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Designing of spherical chitosan nano-shells with micellar cores for solvation and safeguarded delivery of strongly lipophilic drugs



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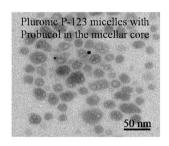
HIGHLIGHTS

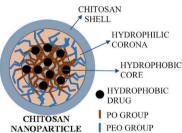
- Dissolving and transporting strongly lipophilic drugs in body fluids is a challenge.
- Highly hydrophobic drug Probucol was dissolved in the micellar cores of a copolymer.
- Chitosan was polymerized as a shell around the micelles containing the dissolved drug.
- The chitosan nano-shells were wellformed, spherical and 30–300 nm in diameter
- They preserve the micelle integrity and provide a pH-sensitive release environment.

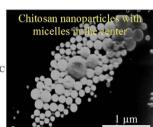
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GRAPHICAL ABSTRACT







ABSTRACT

Chitosan is a very effective biopolymer for drug delivery purposes due to its biocompatibility, positive charge and exceptionally pH sensitive degradability behavior in an aqueous medium. Nevertheless, its inability for dissolving lipophilic drug active material and the difficulties in controlling the size and shape of the synthesized particles in nanometer range are critical drawbacks in its effective use. In this study, a synthesis procedure which addresses both issues simultaneously is presented. The procedure is based on initial dissolution of lipophilic drug molecules within the hydrophobic cores of the micelles of a bio-compatible block-copolymer by ionic gelation and subsequent formation of a chitosan shell by polymerization around the micellar structures.

Well-formed, hollow and perfectly spherical chitosan particles (nano-shells) in the 30–300 nm size range could be successfully manufactured. Characterization by STEM, TEM, AFM, FTIR and DLS, DLS-LDV techniques showed clearly that the drug was successfully incorporated into the chitosan structure. It was demonstrated that the particles enveloped the micelle(s) of a Pluronic copolymer (P-123) whose hydrophobic cores contained a strongly hydrophobic drug Probucol.

The chitosan nano-shells are expected to act as an agent protecting the integrity of the drug-loaded micelles in the body fluid while providing a pH sensitive release medium. The drug uptake by the chitosan particles was very high. A very sharp increase in the amount of the drug released with a slight change in the acidity of the medium was an indication of the potential of the manufactured chitosan nano-shells as pH sensitive, target specific delivery vehicles for drug release.

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1. Introduction

Natural polymers such as cellulose, starch, chitosan, carrageenan, alginates etc. are among the preferred materials for drug delivery applications due to their chemically inert, nontoxic, biocompatible, biodegradable structures and availability. Among these materials, chitosan-based nanoparticles have been receiving special attention due to their superior characteristics as i) high drug-carrying capacities, ii) multi-functionality, ii) prolonged circulation and iv) favorable targeting and penetrability of the cell membranes [1-8,10]. Grenha (2012) presents an excellent review of the methods for manufacturing chitosan nanoparticles which can be grouped into the following categories: emulsification and cross-linking [8.9], emulsion droplet coalescence [11,12], emulsion solvent diffusion [13], reverse micellization [14–17], ionic gelation [3,18-21], polyelectrolyte complexation [22-34], modified ionic gelation with radical polymerization [23,35,36] and desolvation [37-40]. Despite this wide research base, complications still exist in the synthesis of the chitosan nanoparticles due to their aversion for uptaking and encapsulating lipophilic drug active materials and the difficulties in controlling their size and morphology.

This study describes a reproducible and effective method to manufacture spherical, hollow, well-defined chitosan nanoparticles with a micellar core which functions as a solvent for a completely water-insoluble drug. Due to this unique shape, the nanoparticles will also be termed shelled nanoparticles or nano-shells interchangibly throughout the text. The method comprises of two distinct stages which provide superior control in both the drug loading and morphological properties of the manufactured particles. Loading of the drug and creation of the soft templates for subsequent particle formation are achieved in the first stage. It is based on dissolution and encapsulation of the lipophilic drug molecules within the hydrophobic cores of the micelles of a PEO-PPO-PEO type three-block copolymer. The choice of the copolymer for this paper was Pluronic P-123, but these polymeric surfactants, in general, provide excellent opportunities for adjusting the solvation characteristics due to their wide HLB range which can be exploited to vary the concentration and density of the drug molecules loaded. Polymerization of chitosan by cross-linking over the drug-loaded micelles to form perfectly spherical nano-sized particles constitutes the second stage. This stage provides outstanding flexibility for controlling the size and morphology of the final particles and modifying their surface functionality.

