

# Spheroid Engineering in Microfluidic Devices

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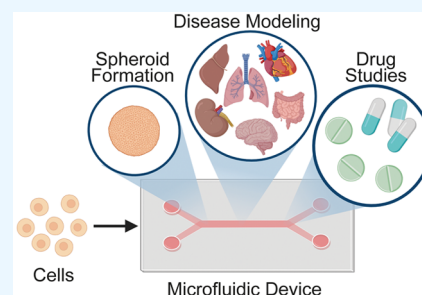
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**ABSTRACT:** Two-dimensional (2D) cell culture techniques are commonly employed to investigate biophysical and biochemical cellular responses. However, these culture methods, having monolayer cells, lack cell–cell and cell–extracellular matrix interactions, mimicking the cell microenvironment and multicellular organization. Three-dimensional (3D) cell culture methods enable equal transportation of nutrients, gas, and growth factors among cells and their microenvironment. Therefore, 3D cultures show similar cell proliferation, apoptosis, and differentiation properties to *in vivo*. A spheroid is defined as self-assembled 3D cell aggregates, and it closely mimics a cell microenvironment *in vitro* thanks to cell–cell/matrix interactions, which enables its use in several important applications in medical and clinical research. To fabricate a spheroid, conventional methods such as liquid overlay, hanging drop, and so forth are available. However, these labor-intensive methods result in low-throughput fabrication and uncontrollable spheroid sizes. On the other hand, microfluidic methods enable inexpensive and rapid fabrication of spheroids with high precision. Furthermore, fabricated spheroids can also be cultured in microfluidic devices for controllable cell perfusion, simulation of fluid shear effects, and mimicking of the microenvironment-like *in vivo* conditions. This review focuses on recent microfluidic spheroid fabrication techniques and also organ-on-a-chip applications of spheroids, which are used in different disease modeling and drug development studies.



## 1. INTRODUCTION

Inside the body, cells have self-assembly organization capability via intercellular signaling to constitute 3D tissues hierarchically. The extracellular matrix (ECM) formed by the cells guarantees respectable properties such as cell viability, cell functionality, cell differentiation, and mechanical properties. Cell culture studies have been performed to achieve various diagnoses and treatment systems specific to the human body by imitating many physiological events that occur in the body under laboratory conditions.<sup>1</sup> For this purpose, cells are grown on 2D plastic surfaces, namely, Petri dishes, well plates, or specific culture flasks, with the presence of a culture medium composed of various nutrients, ions, and salts at 37 °C. Also, the culture medium is generally supplemented with serums, antibiotics, and proteins or amino acids according to the requirement of the cell being cultured. Upon cells reaching confluency, they are collected from the cultured surface by enzymes such as trypsin and are obtained as suspended. This conventional cell culture technique has still been successfully used with slight modifications since its discovery in 1907.<sup>2</sup> The cell culture technique is of great importance for the biomedical community, especially in tissue engineering and regenerative medicine, because it has been successfully used in preclinical research in the area pertaining to various vaccine and drug developments, cytotoxicity evaluations, biocompatibility assessments, and testing of the therapeutic effects of various molecules.<sup>3</sup> The key benefits of 2D cultures are that they are quite applicable, inexpensive, and not very sensitive to the

changes of the operator and their environmental conditions are easily controlled.<sup>4,5</sup>

Although 2D cell culture techniques have still been commonly used because of the above-mentioned advantages, several drawbacks cannot be overcome, such as deteriorated cell signaling due to loss of cell phenotype, delayed response to stimuli found in the external environment due to decreased cellular polarity, and nonhomogeneous distribution of the nutrients, metabolites, signal molecules, and various gases due to the inability to imitate the microenvironment.<sup>6,7</sup> Because complex cellular signaling between cells and their matrix cannot be replicated in a 2D culture, *in vitro* experimental data obtained from 2D cultures cannot be fully represented in *in vivo* conditions.<sup>8</sup>

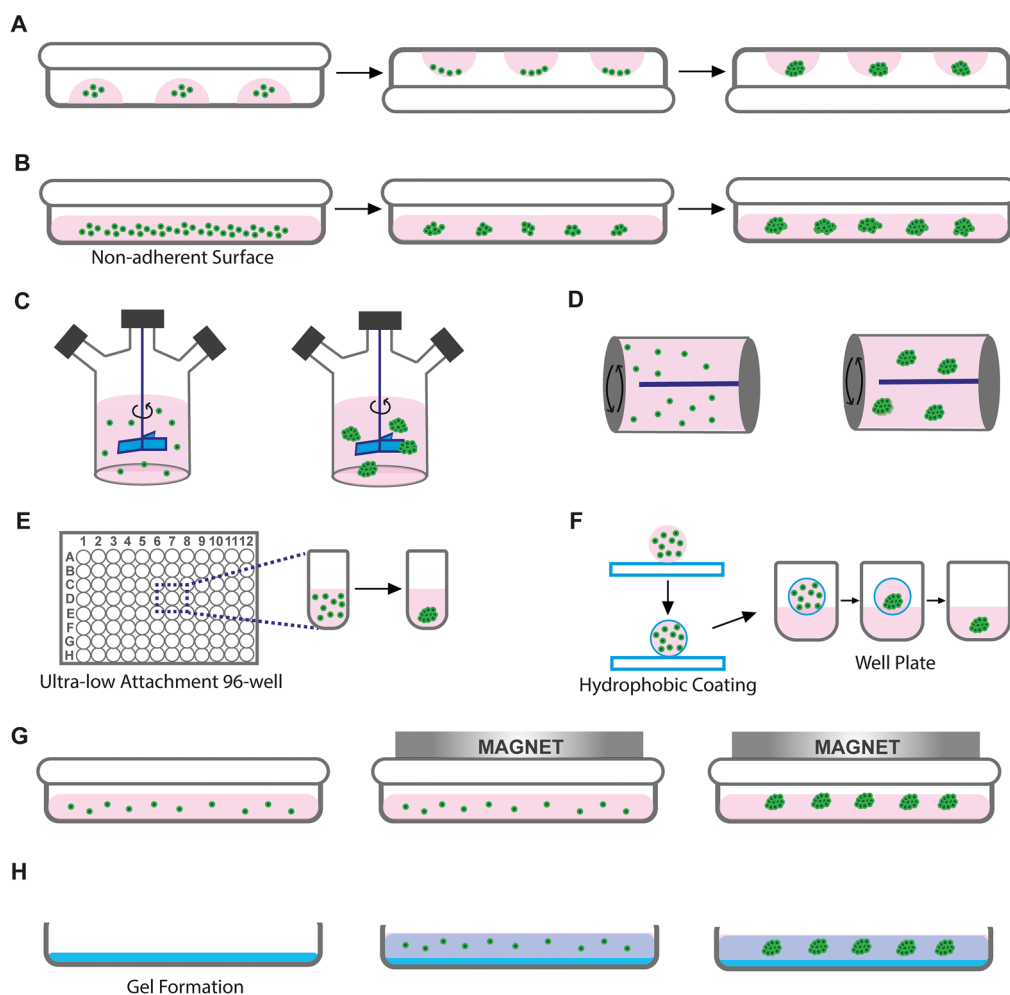
A 3D cell culture, which enables cells to expand and communicate in three dimensions with the surrounding extracellular milieu, has been suggested to fulfill unmet physiological needs and culture conditions in traditional cultures.<sup>9</sup> Basically, an optimum 3D culture should support cell growth by simultaneously providing the requisite nutrients, moisture, and oxygen and removing the degradation

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**Figure 1.** Conventional 3D cell culture techniques for spheroid formation: (A) hanging drop, (B) liquid overlay, (C) spinner bioreactors, (D) rotating bioreactors, (E) ultralow attachment plates, (F) liquid marbles, (G) magnetic levitation, and (H) gel embedding.

products.<sup>10</sup> 3D cultures have great advantages compared to 2D cultures in terms of cell morphology, cell differentiation, viability, cell proliferation, stimuli response, drug metabolism, gene and protein expression, cellular functionality, and *in vivo* relevance.<sup>1,11</sup> For a 3D culture, both conventional methods and new technologies based on microfluidics can be applied. Conventional methods have limited control over the size and geometry of 3D cell spheroids.<sup>12</sup> However, robust, reproducible, and high-throughput 3D cell spheroid formation can be achieved using microfluidic technologies.<sup>13</sup> Spheroids generated using advanced 3D culture techniques have been emerging as tissue precursors used to develop a variety of on-a-chip tissue and disease models, particularly drug delivery systems, by simulation of the complex multicellular architecture, barriers to mass transport, extracellular matrix synthesis, various protein and gene expressions, and *in vivo* physiological conditions.<sup>14</sup>

