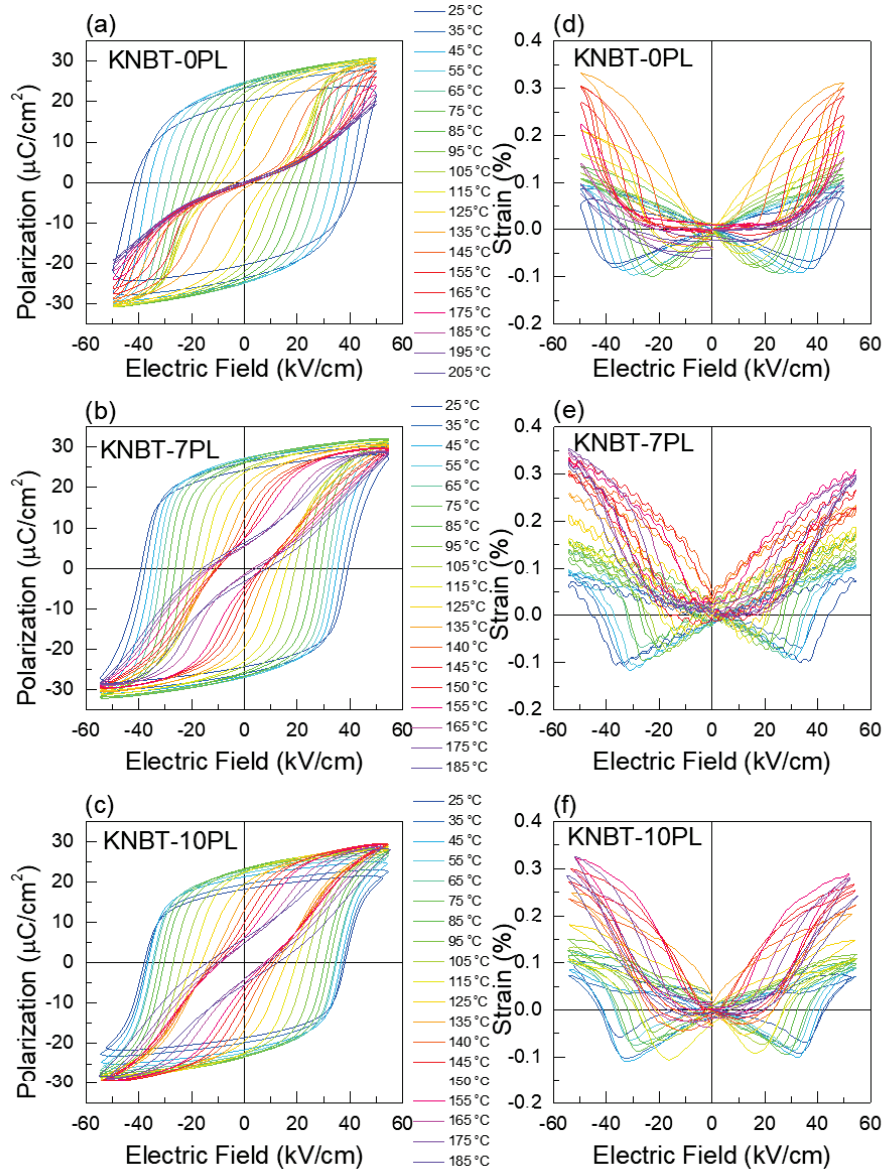


Figure 5.5. Temperature dependent (a,b,c) polarization-electric field, (d,e,f) strain-electric field and (g,h,i) current- electric field hysteresis loops of KNBT-0PL, KNBT-7PL and KNBT-10PL samples.



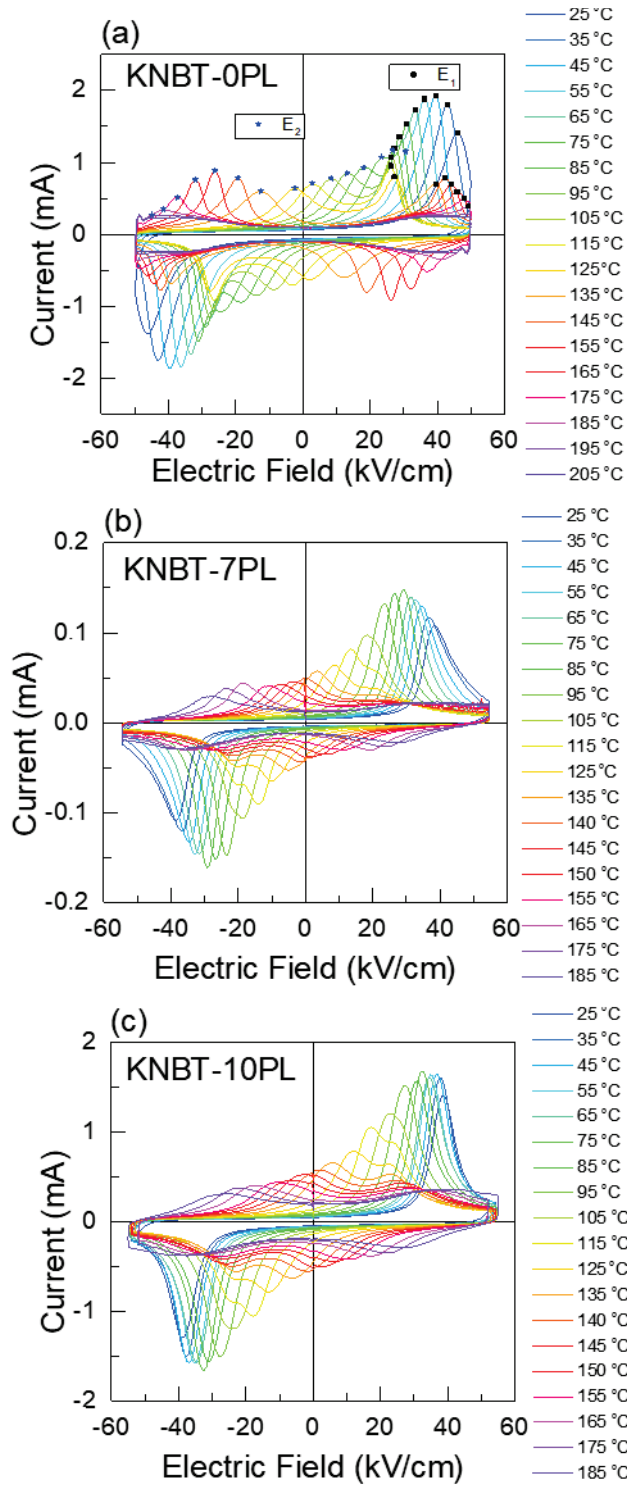


Figure 5.6. Temperature dependent current- electric field hysteresis loops of (a) KNBT-0PL, (b) KNBT-7PL and (c) KNBT-10PL samples.