2. Materials and methods

2.1. Materials

A Pluronic type triblock copolymer (P-123) with relatively longer hydrophobic blocks (PEO_{20} - PPO_{65} - PEO_{20}) was employed to create the template micelles. This copolymer was preferred due to its commercial availability, biocompatibility, and safety [41,42].

The drug Probucol (PB) was employed for loading of the micelles. Probucol is an anti-hyperlipidemic drug which functions by lowering the cholesterol level in the blood by inhibiting cholesterol synthesis and delaying cholesterol absorption. It is a white, odorless crystalline powder with a melting point of $125\,^{\circ}$ C. It is freely soluble in chloroform, benzene, ether, acetone, ethanol, methanol and acetonitrile; but completely insoluble in water. It must be stressed that selection of probucol was solely due to its strong lipophilic characteristic in order to demonstrate that a completely water-insoluble drug active material could be embedded within chitosan.

The other chemicals employed in the study were acetonitrile (AN) for co-solving the drug with the block copolymer, sodium dodecyl sulfate (SDS) for modification of the micelles, acetic acid (AA) for chitosan dissolution and sodium tripolyphosphate (TPP) for chitosan polymerization. All the chemicals were supplied by SIGMA-ALDRICH chemical company and their most basic properties are presented in

Table 1. Ultra-pure water (18.2 M Ω) was used throughout the study.

The carbon grids and the mica surfaces for the transmission and atomic force microscopy characterization work were provided by "Micro to Nano" Innovative Microscopy Supplies Netherlands/(Value-Tec-TEM-support-grids).

2.2. Method

The chitosan was precipitated in the form of a shell around the surfactant micelles which were previously loaded with the lipophilic drug. Hence, the procure consisted of two stages: the thin-film hydration step where the micelles were loaded with the drug and the ionic gelation step where the chitosan was polymerized around the micelles. A generalized flowsheet of the study is presented in Fig. 1.

In the initial phase, the P-123 micelles were dissolved together with the water-insoluble drug in acetonitrile. Surface tension (ST) measurements were employed to ensure that the P-123 molecules were in the form of nearly spherical micelles. Subsequent evaporation of the solvent generated an organic composite film of the polymer and the drug. Hydration of this film in an aqueous phase forces the lipophilic drug molecules energetically into the hydrophobic core of the micelles. In this study, the aqueous phase was a solution of chitosan (0.5% by weight) in an acetic acid solution (0.5% by volume). In some cases, the micelles of P-123 were modified by SDS in order to control the size and charge of the micellar structures. The aggregation number of the P-123 micelles is around 50 [43]; substitution of the relatively neutral P-123 structures with the smaller SDS molecules should lead to a compaction of the micelle while introducing a negative charge [44]. A carbon grid was dipped into an aliquot of the micellar solution and was dried and kept under vacuum to prepare a representative sample for the were transmission electron microscopy (TEM) characterization of the micelles. The same procedure was repeated with a mica surface into the micellar solution to prepare samples for the atomic force microscopy (AFM) characterization of the micelles.

In the final phase, the micellar chitosan solution was reacted with a cross-linking agent (TPP; 0.5% by weight) at a chitosan-TPP ratio of 1:1 to polymerize chitosan around the micelles as a shell. The presence of acetic acid in the system ensures that the solution pH is maintained around 4. Cross-linking of the chitosan molecules with TPP takes place through the negatively charged oxygens in the TPP with the positively charged amine groups on chitosan (Fig. 2) [45]. The precipitated particles are centrifuged and dried directly without any washing in vacuum under ambient conditions. A schematic view of the chitosan nano-shells manufactured is illustrated in Fig. 3. The figure shows only one micelle in the structure; however, more than one micelle can also be enveloped by the chitosan cell.