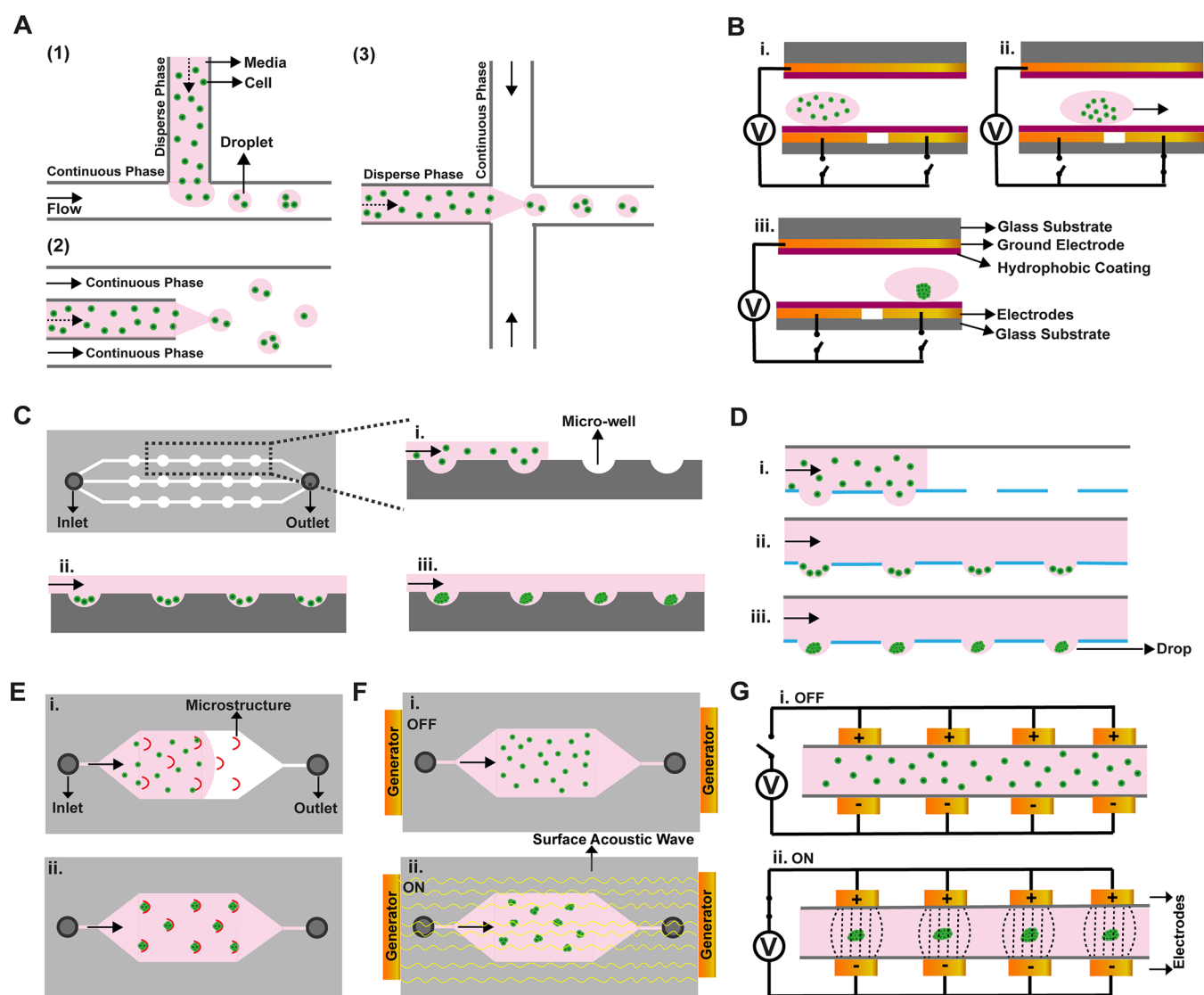
Although spheroid formation and its applications have been discussed in the literature,<sup>15–18</sup> only a few reviews examining microfluidics systems for spheroid research have been done.<sup>19–23</sup> Here, conventional approaches employed in the formation of spheroids are first addressed to reveal the importance of microfluidic technology in spheroid engineering. Subsequently, existing microfluidic systems utilized in the fabrication of spheroids, as well as contemporary literature examples, are presented in detail by stressing each technique's

benefits and limitations. Moreover, not only the microfluidic techniques used in spheroid production but also the diversity of cell types used in spheroid production within microfluidic systems, the parameters to be considered in culture conditions, the use of biomaterials in spheroid production, and the issues that should be considered in the design of microfluidic systems are discussed. Finally, the organ-on-a-chip applications are critically reviewed as applications of microfluidic-based chip systems used in spheroid engineering for disease modeling and drug-screening studies.

## 2. CONVENTIONAL 3D CELL CULTURE TECHNIQUES USED FOR SPHEROID ENGINEERING

There are many techniques proposed for 3D cell cultures, such as liquid overlay, ultralow attachment plates, liquid marbles, hanging drop, spinner bioreactors, rotational bioreactors, magnetic levitation, and gel embedding.<sup>24,25</sup> All these conventional techniques basically aim to provide cellular self-organization in 3D and are illustrated in Figure 1, comparatively.

In the hanging-drop method, small droplets of cell suspension prepared in a culture medium are formed on the lid of a Petri dish with the help of a micropipette, and the lid is closed on a Petri dish containing water to prevent the droplets from drying (Figure 1A). Inside the hanging droplet, the cells are subjected to gravity, and microtissues are formed with the



**Figure 2.** Illustrations for microfluidic-based methods in spheroid formation. (A) Droplet-based methods: (1) T-junction breaks up the dispersed phase with a sheath flow; (2) in co-flowing, the dispersed phase is generated with a needle or tube; and (3) flow focusing uses two sheath flows for breaking of the dispersed phase. (B) Electrowetting generated with an electrode pattern. (C) Microwells in channels. (D) Microfluidic hanging drop with open wells in the channel. (E) Microstructure for cell trapping. (F) Acoustic manipulations. (G) Dielectrophoresis with a nonuniform electrical field. (i), (ii), and (iii) represent spheroids formation steps in all images.

self-assembly organization. The hanging-drop technique is relatively advantageous because it enables the acquisition of microtissues in relatively uniform sizes, it is practically applicable, and the environmental conditions can be easily controlled. However, this technique is inadequate for mass production and requires intensive labor.<sup>26</sup>

In the liquid overlay technique, the nonadhesive substrate-coated culture surfaces are practically used without the need for special equipment so that the cells aggregate to form microtissues instead of attaching them to the surface (Figure 1B). The main limitations of this technique are the size heterogeneity of the obtained microtissues, uncontrolled cell distribution and composition, and intensive labor requirements.<sup>27,28</sup> In spinner bioreactors, cells in the culture medium are exposed to constant stirring, which provides shear stress that forms a 3D structure by hindering the cells from sticking to the bioreactor walls (Figure 1C). This technique is highly suitable for working with different cells at the same time to obtain heterotypic microtissue formation. It also provides

scalable output, simple fabrication, and a long culture process.<sup>29</sup> On the other hand, the prolonged shear forces that occurred from the spinning process may drive cells to apoptosis.<sup>30</sup> Also, depending on the spinning speed, rupture or disintegration may arise in formed microtissues over time.<sup>27,31</sup> Moreover, rotating bioreactors allow cellular self-organization to obtain microtissues<sup>32</sup> (Figure 1D). Also, they provide long-term controllable culture conditions and allow the co-culture of various cell types.<sup>33</sup> Although rotating bioreactors are very effective in the transport of nutrients, the uniform distribution of different gases, and the removal of waste via the perfusion method, formed cell aggregates have nonhomogeneous size distribution.<sup>32,33</sup>

One conventional approach for creating spheroids is the use of ultralow attachment plates (ULA), which is based on employing hydrophobic substrates to hinder cell adhesion and cause the cells to interact with each other to form spheroids<sup>34–36</sup> (Figure 1E). The main limitation of this technique is the inhomogeneous size distribution of produced

Table 1. Summary of Spheroid Fabrication Techniques Used in Microfluidic Systems<sup>a</sup>