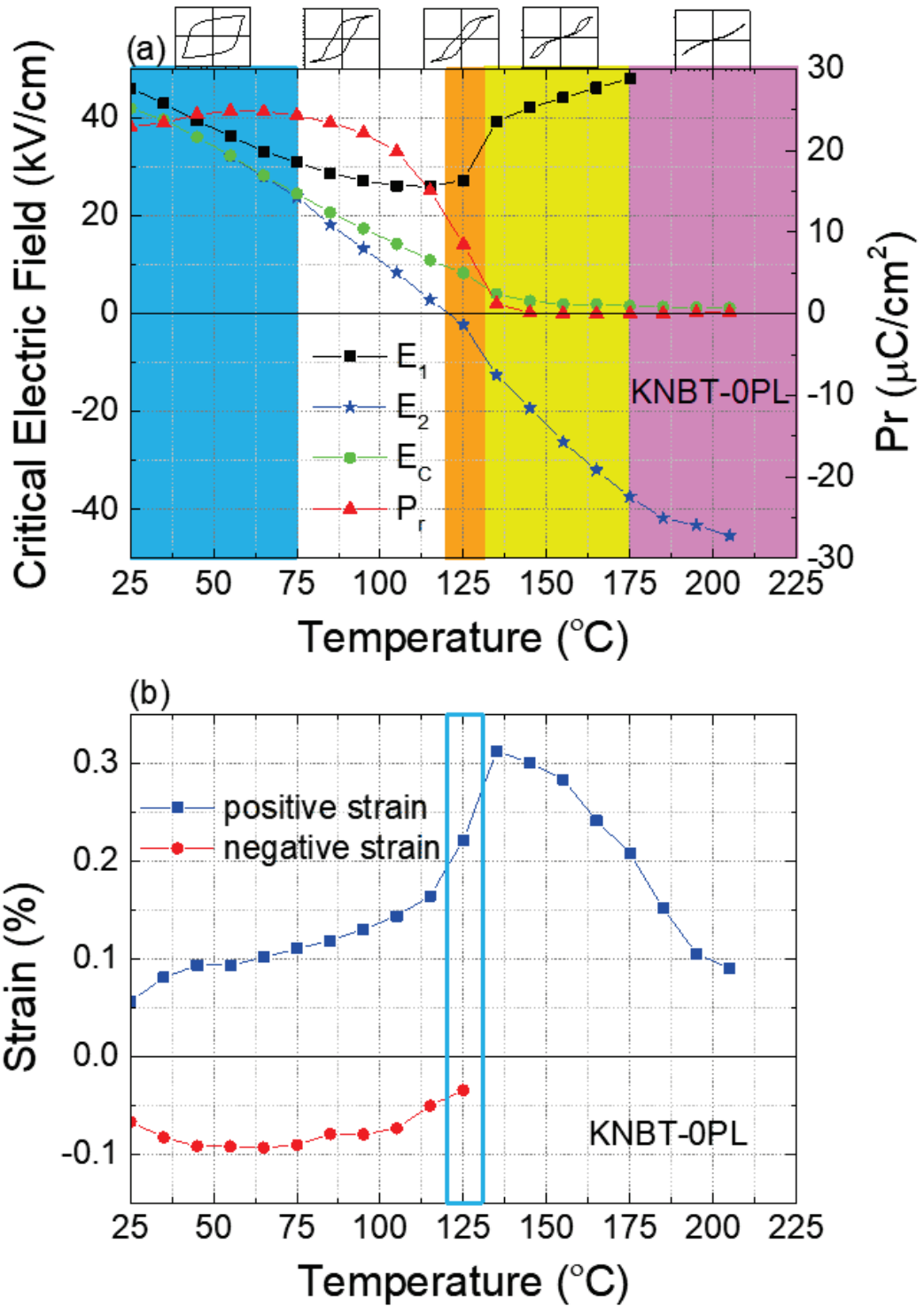


Figure 5.7. Temperature dependent (a) current- electric field critical electric fields ( $E_1$ ,  $E_2$  and  $E_C$ ) and  $P_r$  and (b) positive and negative strain values of KNBT-0PL.

### 5.3.4. Electrocaloric properties of KNBT ceramics

The temperature-dependent variation of polarization curves (P-T) and indirectly calculated electrocaloric temperature change ( $\Delta T$ ) obtained under 50 kV/cm applied field for KNBT samples containing 0%, 7% and 10% templates are given in Figure 5.8. In the  $\Delta T$  calculation of all KNBT samples, the specific heat was taken as 500 J/kgK from previous studies in the literature<sup>211</sup>, and the density values measured by Archimedes' method for each sample were used as the density value. In the P(T) behavior of the KNBT-0PL sample, it is observed that the polarization gradually decreases with temperature and decreases drastically around  $T_d$ . This drastic change causes a high  $\Delta T$  peak value (3.02 K). This value is considerably higher than the values obtained in the literature. The highest  $\Delta T$  measured by Cao et al. with the indirect method is approximately 1 K at 30 kV/cm<sup>243</sup>, the highest  $\Delta T$  measured by Le Goupil et al. with the direct method is approximately 0.7 K at 22 kV/cm<sup>239</sup>. This high value is thought to be related to the average grain size and density of our KNBT samples produced by tape casting.

In the P(T) behavior of the KNBT-7PL sample, it is observed that the polarization gradually does not drastically decrease with the temperature even around  $T_d$  and some fluctuations occur due to the conductivity contribution. Although  $\Delta T$  is maximum around the depolarization temperature, as expected, the conductivity contribution reduces the  $\Delta T$  value. Since the conductivity contribution masks the depolarization, the expected increase is not observed in  $\Delta T$  values. It is observed that the conductivity contribution in KNBT-10PL sample is more dominant in the P-T behavior than the sample containing 7% template, which leads to a sharper decrease in  $\Delta T$  above the depolarization temperature.