Prior to the characterization studies, the amount of the probucol which was enveloped within the chitosan structure was estimated by measuring the amount of probucol in the centrifuge supernatant with UV-vis spectroscopy [46]. The dried chitosan nanoparticles were characterized using scanning transmission electron microscopy (STEM), dynamic light scattering (DLS), dynamic light scattering with laser velocimetry (DLS-LV), Fourier transform infrared spectroscopy (FTIR). A list of all the characterization methods employed in this study is summarized in Table 2.

For the preliminary drug release tests, the dried particles were dispersed (0.4% by weight) in simulated body fluids [47] of different pH values of 6.8 and 7.4 and the amount of drug released into the solution was checked using UV-vis spectroscopy [46,48].

3. Results and discussion

3.1. Characterization of the P-123 micelles

Fig. 4 gives the results of surface tension measurements of P-123 solutions. The surface tension measurements were performed to

Table 1
Basic properties of the materials and chemicals employed in the study.

Name/Formula	Chemical Structure	MW g/mole
Chitosan (CS) N-acetyl-p-glucosamide DD%: 75–85	HO OH OH OH OH NH2 OH NH2	28,000
Sodium tripolyphosphate (TPP) $Na_5P_3O_{10} \label{eq:polyphosphate}$		367.8
PEO-PO-PEO copolymer (P-123) HO(EO) ₂₀ (PO) ₇₀ (EO) ₂₀ H	HO CH_2CH_2O C	5800
Sodium dodecyl sulfate (SDS) $Na-C_{12}H_{25}SO_4$	H ₃ C Na [®]	288.4
Probucol (PB) $C_{31}H_{48}O_2S_2$	$\begin{array}{c c} & & & & & & & & & & & & & & & & & & &$	516.8
Acetonitrile (AN) CH ₃ CN	H H−C−C≡N H	41.1
Acetic Acid (AA)	H O H-C-C' H O-H	60.1

determine the association behavior of the P-123 molecules in aqueous solution. The graph demonstrates that the surface tension-concentration profiles of the P-123 solutions could roughly be divided into 3 distinct regions: In Region I, the surface tension decreases steadily with increasing concentration. In this region, the molecules of the copolymer are in monomer form. Region II is a transition region marked by a shallower slope indicating the initiation of aggregation where the copolymer molecules start to form dimers, trimers, etc. progressively. The molecules aggregate in the form of full grown micelles in Region III and the surface tension remains constant with changes in concentration. The CMC value of P-123 could be determined from the interception between Regions II and III and it was found to be around $5\times10^{-5}\,\mathrm{M}.$

Fig. 5 presents the three repeat size distributions of the P-123 micelles by dynamic light scattering at a concentration of $10^{-3}\,\mathrm{M}$. The reason for selecting this concentration is the fact that it is well-past the CMC but low enough to generate tight, spherical since a transition from spherical to cylindrical form begins to take place at around $10^{-2}\,\mathrm{M}$ [49]. It can be seen from the graph that the micelle size varies between 8 and 40 nm. The d_{50} median size for the distribution is around 20 nm.

The size and shape of the micelles at this concentration were also investigated using atomic force microscopy and scanning transmission electron microscopy and the images obtained are presented in Fig. 6. The results of the AFM and STEM imaging confirm the average micelle size indicated by DLS. The images also demonstrate that the micelles formed were closer to a spherical shape as expected.

3.2. Modification of the P-123 micelles by SDS

It has been shown previously that addition of smaller, anionic surfactant molecules into a solution of P-123 resulted in mixed micelles via hydrophobic association of the surfactant with the block copolymer micelles resulting in smaller and negatively charged copolymer micelles (Bhattacharjee, 2013). In this study, the surfactant employed for the modification of the micelle size of the copolymer P-123 was the simple anionic surfactant sodium dodecyl sulfate (SDS). It was confirmed that the solubilization of the hydrocarbon chains of the smaller SDS into the polypropylene oxide core of the P-123 resulted in a steady decrease in the size of the micelles with an increase in the concentration of the anionic surfactant. The method provides the means of controlling the size of the chitosan nano-shells which are formed around the copolymeric surfactant micelles. Some representative size measurement results obtained with DLS are presented in Fig. 7 showing the size distribution of the micelles of pure SDS, pure P-123, and SDS + P-123 mixture. It can be seen that the median size of the micelles of the anionic SDS was much smaller, around 1.5 nm compared to the median size of 20 nm for the P-123 micelles. However, when the anionic surfactant was mixed to the copolymer, the micelles of the mixed system were significantly smaller with a median size of around 5 nm. This suggests that the SDS molecules were incorporated into the structure of the P-123 micelles and resulted in a more compact structure. The lack of a bimodal distribution for the SDS + P-123 mixture is indicative of the fact that the SDS molecules were not present as separate micelles but were incorporated into the micellar structure of the P-123 copolymer.