technique	cell type used	biomaterial type used	obtained spheroid size/volume/area	no. of fabricated spheroids/spheroid formation time	application area	reference
droplet	rat hepatoma continuous cell line (H4-II-EC3)	agarose	72.9 ± 18.6 μm	500 spheroids in 11 h	3D cell culture	61
	murine colorectal carcinoma cell line (CT26.WT)	alginate	up to 0.1 mm <sup>3</sup>	>1000 droplets/s	anticancer therapies	62
	human cervical carcinoma cells (HeLa)	Matrigel and alginate	138 ± 20 μm	NR/96 h	anticancer therapies	63
	human mesenchymal stem cells (hMSC)	alginate/arginine-glycine-aspartic acid (ARGD)	30–80 μm	NR/150 min	tissue engineering	64
	diffuse large B-cell lymphoma cell line (SUDHL-10); fibroblast cell line (HS-5); peripheral blood mononuclear cells (PBMCs)	alginate/PuraMatrix	350 ± 25 μm	250 spheroids/NR	drug screening	65
	embryo-derived teratocarcinoma cell line (P19)	alginate	111 μm	NR/48 h	tissue engineering	66
	human breast adenocarcinoma cell line (MCF-7); human mammary fibroblast cells (HMF)	alginate	NR	200 spheroids/min /7 days	drug screening	67
	primary human bone-marrow-derived mesenchymal stem cells (hBMSC)	polyethylene glycol-diarylic (PEGDA)	<50 μm	NR/28 days	3D cell culture	68
	human glioblastoma cells (U87MG)/mouse neural stem cells (NE-4C)	polyethylene glycol (PEG)/RGDs	100–130 μm	42,000 spheroids/1 h	regenerative therapy	69
	human glioblastoma cell line (U-251)	poly(vinyl alcohol) (PVA)	118–480 μm	NR/1 h	drug screening	70
electrowetting	hMSC	alginate	90 μm	NR/4 weeks	drug screening	71
	MCF-7/human fibroblast cell line (HS-5)	PEG-perfluoropolyether (PEG-PFPE)	170 μm	1000 spheroids/48–72 h	anticancer therapies	72
	human embryonic kidney cells (HEK293)/human bladder cancer cell line (RT4)/human epidermoid carcinoma cells (A431)	PEG-perfluoropolyether (PEG-PFPE)	NR	85,000 spheroids/1 h	3D cell culture	73
	Madin-Darby canine kidney epithelial cells (MDCK)	Geltrex/agarose/polyacrylamide/alginate/type I collagen	20 μm	NR/1 day	3D cell culture	74
	HepG2/mouse embryonic fibroblast cells (NIH-3T3)	type I collagen	NR	NR	drug screening	75
	mouse bone-marrow-derived mesenchymal stem cells (BM-mMSC)/ HT-29	PEG	up to 400 μm	NR/in 72 h	3D cell culture	76
	HeLa/human umbilical vein endothelial cells (HUVVEs)	cellulose nanocrystals and gelatin	50–300 μm	NR/up to 36 h	anticancer therapies	77
	human dermal fibroblasts (HDFs)	agarose	~150 μm	2400 spheroids/5 day	drug screening	78
	MCF-7	agarose	200–600 μm	up to 175 spheroids/72 h	drug screening	79
	human lung carcinoma epithelial cell line (A549)/ human osteoblasts/patient-derived spine metastases cells (BML)	agarose	up to 250 μm	10 spheroids/5 day	personalized medicine	80
microwell	human high-grade glioma cells (UVW)/human prostate cancer cell line (LNCaP)/patient biopsy-derived prostate cancer cells	fibronectin/collagen	50–150 μm	240 spheroids/48 h	personalized medicine	81
	rat embryonic fibroblast cells (REF52)/Madin-Darby canine kidney (MDCK) cells	fibronectin/collagen	40–100 μm	NR	tissue engineering	82
	human colorectal adenocarcinoma cell line (HT29)	agarose	up to 250 μm	25 spheroids/7 days	drug screening	83
	human colorectal carcinoma cell line (HCT116)/human glioma cell line (U87)	agarose	150–200 μm	50 spheroids/48 h	drug screening	84
	HT-29 cells	gelatin methacryloyl (GelMA)	130–250 μm	20 spheroids/6 days	anticancer therapies	85
	MCF-7/U87	gelatin methacryloyl (GelMA)	up to 500 μm	40 spheroids/5 days	anticancer therapies	86
	human hepatocellular carcinoma cells (HepG2-C3A)	type I collagen	191 ± 10 μm	10,000 spheroids/5 days	drug screening	87
	human lung adenocarcinoma cells (A549)/human lung fibroblast cells (MRC-5)	type I collagen	NR	28 spheroids/72 h	regenerative therapy	88
	human articular cartilage cells (hACs)	type I collagen	NR	NR/14 days	tissue engineering	13
	murine ES cell (ES-D3)/human hepatocellular carcinoma cell (HepG2)/monkey kidney epithelial fibroblast (COS-7)	type I collagen	up to 210 μm	5000 spheroids/24 h	3D cell culture	89
microwell	human glioma cell line (U87)	PEGDA	361.3 ± 36.2 μm	24 spheroids/24–48 h	drug screening	90
	human mesenchymal stem cells (hMSC)	chitosan/polydopamine	up to 500 μm	NR/5 days	3D cell culture	91
	human metastatic breast adenocarcinoma cell line (MDA-MB-231)/human nontumorigenic mammary epithelial cell line (MCF-10A)	type I collagen	100 μm	1296 spheroids/5–6 days	3D cell culture	92

Table 1. continued

technique	cell type used	biomaterial type used	obtained spheroid size/volume/area	no. of fabricated spheroids/spheroid formation time	application area	reference
hanging drop	MCF-7/HCT-116		180 $\mu\text{m}$	240 spheroids/24 h	3D cell culture	93
	human colon adenocarcinoma cell line (Caco-2)/normal human dermal fibroblasts (NHDF)/human alveolar basal adenocarcinoma cell line (A549)/human hepatocellular carcinoma cell line (HepG2)		up to 828.7 $\pm$ 49.5 $\mu\text{m}$	360 spheroids/72 h	tissue engineering and drug screening	94
	human hepatoma cells (Huh-7)	Geltrex	160 $\mu\text{m}$	120 spheroids/24 h	drug screening	95
	A549/human fetal lung fibroblast cell line (MRC-5)	type I collagen	142.3 $\mu\text{m}^2$	84 spheroids/24 h	tissue engineering	96
	mouse embryonic stem cells (mESC)/human lung cancer cell line (A541)/human leukemia cell line (HL-CZ)		up to 250 $\mu\text{m}$	234 spheroids/24 h	3D cell culture	97
	mouse embryonic stem cells (mESCs) and human MDA-MB-231 and MCF-7 breast cancer cells	Matrigel	up to 730 $\pm$ 27 $\mu\text{m}$	16 spheroids/10 days	3D cell culture	98
	human epithelial carcinoma cell (A431.H9)		up to 0.16 $\mu\text{L}$	384 spheroids/7 days	drug screening	99
	mouse embryonic stem cells (mESCs; ES-D3)		80–120 $\mu\text{m}$	72 spheroids/24 h	tissue engineering	100
	human Wharton's jelly-derived mesenchymal stem cells (WJ-MSC)		up to 500 $\mu\text{m}$	24 spheroids/7 h	3D cell culture	101
	human umbilical cord blood-derived MSCs/mouse podocyte cells		up to 500 $\mu\text{m}$	49 spheroids/24 h	tissue engineering	102
microstructures	human glioblastoma cell lines (LN229 and PDX)		4 nL	900 spheroids/1 h	drug screening	103
	human synovial sarcoma-derived cell line (HS-SY-II)/human umbilical cord-derived mesenchymal stem cells (UC-MSCs)		NR	NR/24 h	anticancer therapies	104
	human hepatocellular carcinoma cell line (MHCC97-H)	PEGDA	522 $\pm$ 40 $\mu\text{m}$	26 spheroids/3 days	3D cell culture	105
	HepG2/mouse fibroblast cells (Balb/c-3T3)		NR	56 spheroids/48 h	drug screening	106
	MCF-7/HepG2		up to $1 \times 10^{-2}$ $\text{mm}^3$	16 spheroids/2 days	drug screening	107
	human glioblastoma cell line (U87-MG)	gelatin-based electrospun nanofibers	220 $\mu\text{m}$	NR/2 days	anticancer therapies	108
	human breast tumor cells (LCC6/Her-2)	alginate	250 $\mu\text{m}$	NR/4 days	anticancer therapies	109
	human breast cancer cell lines (BT49 and T47D)	basement membrane extract (BME)	120 $\mu\text{m}/125 \mu\text{m}$	11 spheroids/1 day	drug screening	110
	HT29 human colon carcinoma		200–550 $\mu\text{m}$	~10000 spheroids/10 day	drug screening	111
	human alveolar basal adenocarcinoma cell line (A549)		NR	16 spheroids/72 h	3D cell culture	112
acoustic	human breast cancer stem cells (BCSCs)		NR	NR/5 days	tissue engineering	113
	MCF-7/A549/human ovarian cancer cell line (A2780)/murine embryonic carcinoma cell line (P19)	Matrigel	NR	6000 spheroids/24 h	3D cell culture	114
	murine endothelial cell line (2H11)/ NIH 3T3/human embryonal kidney cell line (293FT)		185.2 $\pm$ 50 $\mu\text{m}$	NR/9 h	tissue engineering	115
	human T lymphocyte cells (Jurkat)/mouse stromal cells (AC3)		at least 100 $\mu\text{m}$	NR/5 min	3D cell culture	116
dielectrophoresis	human hepatoma cell line (HuH7)		50–100 $\mu\text{m}$	NR/45 min	3D cell culture	117

<sup>a</sup>NR: not reported.

spheroids, which may lead to inconsistent results in experimental studies.<sup>37</sup>

The liquid marble (LM) technique has been developed for spheroid formation by using the surface wettability features of the material employed in a manner similar to that of the liquid overlay approach<sup>38</sup> (Figure 1F). In contrast to the liquid overlay approach, the goal of LM is to cover a drop of liquid with hydrophobic powder particles to create a thin, porous, elastic hydrophobic outer shell. The coating material provides a closed spheroid formation that does not support cell adhesion and allows the cells trapped inside to freely interact with each other and to self-assemble into spheroids over time. Polytetrafluoroethylene powders are the most frequently used material in the literature as a hydrophobic coating material.<sup>39</sup> Spheroids from different cells were successfully fabricated using LMs.<sup>40</sup> However, the LM technique suffers from undesirably high evaporation and is difficult to handle, which can affect the integrity, homogeneity, and size of the spheroids.<sup>41</sup>

Magnetic levitation, one of the 3D cell culture techniques, is based on the principle of imitating the nongravity environment with magnetic forces<sup>42</sup> (Figure 1G). The magnetic levitation technique may be used to form cell aggregates through positive magnetophoresis based on the directing cells labeled with magnetic beads or negative magnetophoresis based on the concept of controlling diamagnetic cells in a paramagnetic medium.<sup>43,44</sup> The magnetic forces used in these systems are reported to provide uniformly sized and shaped cell aggregates.<sup>43,45,46</sup> In addition, these microtissues, formed as a result of directed magnetic fields, can also be easily guided for tracking or imaging studies by virtue of the same magnetic forces.<sup>47</sup>