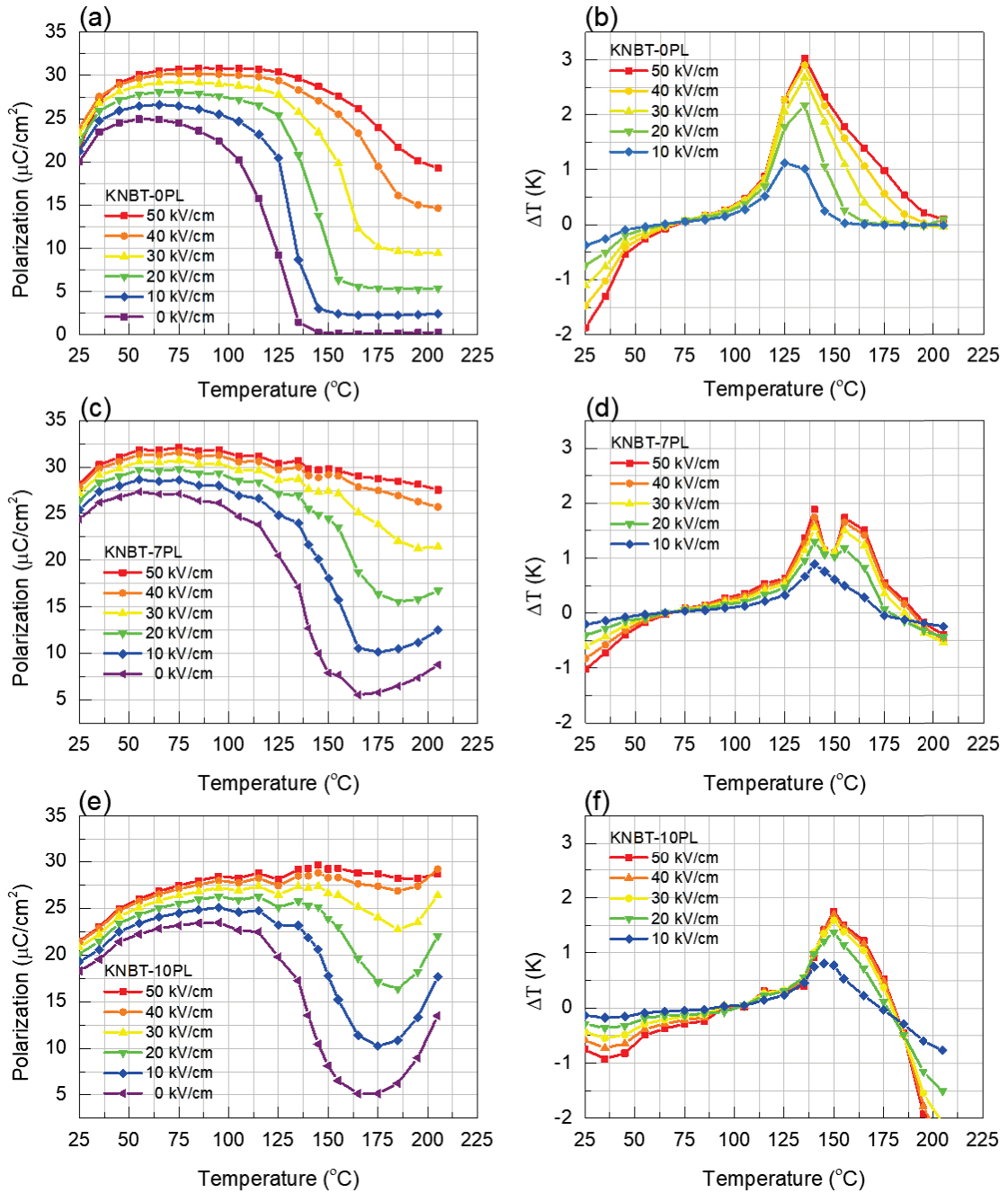


Figure 5.8. Temperature dependent polarization and indirectly calculated electrocaloric temperature change ( $\Delta T$ ) values up to 50 kV/cm electric field for KNBT samples containing (a,b) 0%, (c,d) 7% and (e, f) 10% templates.

Direct measurements of KNBT-0PL sample were carried out with the direct measurement setup<sup>244</sup> used by Dr. Lovro Fulanovic at the Technical University of Darmstadt. Direct measurement is necessary for the verification of the  $\Delta T$  calculated by the indirect method in samples with relaxor ferroelectric properties. The Figure 5.9

shows the direct measurements of  $\Delta T$  values obtained when an electric field up to 40 kV/cm is applied and removed. The thermistor temperature variation versus time during the measurement in which applied field is 40 kV/cm is shown in Figure 5.10. During direct measurements, since both DC voltage was applied and the samples were exposed to the voltage for a long time, the samples were electrically broken down before reaching 50 kV/cm. As a result, the highest  $\Delta T$  value obtained for direct measurements was 0.9 K at around 150 °C. This result is smaller than the values obtained indirectly result since it is known that  $\Delta T$  increases as the electric field increases.

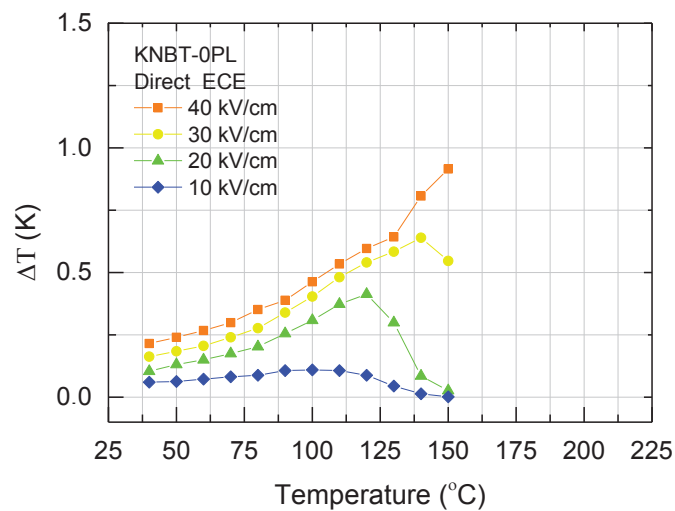


Figure 5.9. Direct electrocaloric measurement of KNBT-0PL sample measured by Dr. Lovro Fulanovic.

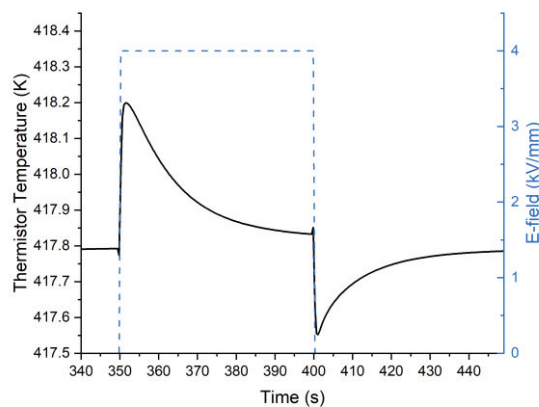


Figure 5.10. Thermistor temperature change (black solid line) as a function of time when the electric field is applied and removed (blue dashed line), during the direct measurement of the electrocaloric effect by Dr. Lovro Fulanovic.

## 5.4. Conclusions

We have investigated the effect of tape casting process and grain orientation on the electrocaloric properties of  $0.82\text{Na}_{0.5}\text{Bi}_{0.5}\text{TiO}_3\text{-}0.18\text{K}_{0.5}\text{Bi}_{0.5}\text{TiO}_3$  (0.82NBT-0.18KBT) ceramics at the Morphotropic Phase Boundary (MPB), using direct and indirect measurements. We observe a larger electrocaloric temperature change for the unoriented tape cast ceramics compared to grain-oriented ones. According to the indirect electrocaloric calculations, the largest  $\Delta T = 2.5$  K has been obtained for unoriented sample at 30 kV/cm. This value is considerably higher than the values obtained in the literature. This large value is probably related to the average grain size and density of the samples produced by tape casting.