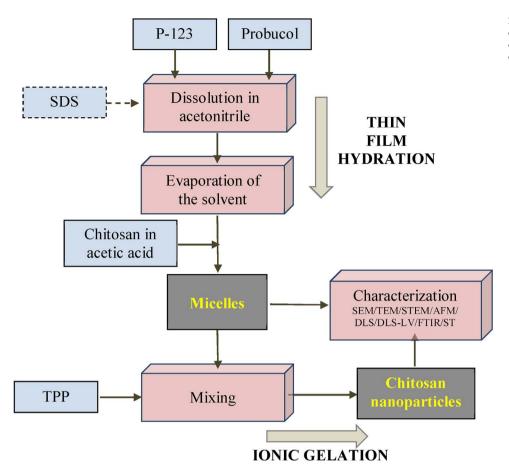


Fig. 1. Flowsheet of the method used to create chitosan nanoparticles embedded with P-123 micelles encapsulating the lipophilic drug in the micellar core.

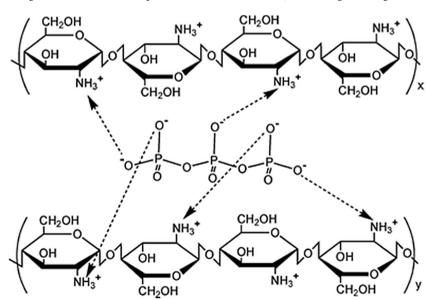
The zeta potentials of the micelles under the same conditions were determined by DLS-LV and are presented in Fig. 8 for the same solutions presented in Fig. 7. The figure shows that the micelles of the anionic SDS were charged negatively with a median zeta potential of around -32 mV. The micelles of the P-123 were charged to a much less degree with a median zeta potential of around -12 mV. The surface charge of the mixed micelles of the binary SDS + P-123 mixture was negatively charged with a median zeta potential of around -22 mV, confirming

that the SDS molecules were incorporated into the structures of the P- 123 micelles.

3.3. Characterization of the drug-loaded P-123 micelles

The P-123 micelles were loaded with a lyophilic drug probucol by thin-film hydration method described above. Characterization of the drug-loaded micelles was done by STEM and TEM. The STEM images of

Fig. 2. The mechanism of cross-linking of chitosan by TPP.



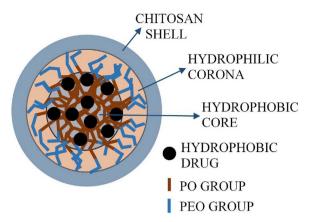


Fig. 3. The schematic structure of a micelle-embedded chitosan nanoparticle.

Table 2 Characterization methods used in this study.

Analysis	Method	Device
Micellar Size Micellar Charge Micellar morphology Particle morphology Inner morphology Particle composition	DLS DLS-LDV AFM-Tapping mode STEM TEM FTIR	Malvern Zetasizer Nano ZS Malvern Zetasizer Nano ZS Digital Inst. Nanoscope IV Quanta 250 SEM FEI Tecnai G2 Spirit Bio Twin Perkin Elmer
Surface tension Drug Release	Dü Noüy Ring UV–vis	Krüss Tensiometer K10ST Varian Cary 50 UV

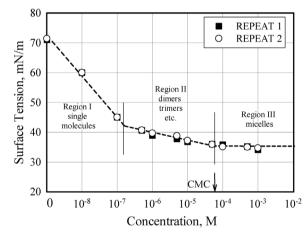


Fig. 4. Surface tension data for the aqueous solutions of P-123.