The gel-embedding technique is based on the encapsulation of the cells within a hydrogel defined as cross-linked polymer molecules (Figure 1H). With a wide variety of formulations, biophysical characteristics, and biological functions, hydrogels can be engineered and can thus substitute for several features of native ECMs.<sup>48</sup> For the preparation of hydrogels, various types of polymers, from natural to synthetic, have been used. Although natural polymers are generally preferred because of their similarity to the ECM structure, biocompatible nature, and rich protein content, synthetic polymers have often been chosen because of their adjustable mechanical and degradable properties, easy production process, and nonimmunogenic nature.<sup>49,50</sup> In addition, parameters such as viscoelastic property, structural integrity, stability, and degradation behavior of the developed gels should be taken into account for the formation of spheroids.<sup>51,52</sup>

Ultimately, the labor-intensive and time-consuming nature of these 3D conventional culture techniques, in addition to the difficulty of changing the culture medium, the low-yield production, the difficulty of controlling the spheroid size, and cross-contamination issues, have prompted researchers to investigate microfluidic approaches for spheroid production.<sup>53,54</sup>

### 3. SPHEROID ENGINEERING IN MICROFLUIDIC SYSTEMS

Spheroid formation has been applied in microfluidic systems to examine cell characteristics and microtissue formation and is used in different applications such as tissue engineering,<sup>55</sup> regenerative medicine,<sup>56</sup> drug screening,<sup>57</sup> and disease modeling.<sup>58</sup> These systems enable the use of several processes

and manipulations that have typically been hard to handle with conventional methods. For instance, laminar and turbulent flow and microdroplet formation show great advantages in the formation of spheroids.<sup>20,22</sup> Moreover, forming 3D tissue in a dynamic environment in a microfluidic chip offers high cell viability compared to that in static conditions.<sup>59</sup> Microfluidic systems are also advantageous in supplying an adequate amount of nutrients and oxygen to cells in a well-controlled environment. Furthermore, with these systems, the size and composition of spheroids can be controlled precisely in a low-cost design using only a low amount of reagents.<sup>60</sup> Hence, microfluidic-based tools could afford great benefits in spheroid engineering compared to conventional 3D cell culture methods. Here, we will focus on different microfluidic techniques used for spheroid generation (Figure 2 and Table 1).

**3.1. Droplet-Based Methods.** In biomedical studies, droplet-based microfluidic methods have been used for different applications where size-controllable monodisperse droplets can be generated and manipulated (Figure 2A).<sup>19</sup> To create an identical spheroid, the fabrication of uniform-sized droplets is crucial. The spheroids are formed by encapsulating cells into the droplets, and the size of spheroids can be regulated by droplet size. The geometry of the microfluidic chips controls the droplet generation, and the rate of generation can be regulated by the type of fluid inside the microchannels.<sup>61</sup> Encapsulating cells and forming spheroids in such microfluidic systems can be achieved by using different liquids to form single- or double-emulsion droplets.<sup>62</sup> Single emulsions are the most basic type of emulsion, consisting of a liquid droplet dispersed in another fluid and frequently stabilized by surfactants. Water-in-oil systems, such as “cell suspension droplets in oil”, are well-known examples of single-emulsion techniques.<sup>63</sup> Double emulsions are liquid dispersion systems, also known as emulsions of emulsions, in which droplets of one dispersed liquid (emulsion, microemulsion, liposome, etc.) are dispersed in another liquid (water or oil), resulting in double-layered liquid droplets.<sup>118,119</sup> Water-in-oil-in-water systems, such as “cell suspension droplets-in-oil-in-water” are the most commonly applied double-emulsion techniques.<sup>64</sup> The selection of the culture medium, physiological fluid, or hydrogel for the entrapment of cells and the determination of the oil phase is of crucial importance for generating 3D cell-laden spheroids.<sup>19</sup> To accomplish spheroid formation in microfluidic systems, geometric models such as a T-junction, co-flowing, and flow focusing have been widely investigated.<sup>22,61–73</sup>

A T-junction is the most used geometry for its simple fabrication, operability, and controllability for creating monodisperse droplets. Principally, the T-junction arrangement enables the formation of droplets by the shear stress produced by two immiscible flowing fluids at the intersection of two consecutive microchannels.<sup>120</sup> Here, two immiscible liquids are defined as the continuous phase and the other as the dispersed phase. The intersection of two distinct microchannels carrying the continuous and dispersed phases can be positioned between 0° and 90°. <sup>121</sup> The continuous phase is usually composed of oils or organic solvents that are more viscous than water and immiscible with water, whereas the dispersed phase is usually water or water-based physiological fluids.<sup>122</sup> T-junction methods have previously been used in forming identical droplets for both cells and drug encapsulations in microfluidic platforms for drug-screening

applications.<sup>57</sup> In a previous study, the combination of an alginate and PuraMatrix hydrogel system was used in a droplet-integrated microfluidic chip to entrap the cancer cells, fibroblasts, and lymphocytes at the same time to achieve tumor spheroids for immunotherapy studies.<sup>65</sup> Lenalidomide anticancer drug was tested on the obtained tumor spheroids at a size of  $350 \pm 25 \mu\text{m}$ . On the basis of the findings, the microfluidic system allows not only spheroid production but also measurement of cell proliferation, cell–cell interaction, and cytotoxic effects of anticancer drugs on formed spheroids semiautomatically.

The co-flowing approach allows the formation of micrometer-sized droplets by interbedding the dispersed phase and continuous phase together.<sup>123</sup> The phase difference between the continuous and dispersed phases causes the continuous phase to surround the dispersed phase, resulting in the formation of droplets.<sup>124</sup> A microfluidic platform possessing a hillock structure based on the co-flowing technique was designed to investigate the spheroid formation in alginate microcapsules.<sup>66</sup> The developed system was also used for the observation of the differentiation of P19 mouse embryonic carcinoma cells. It was reported that spheroids could be obtained in as little as 2 days in massive and uniform microcapsules with an average diameter of  $111 \mu\text{m}$ .

In the flow-focusing technique, droplets are generated by orthogonally positioned channels that enable the droplets to flow in the direction of the dispersed phase channel.<sup>125</sup> Briefly, unlike the co-flowing method, two vertical channels join the main flow channel at the same point in this technique, and droplet formation occurs at this cross-junction.<sup>126</sup> In a study, size-controllable alginate-based spheroids were reported to possess MCF-7 tumor cells in the core and human mammary fibroblast cells (HMF) in the shell phase.<sup>67</sup> The efficacies of two different anticancer drugs (Paclitaxel and Curcumin) on these produced spheroids were evaluated. The microfluidic-based fabricated spheroids exhibited higher drug resistance than the monolayer cell culture models but displayed equal resistance to those spheroids prepared using conventional methods.

**3.2. Electrowetting Approaches.** To construct discrete droplets, electrowetting approaches are used with microelectrode arrays (Figure 2B).<sup>127</sup> The formation, mixing, and transportation of droplets are simple fluidic processes in digital microfluidics (DMF) using the electrowetting (EW) phenomenon without the use of pumping systems.<sup>128</sup> Because of their easy automation/integration, rapid analysis, reduced sample volume, and addressability, DMF methods are advantageous when compared to conventional methods.<sup>129</sup> Although digital microfluidics and electrowetting have not been widely used for spheroid manufacturing in recent years, particularly in terms of mass production, a few literature examples have been considered remarkable as a proposed approach for spheroid fabrication. For instance, DMF was used to form microgel on-demand arrays for constructing and culturing mesoscale cell spheroids.<sup>74</sup> Madin-Darby canine kidney (MDCK) cells were seeded in microgels composed of Geltrex, type I collagen, and agarose, and these microgels were separately located in the hydrophilic site of the DMF device and were cultured for 4 days for 3D cell aggregation. In another study, the DMF system was developed to form organoids for drug-screening applications.<sup>75</sup> Collagen scaffolds with a co-culture of HepG2 and NIH-3T3 cells were electro-dynamically injected into the presented device, and organoids were formed. Afterward,

acetaminophen (APAP) in different concentrations was introduced into the DMF platform, in which organoids were observed in terms of apoptosis and necrosis. According to the results, 10 mM APAP showed an apoptotic response, and both apoptotic and necrotic responses were observed for 20 mM APAP. In another study, an automated hanging-drop method with DMF was also presented for culturing bone-marrow-based mouse mesenchymal stem cell spheroids.<sup>76</sup> For cell manipulation, this device contained top and bottom plates having electrodes and ground electrodes, respectively. The hanging drop formation was generated on the bottom plate with holes where cells tend to aggregate. With use of this method, spheroids with high viability and uniform size (up to  $400 \mu\text{m}$ ) were generated.