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## APPENDIX A

Table A.1. Crystal systems and corresponding 32 crystallographic point groups

<i>Crystal System</i>	<i>Crystallographic Point Groups</i>						
Triclinic	1	-1					
Monoclinic	2	m	2/m				
Orthorhombic	222	mm2	mmm				
Tetragonal	4	-4	4/m	422	4mm	-42m	4/mmm
Rhombohedral	3	-3	32	3m	-3m		
Hexagonal	6	-6	6/m	622	6mm	-62m	6/mmm
Cubic	23	m-3	432	-43m	m-3m		



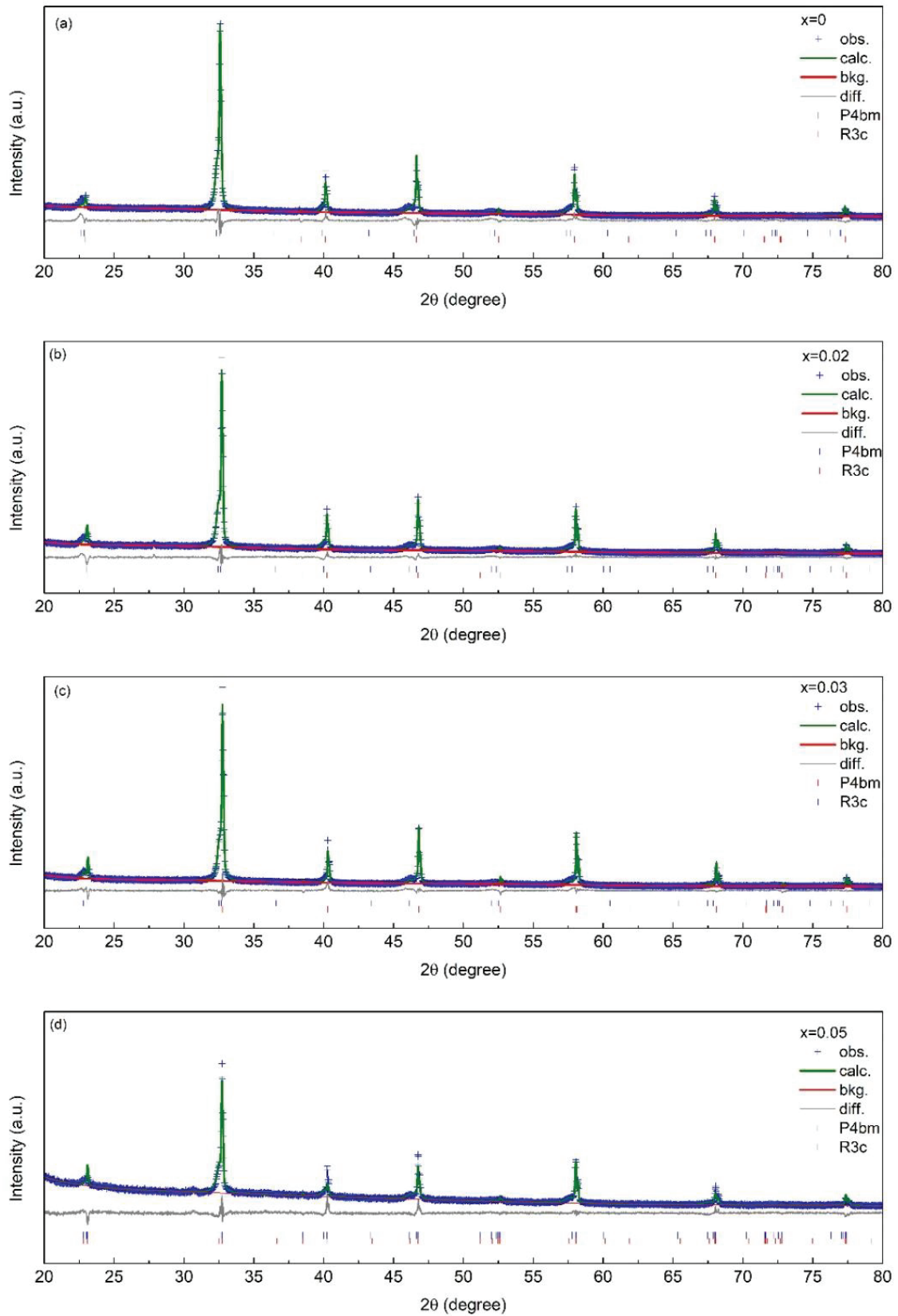


Figure A.1. XRD Rietveld refinement results of all  $(1-x)(0.92\text{NBT}-0.08\text{BT})-x\text{BLT}$  compositions; (a)  $x = 0$ , (b)  $x = 0.02$ , (c)  $x = 0.03$ , (d)  $x = 0.05$ .