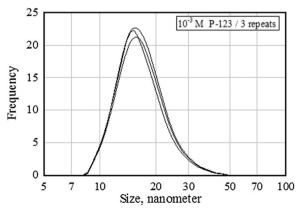
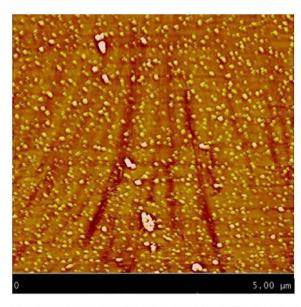


Fig. 5. Size distribution of the P-123 micelles in 10-3 M aqueous P-123 solutions.



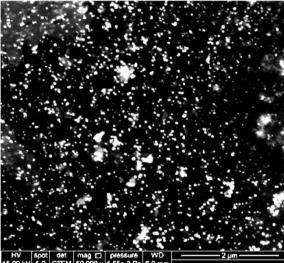


Fig. 6. The AFM (top) and STEM (bottom) images showing the size and morphology of the P-123 micelles at 10-3 M aqueous P-123 solution.

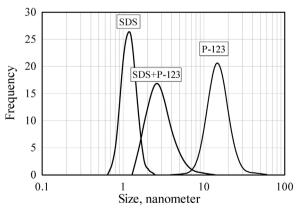


Fig. 7. Size distribution of the micelles of aqeous SDS, P-123 and SDS $\,+\,$ P-123 solutions.

the drug-loaded P-123 micelles are presented in Fig. 9 (right) along with the images of the blank P-123 micelles in the absence of probucol (left) for comparison. A more detailed TEM image of the drug-loaded P-123 micelles are presented in Fig. 10.

The STEM images clearly show that the size of the P-123 micelles

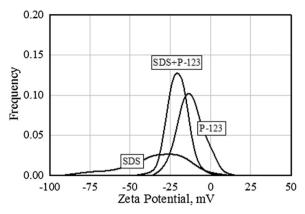


Fig. 8. Zeta Potential distribution of the micelles of aqeous SDS, P-123 and SDS \pm P-123 solutions

increases significantly when the drug probucol is loaded into the micelle structure. The STEM images also demonstrate that the spherical shape of the micelles is preserved after the loading of the drug. Also, the relatively narrow size distribution of the micelles becomes wider when the drug is incorporated into the micellar structure. The TEM images of the loaded micelles display the drug in the micellar structure very clearly and confirm both the shape and the range of sizes for the micelles generated in the AFM, STEM and DLS studies.

3.4. Characterization of the hollow chitosan nanoparticles (nano-shells) encapslating the probucol-loaded P-123 micelles

In this part of the study, the lipophilic drug probucol was incorporated into the chitosan structure by cross-linking the chitosan around the drug-loaded formed micelles using the ionic gelation procedure. The final product was in the form of nanoparticles which comprised of a chitosan shell around the P-123 micelles which contain probucol dissolved in the hydrophobic core (see Fig. 3). The particles synthesized were characterized by STEM, FTIR, and DLS-LDV analyses. The CS/TPP ratio, CS%, and TPP solution percent were kept constant at unity in the manufacturing of the particles subjected to these analyses though they could be varied to manipulate the size of the nanoparticles produced. The STEM images of the shelled chitosan nanoparticles are presented in Fig. 11. The figure shows that chitosan particles of spherical shape and excellent consistency could be produced successfully. It can be observed from the figure that the size of the chitosan particles ranged from 30 to 300 nm. The presence of 30 nanometer particles indicate that some chitosan shells envelope only a single micelle while the relatively wide size distribution suggests that in some cases chitosan may have precipitated around more than one loaded P-123 micelle.

The zeta potentials of the chitosan nano-shells precipitated around the loaded P-123 micelles were measured and presented in Fig. 12 together with the zeta potential of the chitosan particles cross-linked

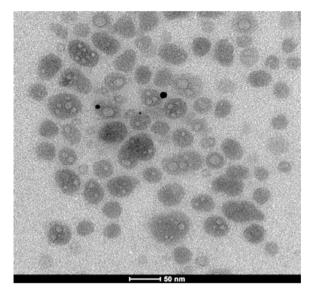


Fig. 10. TEM images of the P-123 micelles loaded with the drug probucol.