**3.3. Microwell-Based Method.** Microwell-based methods have generally been preferred because of their simple and easy-to-use process.<sup>77</sup> With use of microfabrication processes such as photolithography, soft lithography, and etching, it is possible to create microwell arrays from different materials such as polyethylene glycol (PEG) and polydimethylsiloxane (PDMS) (Figure 2C).<sup>130</sup> Because the cells do not attach to the surface in the microwells made in the appropriate dimensions, spontaneous spheroid formation occurs with sedimentation and accumulation of cells in these wells.<sup>131</sup> In microwell-based approaches, both static and dynamic conditions, the deterioration of the structural integrity or cell loss may occur in developed spheroids during cell seeding, culture medium replacement, or various washing steps. However, the modification of the microfluidic channel dimensions (increased width or decreased height), revision of the reservoir geometry, changing of the microwell material, and use of integrated pumps may overcome these problems.<sup>79,132–134</sup> Collection of the formed spheroids from the microwells is essentially required for postprocessing analysis such as biochemical, differentiation, or flow cytometry analysis. In this context, a suitable flow rate can be chosen to apply an adequate lift force for spheroid collection.<sup>135</sup> Numerous studies have been conducted utilizing microwell-based approaches to generate uniformly sized spheroid structures in many fields, including 3D culture, drug screening, and tissue engineering.<sup>13,77,79,81–91,93–96</sup> For instance, the construction of colorectal cancer cell (HT29) spheroids that have approximately  $250 \mu\text{m}$  diameter at the end of the 7-day culture period was reported in a reversible microfluidic platform.<sup>85</sup> Top and bottom parts were held together via cubic-shaped magnets that faced each other with opposite magnetic polarity. Alternatively, another microfluidic platform has reported the formation of multicellular spheroids having  $50\text{--}100 \mu\text{m}$  diameter from biopsy-derived patient cells for drug-screening studies.<sup>81</sup> This platform offers a self-perfusion ability that makes the platform an equipment-free device for the cultivation of formed spheroids. Furthermore, the concave microwells were used to form colon cancer (HCT116) cell spheroids having  $120 \mu\text{m}$  diameter for the application of Irinotecan anticancer drug.<sup>84</sup> It was reported that the cell viability, the spheroid number, and the spheroid uniformity were altered depending on the concentration level of the drug. Recently, tumor spheroids were formed using a human colon cancer cell line (HT29) in microwells under a continuous flow of culture medium.<sup>83</sup> The developed platform allowed spheroid formations up to  $250 \mu\text{m}$  diameter in size, and the cell viability was drastically decreased in spheroids throughout the 5-day culture in the presence of 5-fluorouracil anticancer drug. In another study, self-filling

agarose-based microwells were demonstrated using inclined channels for analyzing drug toxicity in spheroids formed with MCF-7 breast cancer cells and also U87 brain tumor cells.<sup>86</sup> It was shown that the developed tumor spheroids were found more resistant to the cytotoxic effect of the doxorubicin anticancer drug than the monolayer culture of the same cells. In another study, dense dermal fibroblast spheroids were created in biomimetic cellulose-nanocrystals-doped gelatin hydrogel under physiological flow conditions and to screen for alimunium (Al) in skin care products.<sup>78</sup> It has been reported that 2400 spheroids with a diameter of 150  $\mu\text{m}$  can be produced within 5 days in the proposed microfluidic platform. A microwell-based microfluidic biochip was also designed to generate uniform multicellular spheroids to study chemotherapeutic drugs.<sup>80</sup> Ten spheroids with a size of 250  $\mu\text{m}$  can be formed in 5 days on this chip and formed spheroids exhibit high resistance to anticancer drugs.

**3.4. Hanging Drop.** The hanging-drop method is known to be one of the widely used conventional methods for 3D spheroid formation in various applications.<sup>97,100–105</sup> Because this easy-to-use method allowed the self-assembly of spheroids with the force of gravity and desired microenvironment for spheroids, the hanging-drop method has also been adapted to microfluidic systems (Figure 2D).<sup>136</sup> For example, a PDMS-based microfluidic hanging-drop chip was reported to provide an automated long-term and high-throughput 3D cell culture for various applications such as cell differentiation, tissue engineering, developmental biology, and drug screening.<sup>97</sup> Moreover, spheroids composed of Wharton's jelly mesenchymal stromal cells (WJ-MSCs) were formed in a continuously perfused microfluidic hanging-drop platform.<sup>101</sup> In this platform, the production of spheroids with a diameter of 500  $\mu\text{m}$  was realized in 7 days; this could not be produced with the traditional hanging-drop approach because of the limited exchange of the culture medium. Furthermore, the conventional hanging-drop technique limits the formation of embryoid bodies because of the intensive workload and difficulty in changing the culture medium manually.<sup>137</sup> To overcome these limitations, a PDMS-based microfluidic hanging-drop device containing microfluidic channels and wells was developed.<sup>100</sup> A mouse embryonic stem cell suspension containing  $3 \times 10^5$  cells/mL was introduced in the channels under hydrostatic pressure. The cells were trapped in the wells of the microfluidic chip, and the 80–120  $\mu\text{m}$  diameter of the embryoid body was easily formed in a 1-day cultivation. In another study, a pump-integrated PDMS microfluidic chip was designed to improve the flow control in the hanging-drop system.<sup>136</sup> The physiological pulsative flow was achieved using the pneumatically actuated pump. Human iPSC cell-derived cardiac microtissue spheroids were developed using this system, and formed spheroids exhibited a beating at a rate of 60–90 bpm, which is similar to the natural frequency of the human heart. A microfluidic hanging-drop-based spheroid co-culture system was also used to facilitate the formation and co-culture of embryoid bodies and tumor spheroids.<sup>98</sup> In this study, spheroid generation up to  $730 \pm 27$   $\mu\text{m}$  was reported in Matrigel, and 16 spheroids per chip were produced in 10 days.<sup>98</sup>

**3.5. Microstructures.** The basic operation of this approach is based on delivering the cell suspension to the chip via a microfluidic channel and accumulating the cells in the microstructures patterned on the channel surface (Figure 2E).<sup>138</sup> The accumulated cells form spheroids, and the

medium can be constantly fed into the chip via microchannels.<sup>139</sup> The diameters of the spheroids may be fine-tuned with the sizes and geometry of the microstructures. The major benefits of microstructures are that they protect cells from shear stress injury and allow delivery of nutrients to cells without damaging the spheroid structure.<sup>106</sup> In microstructure-based systems, the pressure generated by the flow rate in front of the miniature structures within the chip is critical, and this stagnation pressure also generates a stagnation zone within the microstructures. Thus, although the culture media flow at a constant rate from the outside region of the structures, the static environment created within the structures promotes cell fusion and the formation of spheroids.<sup>140</sup> Although there are not as many literature examples as the hanging-drop method and multiwell-based approach, there are promising examples using microstructures, which can be practically applied using photolithographic and molding approaches.<sup>106–108,113,141</sup> For example, LCC6 breast cancer cells encapsulated with alginate beads having a size of approximately 250  $\mu\text{m}$  were trapped via a U-shaped microstructure for spheroid formation. The effect of doxorubicin on the produced spheroids was also studied on the same chip.<sup>109</sup> Furthermore, an *in vitro* breast tumor model containing an endothelial monolayer and ECM was reported on a chip to imitate a microvessel wall. The developed platform allowed the formation of uniformly sized multicellular tumor spheroids, and the platform was proposed further for use in drug-screening studies. After 14 days of culture, the average diameter of both BT549 and T47D breast cancer cell spheroids was determined to be around 180  $\mu\text{m}$ , and formed spheroids showed good cell viability (>90%).<sup>110</sup> In another study, a Si-based microfluidic chip with pyramid-like microstructures was used to fabricate and culture spheroids.<sup>107</sup> With this device, 100  $\mu\text{m}$  diameter MCF-7 breast cancer spheroids were produced in 2 days of culture, and spheroids' sizes could be adjusted using different cell concentrations. A microfluidic device with U-shaped arrays was also utilized for a 3D cell culture of A549 cells under a continuous flow of culture medium.<sup>112</sup> With this device, 16 spheroids can be produced in 72 h.

**3.6. External Forces.** The formation of spheroids can also be established with external forces such as acoustic actuation<sup>142</sup> and dielectrophoresis (DEP).<sup>143</sup> The major hurdle in dealing with these systems is the possibility of the cell damage caused by mechanical stresses inside the system. Hence, it is difficult to establish long-lasting cell spheroids using these approaches.<sup>23</sup>

**3.6.1. Acoustic Actuation.** Acoustic-wave-based patterning can control the spatial position of cells. In this method, acoustic vibrations create a pressure gradient in a liquid, enabling simple, quick, noncontact, and precise 3D design of suspended cells in media or ECM-based hydrogels.<sup>144</sup> With use of acoustic node assembly, many different complex cellular patterns, as well as spheroids, and also their patterns, can be fabricated rapidly.<sup>144,145</sup> Low-frequency acoustic fields used in these systems prevent the heating of the solution and damage cells.

Acoustic waves allow label-free and contactless cell actuation in different fluidics. When compared to conventional spheroid formation methods, acoustic actuation (Figure 2F) allows higher throughput, easier size control, higher reproductivity/cell viability, and adaptability to different cell lines.<sup>146</sup> For example, a PDMS-based microfluidic platform containing 60 parallel microfluidic channels with dimensions of 150  $\mu\text{m}$



height and width and a depth of 3 mm was integrated with a surface sound acoustic wave (SSAW) generator.<sup>114</sup> With this scheme, over 12,000 multicellular tumor spheroids can be generated in a few minutes. In another work, an acoustic-fluid device was used for the fabrication of homotypic and heterotypic spheroids without using any scaffold materials.<sup>115</sup> In the device, the acoustic radiation force acted on the suspended cells to collect them in pressure nodes, and the spheroid size and cell components could be easily adjusted by changing the initial cell concentration and ratio. The device allowed spheroids to form a diameter of  $185.2 \pm 50 \mu\text{m}$  in 9 h.