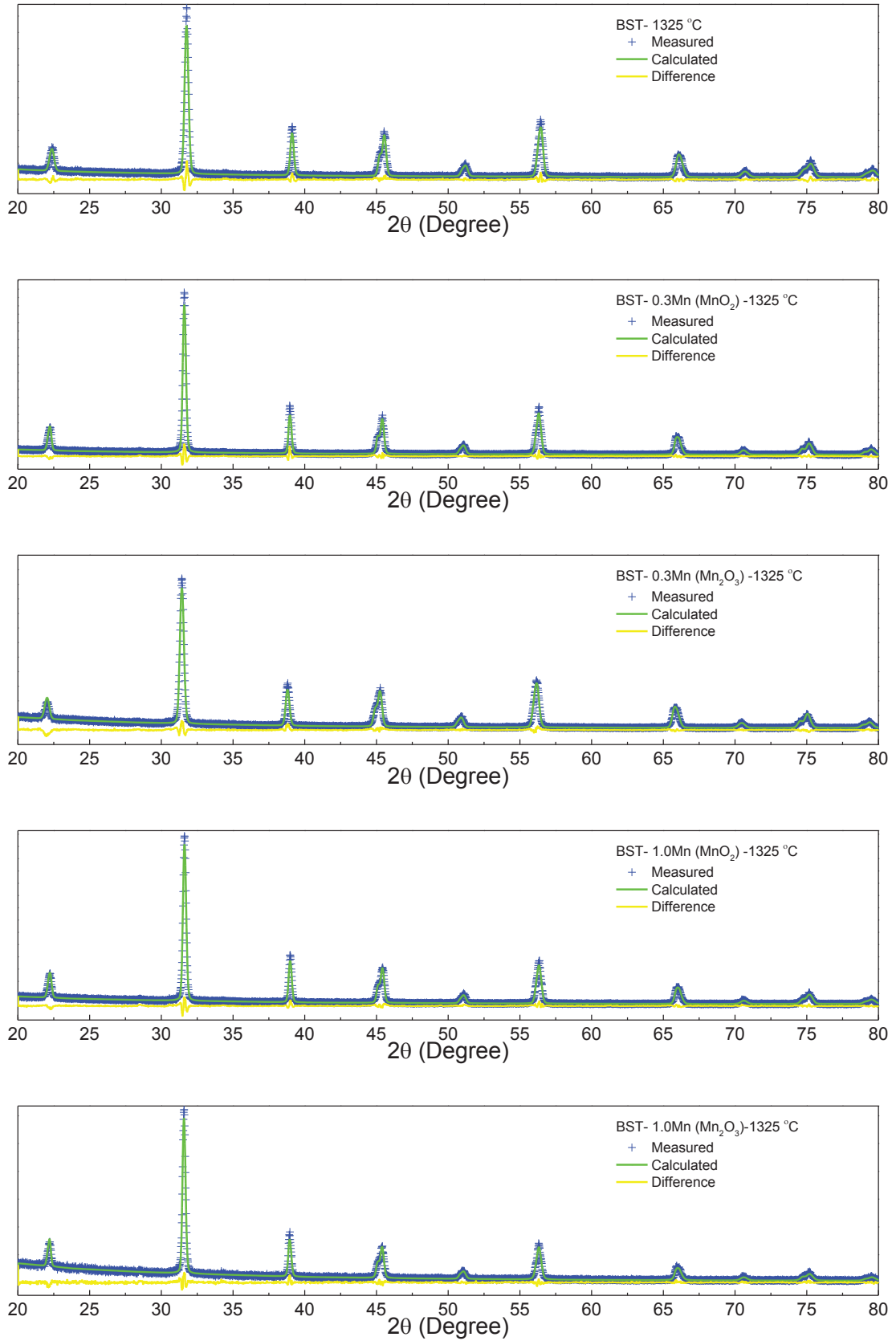


Figure A.2. XRD Rietveld refinement results of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics sintered at 1325 °C.

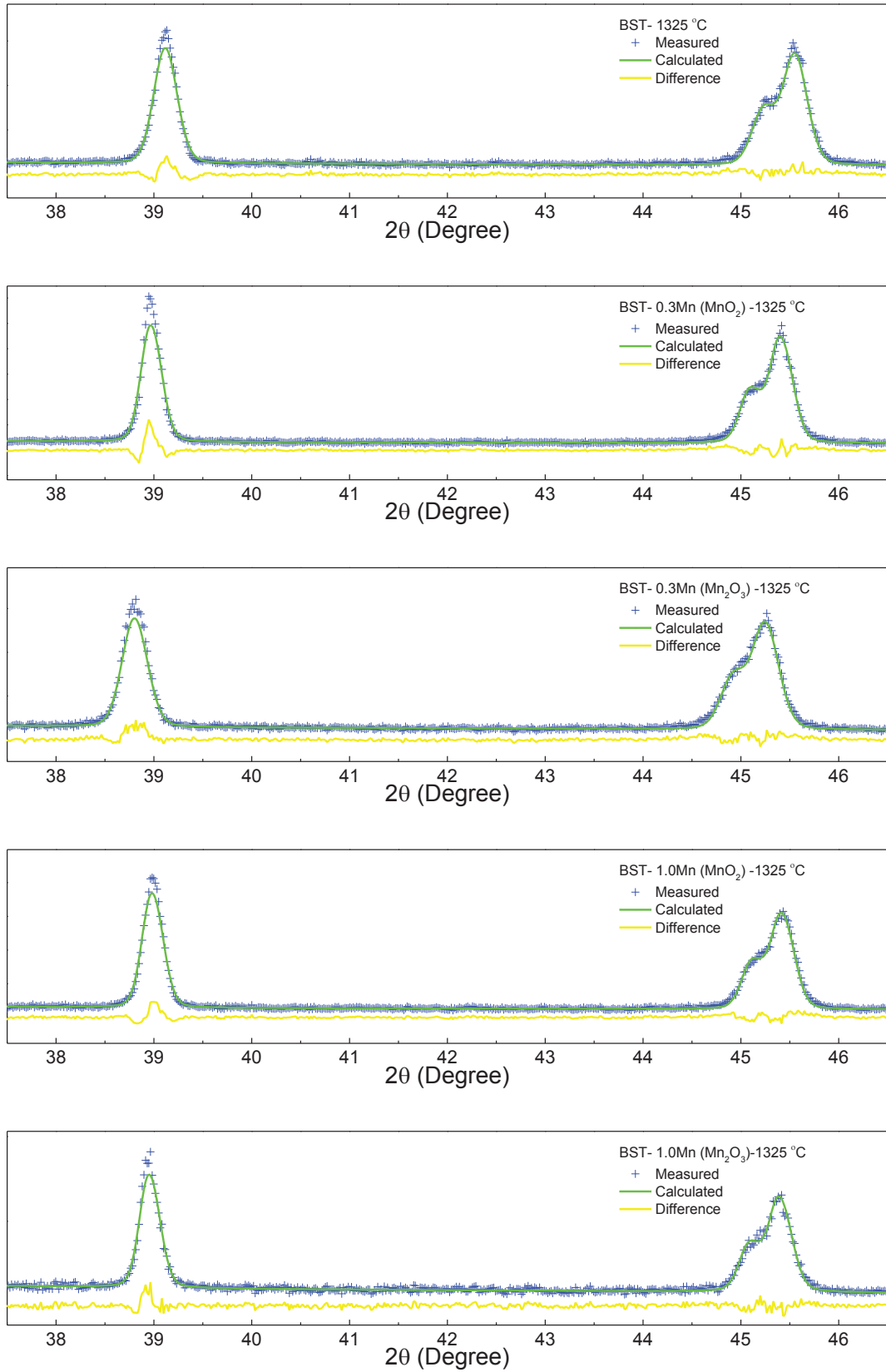


Figure A.3. XRD patterns zoomed between  $37.5^\circ$  -  $46.5^\circ$  and Rietveld refinement results of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics sintered at  $1325^\circ\text{C}$ .