**3.6.2. Dielectrophoresis.** DEP in microfluidic platforms is a favorable method because of its fast, controllable, and efficient cell-patterning abilities.<sup>134</sup> DEP is an electrical force categorized as negative and positive DEP that can be used for spheroid formation (Figure 2G).<sup>147</sup> For instance, cell clusters were formed between the interdigitated electrodes using positive DEP.<sup>116</sup> The aggregates of Jurkat cells and AC3 mouse stromal cells were generated by using a potential difference of 20 V at 1 MHz frequency. The developed method was reported to be suitable for investigating the cellular interactions in 3D cell aggregation in different sizes by adjusting the magnitude of the electrical field applied between electrodes. In another study, a negative DEP device with 3D upper and lower interdigitated electrodes was used to form HuH7 human hepatoma cell aggregates within 45 min.<sup>117</sup>

#### 4. ORGAN-ON-A-CHIP APPLICATIONS USING SPHEROIDS

The integration of microfluidics and tissue engineering constitutes organ-on-a-chip (OOC) technologies.<sup>148</sup> OOC technologies enable biological systems to be created in a controllable manner under certain physiological conditions. Consequently, the imitation of *in vivo* microenvironment conditions can be achieved so that tissue and organ physiology may be generated under *in vitro* conditions.<sup>149</sup> Because of close contacts between cells and multicellular features, spheroids mimic paracellular signaling and physiological interface in heterogenic tissues or organs. Therefore, spheroids combined with OOCs can be a good candidate for accurate disease modeling.<sup>150</sup> Furthermore, in preclinical pharmacological studies, spheroids employed in OOC systems may show more realistic drug responses compared to conventional cell culture methods by elucidating *in vivo* physiological conditions.<sup>151</sup> Evolving OOC technology with spheroids provides researchers with several perspectives, especially in disease-modeling and drug-screening studies.

**4.1. Disease Modeling.** A disease model can be applied to animals or cells to exhibit all or a subset of both pathological processes and physiological functions found in corresponding human or animal illnesses. Examining disease models enables to gain a better understanding of how diseases arise and to evaluate new treatment methods.<sup>152</sup> An important advantage of disease models is that the accuracy of epidemiological assumptions can be validated with the mathematical description of biological processes, allowing us to gain deeper insight into the onset and course of diseases.<sup>153</sup> OOC systems could be utilized for disease modeling that could speed up the development of next-generation drugs and treatments for different diseases.<sup>154</sup>

Alzheimer's disease is an age-related neurodegenerative disease that occurs because of amyloid- $\beta$  accumulation.<sup>155</sup> The 2D cell culture studies conducted in this field remain

incapable of understanding the pathogenesis, progression, and underlying reasons for Alzheimer's disease.<sup>156</sup> In a study, a 3D brain model on a chip providing brain-like interstitial flow was developed to imitate a brain model with and without Alzheimer's disease.<sup>157</sup> The neurospheroids obtained from neural progenitor cells were cultured in the presence and absence of amyloid- $\beta$  on a single chip. In the end, low cell viability, high neural disorders, and dysfunction were reported in neurospheroids exposed to amyloid- $\beta$ . Hence, the investigation of pathophysiological properties of Alzheimer's *in vitro* was enabled with the 3D brain-on-a-chip.

Nonalcoholic fatty liver disease (NAFLD) is one of the most common chronic illnesses, caused by aberrant fat accumulation, decreased protein production, and deficiencies in numerous biological functions.<sup>158</sup> To investigate NAFLD pathogenesis, multicellular aggregates were prepared by using HepG2 and HUVECs.<sup>159</sup> The function of the hepatocytes was evaluated in a chip in terms of albumin secretion and reactive oxygen species level following the multicellular aggregates exposed to free fatty acids. On the basis of the obtained results, the implementation of a diet without fat and an antisteatotic drug can enable regression of NAFLD.

The communication between the liver and the pancreas is another essential mechanism for the human body to control insulin-glucose regulation.<sup>160</sup> OOC has great potential for investigating type-II diabetes mellitus *in vitro*. For instance, insulin secreted by islets positively affected glucose uptake from liver spheroids in the OOC system, and the glucose value in the medium was decreased.<sup>161</sup>

Ductal carcinoma *in situ* (DCIS) is a cancerous lesion formed by the collection of neoplastic epithelial cells in the mammary duct.<sup>162</sup> To model an early stage of breast cancer with DCIS, human breast cancer-on-a-chip was developed.<sup>163</sup> For this purpose, breast cancer cell spheroids produced by a hanging-drop plate were collected and co-cultured with mammary ductal epithelial cells and fibroblasts to mimic the 3D structural organization of the human mammary duct. It was noted that the progression of malignancy of DCIS was successfully simulated via a compartmentalized 3D microfluidic device.

Inflammation and a variety of other disorders damage the integrity of endothelium and epithelial cellular barriers, which are critical for the selective transit of solutes and other molecules throughout the body.<sup>164</sup> A co-culture of 3D tumor spheroids and cellular barriers was demonstrated in a microfluidic chip containing multiple wells with HT29 spheroids and an MDCK cellular barrier.<sup>165</sup> The electrodes integrated into the chip enabled also transepithelial/trans-endothelial electrical resistance measurements to rapidly assess barrier sealing in real time.

**4.2. Drug-Screening Studies.** Typically, the drug development process is separated into three distinct phases: discovery, preclinical development, and clinical testing. The preclinical study is based on drug-screening research.<sup>166</sup> Drug screening is the process of identifying and optimizing prospective medicines before the selection of a candidate drug for clinical trials.<sup>109</sup> 3D cultures, particularly spheroids, have several benefits that have made them a popular tool for *in vitro* drug testing.<sup>167</sup>

For on-chip breast cancer drug delivery experiments, multicellular tumor spheroids (MCTSs) mimicking an ECM structure and monolayer endothelial cells mimicking a capillary channel structure were used.<sup>110</sup> MCTSs were created by using

BT549 (triple-negative breast cancer) and T47D (nontriple negative breast cancer) cells. Doxorubicin (DOX) was used as an anticancer drug with carbon-dots-based nanocarriers utilized for drug delivery. Transportation of the drug between the vessel and the ECM and its penetration into the MCTSs were tracked in real time using breast cancer-on-a-chip. In addition, *in situ* cytotoxicity testing was also performed in a single chip, and it was observed that controlled distributed DOX caused higher cytotoxicity in BT549 spheroids than in T47D spheroids. Furthermore, the efficiency of different chemotherapy drugs was also tested on breast cancer spheroids in a microfluidic chip.<sup>168,169</sup> An evaluation of the efficacy of drugs cell viability analysis was conducted on bright-field micrographs of spheroids without using any staining process.

In a study based on vascularized cancer-on-a-chip, how the vascular structure of the tumor affected the drug resistance in tumor spheroids was investigated.<sup>170</sup> For this purpose, the obtained multicellular tumor spheroids composed of human lung fibroblasts (hLFs), MCF-7, and HUVECs with and without vasculature were exposed to paclitaxel (PTX)-anticancer drug. The results indicated that whereas PTX inhibited cell growth in static culture, it did not have the same impact in dynamic culture because of perfusion-induced vascularization.

In another study, tumor-on-a-chip was developed for the investigation of the effects of the PTX-loaded liposomes on an ovarian cancer model.<sup>171</sup> The human ovarian cancer cell (SKOV3) spheroids formation, cultivation, and drug administration studies were conducted on the same chip. The four different formulations of liposomes were applied to the cells in 2D monolayer and 3D spheroids in different sizes under certain flow conditions. Consequently, small-sized tumor spheroids show better treatment efficacy with a low flow rate.

Temozolomide (TMZ) and bevacizumab (BEV) as clinical anticancer drugs were also tested for a high-grade and aggressive brain cancer model on a brain cancer chip containing glioblastoma multiform (GBM) spheroids.<sup>172</sup> The drugs were applied to the primary human-derived GBM tumor spheroids both alone and in combination. According to the results, the combination of TMZ and BEV exhibited high treatment efficiency compared to that of a single TMZ application. This study has shown that the efficient combination of chemotherapy drugs, namely, drug cocktails, can be rapidly determined using a brain cancer-on-a-chip-based system.

To inspect a topically used antifungal drug terbinafine, reconstructed human skin and liver spheroids were cultured separately using a two-compartment TissUse' HUMIMIC Chip2.<sup>173</sup> The combination of skin and liver models enabled the measurement of toxic or metabolic reactions to the accumulated drug in the liver via transit through the skin barrier, which could not be assessed using conventional skin equivalents. In skin models, systemic terbinafine exposure boosted EGFR expression, whereas in the liver model on the same chip, it promoted apoptosis and decreased hepatic albumin expression.

## 5. DISCUSSION

**5.1. Cells and Biomaterials Used for Spheroid Engineering.** To obtain 3D spheroids in a microfluidic system, various types of cells have been used (Table 1). In addition, co-culture studies have also been performed by using at least two cells at once to produce multicellular spheroids.<sup>174</sup>

There are several criteria to be considered in the evaluation of culture conditions according to used cell types in spheroid formation.<sup>175</sup> For a spheroid generation, the optimal cell number, composition of the culture medium, and culture period should be determined. For co-culture experiments, the ideal cell ratio should also be identified. Additionally, the cellular process, such as doubling time, differentiation, metabolism, and survival rate, needs to be considered carefully to form a holistic microtissue.<sup>176</sup> The success of the spheroid formation due to the cell types may differ.<sup>177</sup> For example, osteosarcoma cells, human umbilical vein endothelial cells (HUVECs), human glioblastoma cells, tumor epithelial cells (TEC), and mesenchymal stem cells have been shown to proliferate more rapidly in 3D cultures than breast cancer cells, sheep-derived bone marrow stem cells, rat interior tibialis muscle cells, and smooth muscle cells.<sup>1</sup> The size of formed spheroids could differ in the molecular characteristics of spheroids. For instance, HepG2 spheroids with a diameter of 200  $\mu\text{m}$  showed higher albumin secretion compared to spheroids with a diameter of  $\geq 300 \mu\text{m}$ .<sup>178</sup> Hence, the size of spheroids should be precisely determined to get desired cellular functionality.

Microfluidic fabrication provides different options for fabricating large or small spheroids depending on applications.<sup>14,179–181</sup> The type and density of the cells have been identified as the key issues concerning the diameter of the spheroids.<sup>20</sup> For example,  $10^7$  embryonic stem cells (ES)/mL,  $10^7$  HepG2 cells/mL, and  $10^5$  monkey kidney epithelial fibroblast (COS-7) cells/mL were fed into the microfluidic chip to generate spheroids.<sup>182</sup> Although ES and COS-7 cells formed uniform aggregates at 16 and 24 h, HepG2 cells exhibited irregular spheroid formation at 24 h. The average diameter of the spheroids formed by using ES and COS-7 was measured as 80  $\mu\text{m}$ , whereas the spheroids of HepG2 were 200  $\mu\text{m}$  in diameter in a 3-day culture. Hence, the same initial cell density can result in the development of spheroids of varying diameters depending on the cell type. On the other hand, the cell density may cause different spheroid shapes depending on the type of the cell. For example, although MG63 and HepG2 cells were loaded into the chip system with  $8 \times 10^6$  cells/mL concentrations, MG63 cells showed a spherical shape, whereas HepG2 cells showed a nonspherical structure in a 5-day culture. Moreover, high cell density has the potential to clog microfluidic channels and result in the formation of nonspherical aggregates.<sup>183</sup>

Several matrixes have been used in spheroid-engineering research because of their biomimicry properties.<sup>184</sup> Although various matrixes are produced and used in various shapes and sizes for 3D culture, the matrixes to be used within the framework of spheroid engineering in microfluidic devices are favored in a hydrogel configuration because of their formable properties.<sup>185</sup> Natural polymers, synthetic polymers, and a variety of decellularized matrixes are the primary components utilized to create the hydrogel structure in this context.<sup>186</sup> Natural polymers are of great interest in terms of hydrogel preparation because of their excellent biocompatibility, biodegradability, nontoxic natures, and resemblance to the form of native ECM.<sup>187</sup> However, being physically weak and having components activating the immune or inflammatory response can discredit these materials.<sup>187</sup> Thanks to their adjustable mechanical properties, biodegradation, and cross-linking density, the usage of synthetic polymers is more desirable than natural polymers.<sup>188</sup> However, considerable

Table 2. Comparison of the Microfluidic-Based Methods for Spheroid Fabrication

methods	advantages	limitations
droplet-based	<ul style="list-style-type: none"> <li>• create identical templates for spheroid formation</li> <li>• single, double, and triple encapsulation variations</li> </ul>	<ul style="list-style-type: none"> <li>• resulting empty droplets (no cell containment)</li> <li>• insufficient nutrient supply</li> </ul>
electrowetting	<ul style="list-style-type: none"> <li>• easy automation and integration</li> <li>• rapid analysis</li> <li>• pump and valve-free operation</li> </ul>	<ul style="list-style-type: none"> <li>• hard to design and fabricate these platforms</li> </ul>
microwell	<ul style="list-style-type: none"> <li>• simple to operate</li> <li>• controllable spheroid size</li> </ul>	<ul style="list-style-type: none"> <li>• cell loss and spheroid disruption during spheroid collection</li> </ul>
microfluidic hanging drop	<ul style="list-style-type: none"> <li>• self-assembly due to gravity</li> <li>• no cell adhesion observed on the surfaces</li> </ul>	<ul style="list-style-type: none"> <li>• high flow rate used to collect formed spheroids can damage spheroids</li> <li>• nonhomogeneous number of cells in each hanging drop</li> </ul>
microstructures	<ul style="list-style-type: none"> <li>• reversible process enabling formation and collection of spheroids</li> <li>• efficient cell trapping due to high cellular interaction</li> </ul>	<ul style="list-style-type: none"> <li>• applying high flow rate can affect the spheroid formation time and make cells escape</li> </ul>
acoustic	<ul style="list-style-type: none"> <li>• rapid spheroid formation enabling high cell viability</li> <li>• simple and versatile technology to fabricate complex spheroids patterns in mild conditions</li> </ul>	<ul style="list-style-type: none"> <li>• possible cell damage due to heating problems while using high-frequency acoustic fields</li> <li>• complex fabrication processes while integrating acoustic wave generators on chip level</li> </ul>
dielectrophoresis	<ul style="list-style-type: none"> <li>• fast cell manipulation</li> <li>• stable cell positioning</li> </ul>	<ul style="list-style-type: none"> <li>• possible cell damage due to high electrical field</li> <li>• high conductivity of culture medium may result in low cellular interactions and induce cell damage</li> </ul>

attention should be paid to the problem of toxicity and biocompatibility that may arise from the reagents used in the synthesis phase.<sup>189</sup> Apart from the polymers, decellularized-matrixes-derived hydrogels have been proposed for 3D culture studies on the basis of their site-specific biochemical and mechanical cues, the capability of regulating cellular behavior (e.g., attachment, proliferation, migration, and differentiation), and excellent resemblance to native tissue ECM.<sup>190</sup> Nevertheless, several issues, such as loss of mechanical properties, pathogen transmission risk, deteriorated ECM structure or functionality, and immunogenic problems, need to be overcome.<sup>191</sup> Because of these reasons, these materials have been utilized in combination rather than alone (Table 1). Hence, bringing these materials together can compensate and strengthen the properties of each material.

**5.2. Design Parameters of Microfluidic Systems for Spheroid Engineering.** The major goal of employing microfluidics in spheroid engineering is to transport cells to a predefined position on a chip while maintaining a continuous flow of culture media within the chip as the spheroid forms and maintains.<sup>192</sup> The developed microfabrication techniques enable fabrication of complex and physiologically suitable microstructures in microfluidic chips that can affect the stability of the spheroid formation and size.<sup>193</sup> For example, MPM H2052 cells were cultured in microchannels, including round-bottom and flat-bottom microwells.<sup>194</sup> Because of the influence of the shape of the microstructure, round-bottom microwells have been reported to provide higher spheroid-forming efficiency than flat-bottom microwells. On the other hand, the symmetrical treelike structure employed in the design guaranteed that the hanging cells were retained homogeneously in eight microwells with the effect of gravity, resulting in the homogeneous size distribution of the spheroids created.

The advantages and limitations of spheroid fabrication techniques used in the microfluidic devices are summarized in Table 2. Microwell and droplet-based spheroid fabrication methods are widely used in microfluidic systems (Table 1). The main reason for this may be that droplet-based systems can produce thousands of spheroids in minutes or even

seconds. Moreover, microwell-based systems do not require sophisticated lithographic manufacturing procedures, so they are cost-effective and practical systems. Additionally, microwell-based systems could be used to fabricate spheroids in different size ranges.<sup>195</sup> Despite the growing interest in microfluidic hanging-drop and microstructure approaches for spheroid manufacturing, there are significant restrictions that preclude their utilization. For example, it is extremely difficult to establish a stagnation zone on the chip's microstructures that do not harm cells.<sup>196</sup> For this purpose, flow rate and shear stress in the chip must be controlled precisely to form and maintain the spheroids.<sup>196</sup> Additionally, the recovery of spheroids produced by these technologies can be quite challenging.<sup>20</sup> In the microfluidic hanging-drop technique, the nonuniform distribution of cells in each droplet can result in size and shape variations in formed spheroids.<sup>101</sup> Additionally, one of the most fundamental issues with the microfluidic hanging-drop approach is that the culture medium cannot be replaced dynamically not to deteriorate the droplet structure.<sup>101</sup> Electrowetting, acoustic, and dielectrophoresis methods are the least used techniques for spheroid fabrication. Electrowetting is limited in use because of challenges in designing and fabricating these electrowetting platforms.<sup>125</sup> In spite of its advantages, such as flexible liquid handling and label-free manipulation, the acoustic method has downsides like contamination difficulties at the liquid–liquid interface and undesired heating issues.<sup>127</sup> Dielectrophoresis also has tremendous potential for quick and precise cell manipulation.<sup>197,198</sup> Nonetheless, with this approach, particular attention should be paid to the inability to establish cellular interactions due to the high electrical conductivity of the culture medium, as well as the risk of cell injury due to the strong electrical field.<sup>199,200</sup> Although magnetic-based techniques were not utilized for spheroid fabrication in microfluidic channels, they have the potential to be used for fabricating self-assembled spheroids in a label-free manner.<sup>42,201</sup>

Researchers have highlighted the necessity of a “well-controlled environment” and “more *in vivo* like circumstances” as advantages of microfluidic-based approaches over conventional procedures. However, when the studies presented in this

review article are evaluated in this context, it is evident that the vast majority of them have focused on producing high-quality and large numbers of spheroids rapidly rather than providing a well-controlled microenvironment for the long-term culture of spheroids. Only a few reports provide objective information on these two challenges.<sup>61,82,98,102</sup> *In vivo* like conditions can imply the physical conditions of cells in an organism that can simulate the 3D cellular structure and its microenvironment.<sup>202,203</sup> Because of mimicking the multicellular structure and ECM synthesis, spheroids can provide more realistic *in vivo* conditions than a 2D cell culture.<sup>27</sup> Furthermore, the studies show that spheroids possess a level of protein and gene expressions, which are similar to those *in vivo*.<sup>14</sup> The cellular microenvironment, on the other hand, is a very dynamic and complex structure both biomechanically and biochemically and consists of ECM, fluid flow, biomolecular gradients, and other cell types.<sup>204</sup> Although it is quite challenging to imitate such a complex structure *in vitro*, it is necessary to obtain physiologically more realistic 3D structures consisting of well-defined spatial and temporally controlled cells.<sup>205</sup> When the studies presented in this review article are evaluated in this context, few articles used biomaterials, such as alginate, PEG, and collagen, to better mimic the biomechanical and biochemical microenvironment in spheroid cultures composed of cancer/epithelial/endothelial cells.<sup>65,67,72,73,75,77,88,92,106</sup> These biomaterials can provide enhanced cell–cell and cell–ECM interactions.<sup>19,184</sup> Moreover, co-cultured cells could be arranged spatially and temporally in spheroids with controlled perfusion.<sup>61,82,98,102</sup> *In vivo* systems are already quite complex, and thus the full mimicry of these systems is still very challenging now. However, organ-on-a-chip technologies used for the spheroid culture that provide various advantages compared to 2D culture could further develop in terms of biomaterial, perfusion, and co-culture perspective to get closer results to those obtained in *in vivo* conditions.