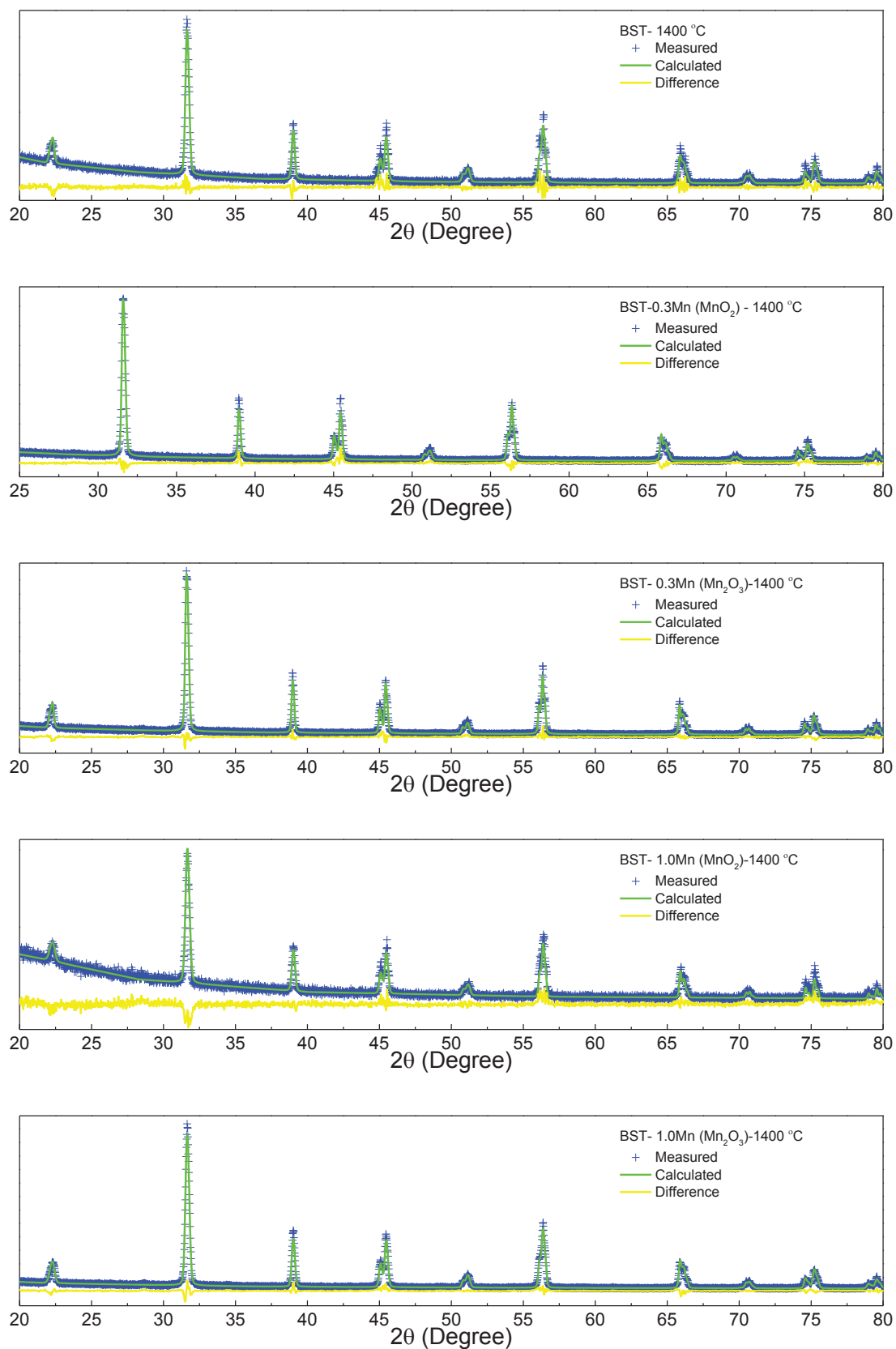


Figure A.4. XRD Rietveld refinement results of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics sintered at 1400 °C.

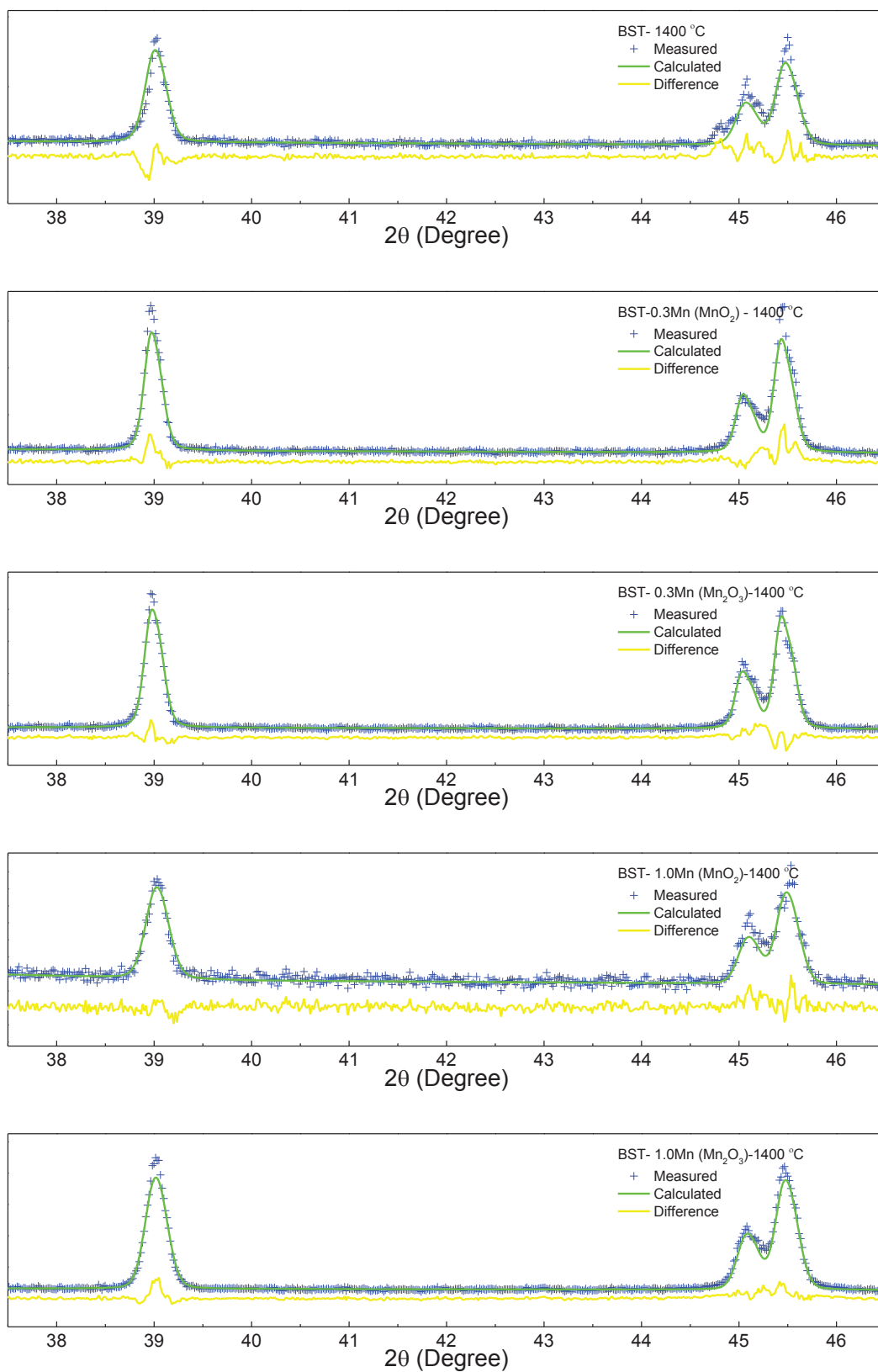


Figure A.5. XRD patterns zoomed between 37.5° - 46.5° and Rietveld refinement results of  $(\text{Ba}_{0.95}\text{Sr}_{0.05})(\text{Mn}_x\text{Ti}_{1-x})\text{O}_3$  (BST-100xMn) ceramics sintered at 1400 °C.

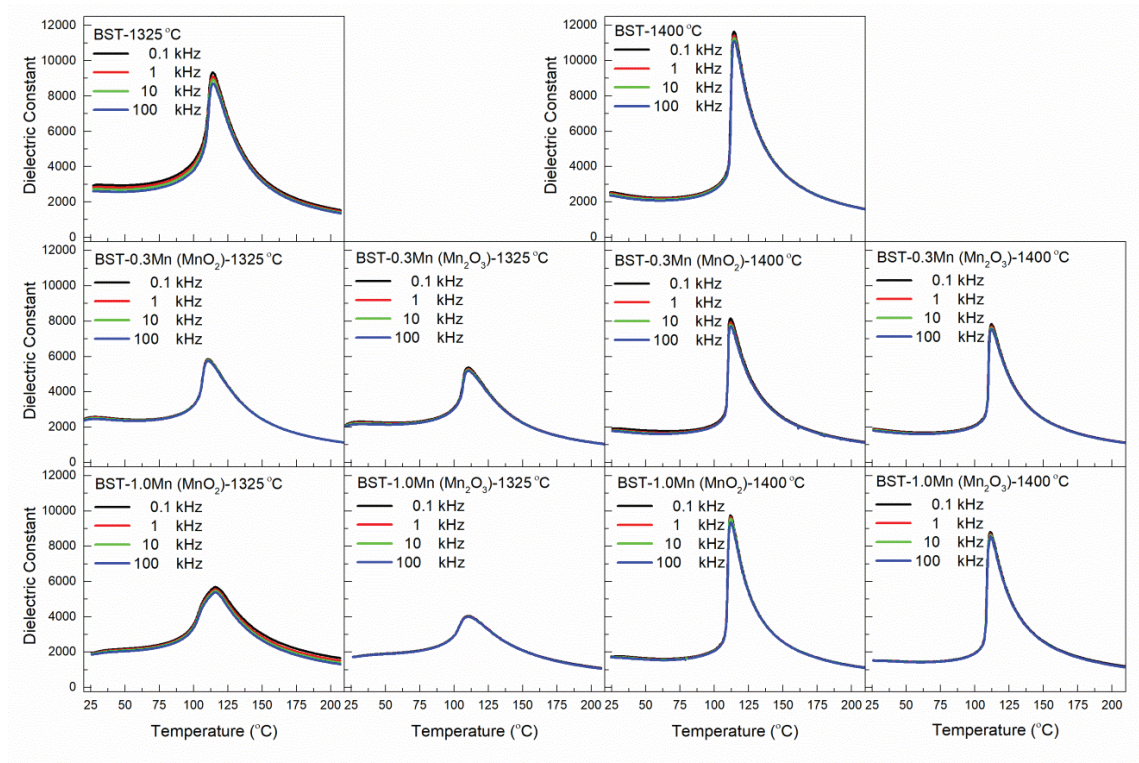


Figure A.6. Temperature dependent dielectric constants of all BST-Mn samples at different frequencies.

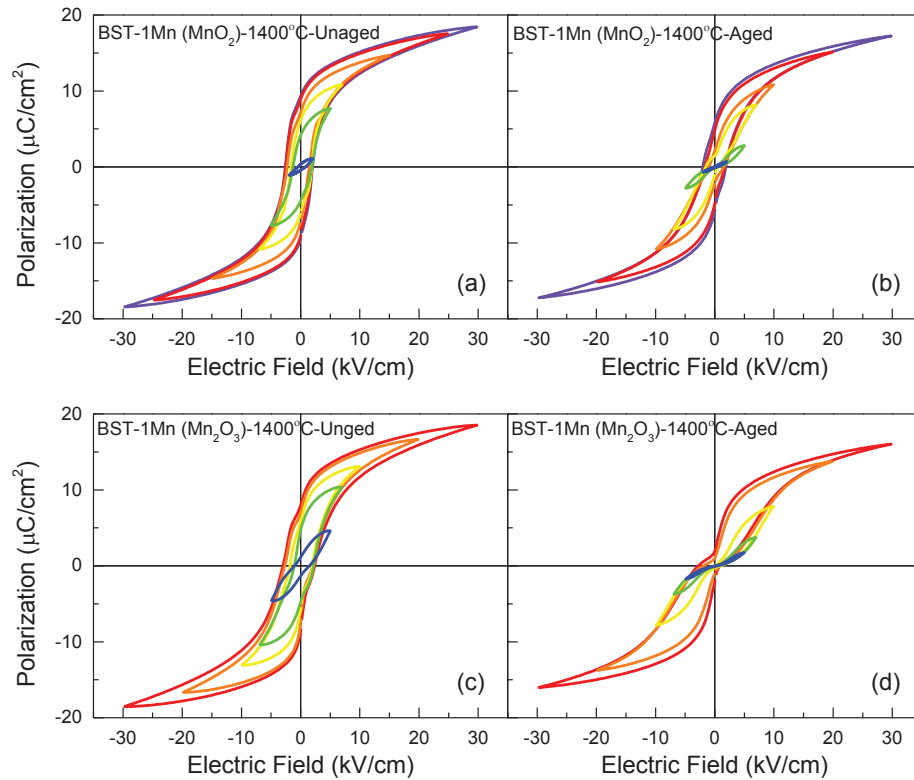


Figure A.7. Hysteresis behaviors of BST-Mn samples under different applied fields.