To mimic the physiological conditions better *in vitro*, there should be several design criteria, such as shear force, medium delivery, chip architecture, and cell type and density, that need to be considered in OOC applications containing spheroids. In the OOC system, nutrient distribution, waste removal, and transport of molecules are created by liquid flow. OOC with liquid shear stress provides better biological function and capability compared to static culture systems.<sup>206,207</sup> Shear stress can also affect the cell cycle, cell differentiation, gene expression, and signaling of molecules in tumor cells.<sup>208,209</sup> Moreover, the cells with a high tendency to cluster were reported to form spheroids under high shear force.<sup>96</sup>

To simulate the circulation of the vascular tissue, continuous circulation of the fresh culture medium is desired for OOC applications.<sup>210</sup> There have been several methods proposed for the homogeneous distribution of the culture medium, such as surface-tension-driven flow, syringe and peristaltic pumping, and hydrostatic and osmotic pressure difference.<sup>211</sup> Hydrostatic pressure is generated with the help of the pressure difference between the inlet and the outlet on a chip. This method provides a suitable medium exchange for a perfusion cell culture system.<sup>212</sup> Osmotic pumping is based on the usage of permeable membrane and driving agents in different concentrations.<sup>211</sup> For instance, the perfusion was ensured with polyethylene glycol (PEG) concentration in a controlled manner by using a cellulose membrane for hepatocyte spheroid formation.<sup>213</sup> On the other hand, syringe pumping has widely been used for perfusion in spheroid-engineering studies as it

enables controllable and continuous flow.<sup>194,214</sup> A peristaltic pump is an active pumping system that enables liquid manipulation with a positive displacement of a flexible conduit.<sup>211</sup> For example, with use of a peristaltic pump, the constant medium flow was generated for the perfusion of hepatic spheroids.<sup>215</sup> Pumping systems that have different working principles enable continuous medium circulation in a cell culture, but hydrodynamic and osmotic pumping systems have several limitations, for instance, the requirement of conductive reagents, low flow rate, and pressure. However, syringe and peristaltic pumping systems permit a sufficient flow rate, simple integration, easy control, and rapid response time.<sup>216</sup>

### 5.3. Current Challenges and Future Perspectives.

First, chip material is an important element for spheroid formation and OOC applications. Polydimethylsiloxane (PDMS) is the most frequently used material in the fabrication of microfluidic chips because of its low cost, ease of use, transparency, elasticity, biocompatibility, and gas-permeable properties.<sup>217</sup> In addition, glass-based materials can be used for fabricating microfluidic devices with their optical transparency, chemical inertness, rigidity, and high-temperature resistance features. However, they are insufficient for mass production because of the slow and expensive production process. Hence, new materials can be exploited for spheroid fabrication and its applications. Furthermore, the fabrication of microfluidic chips should be simple and cost-effective to increase microfluidic chip usage. Herein, 3D printing technologies that allow rapid, easy, and low-cost production can be used for the fabrication of microfluidic systems from different materials. These technologies also enable fabrication of transparent and complex geometries in a short time.<sup>218,219</sup> Meanwhile, design parameters such as fluid shear force, concentration gradient, and dynamic mechanical stress should be improved while mimicking physiological conditions *in vitro* using integrated elements such as pumps, valves, and different actuators. In addition, the integration of these systems can enable automated, highly efficient, and reproducible experiments. Different sensors can also be integrated into the microfluidic chips to monitor different parameters to evaluate spheroid formation and culture in real time. Integrated sensor systems can be used not only to detect the amount of metabolic product in the culture environment but also to simultaneously observe cell behavior, mechanical and electrical stimulation, chemical gradient, pH, and gas changes.<sup>220</sup> Therefore, the spheroid formation and culture process can be easily controlled and also be adjusted for repeatable experiments. Furthermore, these sensors can be utilized to monitor drug responses of formed spheroids.<sup>221</sup>

Furthermore, an all-encompassing culture medium should be determined to culture spheroids containing more than one cell type. To model an organ truly *in vitro*, the metabolites and microbiome system of this organ need to be integrated on a chip level with spheroid culture. Instead of using cell lines, patient-specific cells can be used to form spheroids for personalized medicine applications. Patient-derived spheroids can be utilized in microfluidic chips to assess drug efficacies for each individual that can be employed for digital twin applications. While constituting these chips, one should also consider the differences between the individuals, such as age, gender, ethnicity, and genetics.

Lastly, the developments in OOC technology can eliminate animal testing in preclinical studies and enable multiple drug

testing on a single chip. Similarly, the integration of emerging “artificial intelligence” techniques into microfluidic-based spheroid-engineering methods by controlling spheroid size formation, evaluating new drug candidates, and analyzing drug responses could lead to the development of next-generation tools for effective drug studies.<sup>168,222</sup> These developments can eliminate animal tests and accelerate preclinical analyses and may lead to “clinical trials-on-a-chip” technology in the future.

The global 3D cell culture market including spheroid engineering is expected to grow from \$1.3 billion (U.S. dollars) in 2022 to \$2.6 billion by 2027, at a compounded annual growth rate (CAGR) of 15.6% between 2022 and 2027.<sup>223</sup> Conventional spheroid-engineering methodologies are applied in different products using 24-, 96-, or 384-well microtiter plates, such as Perfecta3D hanging drop plates,<sup>224</sup> Corning spheroid ULA (Ultra-Low Attachment surface) microplates,<sup>225</sup> and MicroTissues 3D Petri Dish micromold spheroids.<sup>226</sup> Moreover, droplet-based 3D bioprinted technology could also be applied for spheroid fabrication.<sup>227,228</sup> There are also many companies in the market supplying solutions for microfluidic-based 3D cell culture and organ-on-a-chip. For instance, Fluigent has developed microfluidic chips that are used in 3D cell culture to generate an hypoxia environment for tumor cells, endothelium/epithelium barrier, and vascularization.<sup>227</sup> Creative Biolabs Microfluidics Company supplies 3D cell culture chips. These chips enable a culture of neurons, skin, stomach, intestinal, and kidney cells and also their co-culture.<sup>227</sup> In addition to these companies, Emulate has improved organ-specific organ-on-a-chip devices for modeling of brain, colon intestine, duodenum intestine, kidney, liver, and lung.<sup>227</sup> Moreover, Dolomite Microfluidics,<sup>227</sup> Schott Minifab,<sup>227</sup> and Droplet Genomics<sup>227</sup> developed droplet-based and microwell-based microfluidic devices. These commercial devices could possibly be applied for spheroid-engineering applications.

## 6. CONCLUSION

2D culture systems with monolayer cells are limited by cell–cell and cell–extracellular matrix interactions, and these systems cannot mimic the cell microenvironment. However, 3D culture systems overcome these limitations and equally provide nutrient, gas, and growth factor transport into cells. Spheroids as 3D cell aggregates show similar functions to *in vivo* tissues. These cell aggregates are traditionally fabricated with various techniques such as pellet culture, hanging drop, spinner culture, magnetic levitation, etc. Microfluidic approaches have also been developed for spheroid studies. Compared to conventional spheroid formation methods, microfluidic systems ensure the controlled formation of a spheroid with simple and automated processing steps. Moreover, using spheroids in OOC models allows the easy realization of *in vivo* conditions for disease-modeling and drug-screening fields to understand complex physiologies of tissues and organs. In the field of OOC, studies composed of the production of single tissues or organs have come a long way in the past decade. Especially, the co-culture of different cell types on a single chip can simulate better *in vivo* physiological conditions. However, for multiple tissue studies, a different cell culture medium was necessary for different cell lines. To overcome this bottleneck, the spheroid culture of different cells may be maintained in different microcompartments on a chip, and sensors and actuators may also be integrated to monitor and regulate metabolites in a culture medium. Hence, these

developments in spheroid engineering using microfluidics could lead to next-generation tools for accurate disease modeling and treatment.

## ■ ASSOCIATED CONTENT

### Data Availability Statement

The data underlying this study are available from the corresponding author upon reasonable request.

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### Author Contributions

H.K. and H.C.T. designed the content of the article; all authors performed literature survey and wrote the article. A.T., S.K., and O.S.O. prepared the figures and tables. H.K. and H.C.T. edited and reviewed the article.

### Notes

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