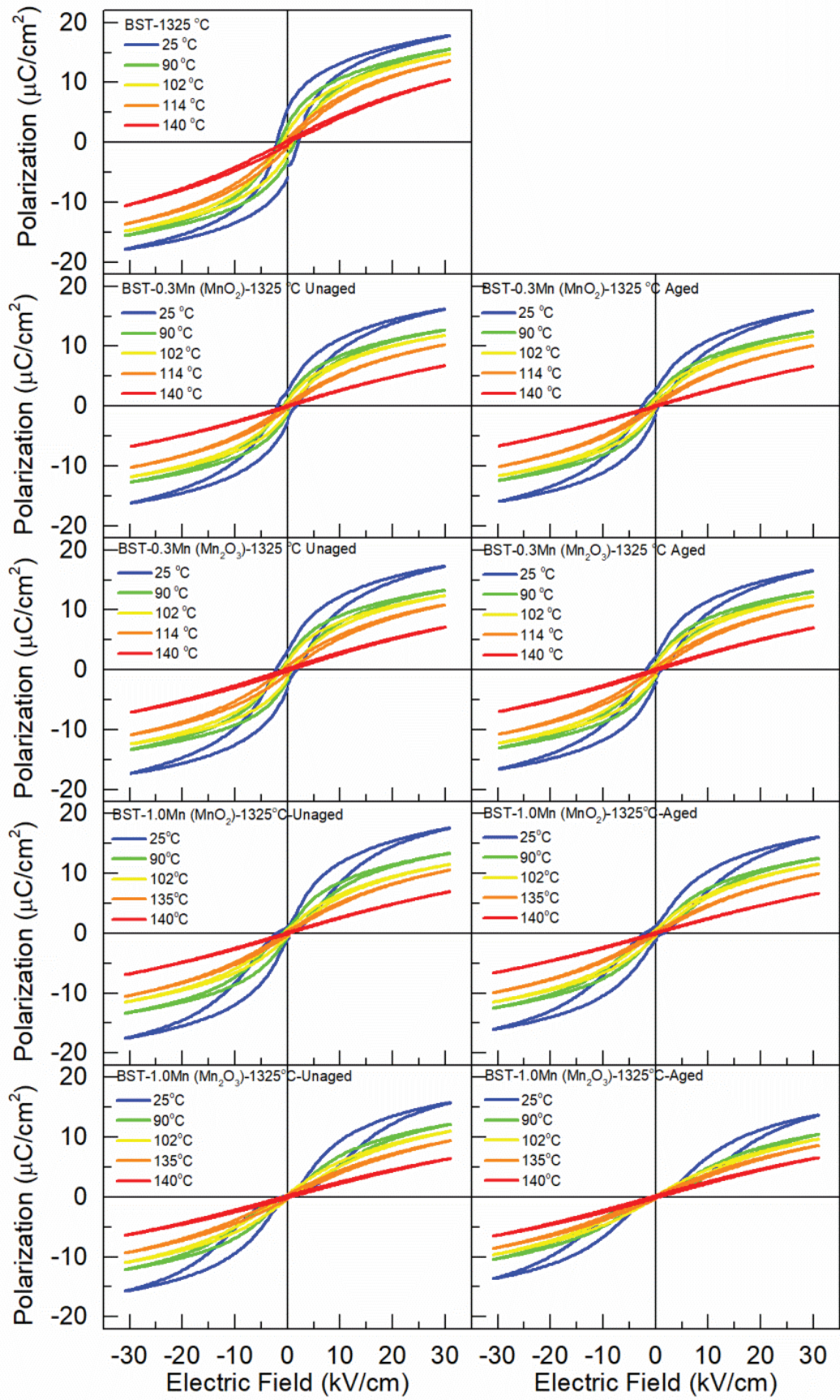


Figure A.8. Temperature dependent P-E loops of BST-Mn samples sintered at 1325 °C.



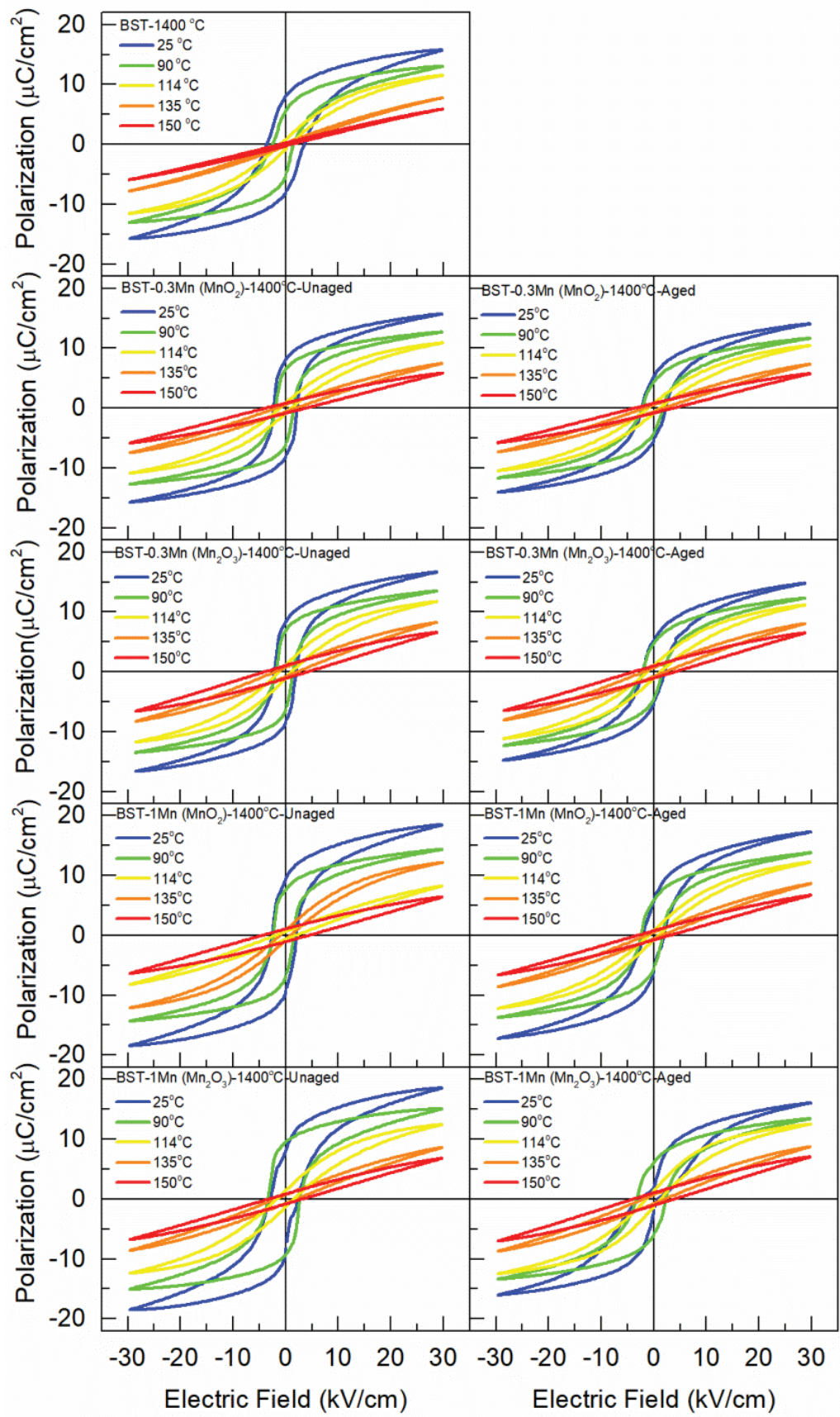


Figure A.9. Temperature dependent P-E loops of BST-Mn samples sintered at 1400 °C.

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## PUBLICATIONS

1. In preparation: Muhammet Ali Ünal, Merve Karakaya, Tuğçe Irmak, Gökçe Yıldırım Özarslan, Murat Avcı, Lovro Fulanovic, Ender Suvacı, Umut Adem, "Electrocaloric effect of tape cast and grain oriented NBT-KBT ceramics"
2. In preparation: MerveKarakaya, İremGürbüz, UmutAdem. " Enhancement of Electrocaloric Effect of BT-NBT Ceramics by Improving Tetragonality"
3. In revision: Merve Karakaya, Emre Erdem, Yaşar Akdoğan and Umut Adem. "Effects of Different Manganese-Oxide Precursors on the Ferroelectric Aging and Electrocaloric Properties of Mn-Doped  $Ba_{0.95}Sr_{0.05}TiO_3$  Ceramics" *Journal of the European Ceramic Society* (November 4, 2022)
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