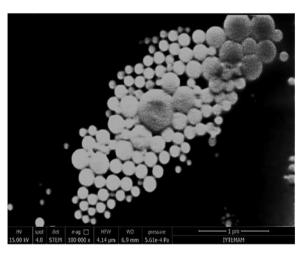


Fig. 11. The STEM images of the shelled chitosan nanoparticles (nano-shells) loaded with the P-123 micelles containing the dissolved Probucol.

without any copolymer or drug in the system. The precipitated particles were washed and dispersed in distilled water prior to the zeta potential measurements. The two charge distributions are almost very similar, indicating that the micelles of the copolymer P-123 were enveloped within the chitosan shells to a large degree.

The structure of the chitosan nanoparticles with enveloped micelles which contained probucol was further investigated by FTIR analysis. The FTIR spectrum of the chitosan nanoparticles is presented together

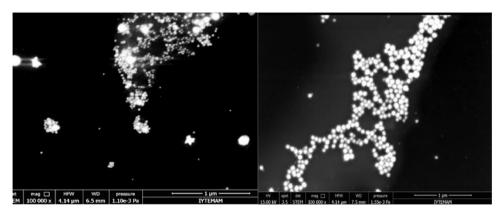


Fig. 9. The STEM images of the P-123 micelles without (left) and loaded with the drug probucol (right) in the structure at the same magnification.

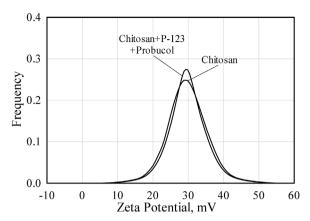
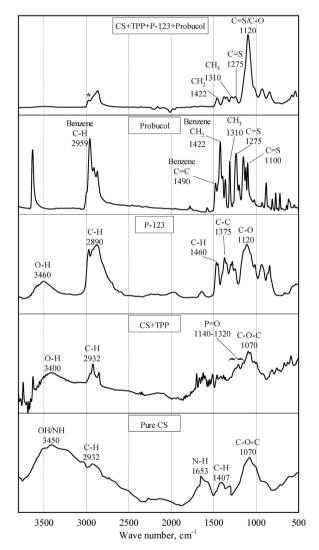


Fig. 12. Zeta potential measurements of the chitosan nano-shells with and without the P-123 micelles.



 $\mbox{\bf Fig. 13.} \ \mbox{FTIR results of the chitosan nanoparticles embedded with the drug loaded P-123 micelles. }$

with the spectrums of the pure chitosan (Pure CS), cross-linked chitosan (CS + TPP), pure P-123 and pure probucol in Fig. 13 for comparison. The characteristic peaks obtained for pure forms of chitosan, P-123 and probucol were in very good agreement with the spectra in the literature [50–54].

The absorption bands at 1653 and 3450 ${\rm cm}^{-1}$ are ascribed to N–H bending in the primary amine of pure chitosan. The intensity of these

peaks decreases significantly after polymerization with TPP most probably due to the linkage between tri polyphosphoric groups of TPP and ammonium groups of chitosan. Though it is not presented in Fig. 13 for clarity, TPP also has a very sharp characteristic peak for the P-O-P band at 892 cm $^{-1}$. The disappearance of this band in the CS + TPP system is another indication of successful cross-linking between the negatively charged oxygen and the positively charged ammonium groups (see Fig. 2). Furthermore, the appearance of the P = O band at $1140{-}1250~{\rm cm}^{-1}$ in the chitosan + TPP system which is non-existent in the pure chitosan spectra is yet another indication since double-bonded oxygen does not make any bonds with the chitosan.

Probucol and P-123 spectra show several sharp, overlapping characteristic peaks in the 500–1500 and 2800–3000 cm $^{-1}$ regions which complicate the analysis since the appearance of these peaks in the spectra of the micelle-loaded chitosan nano-shells can mean either P-123 or probucol is in the structure. However, some peaks which appear in the spectra of the nanoparticles are specific to either P-123 or probucol. For example, the peaks at 2890, 1375, 1120 and 910 cm $^{-1}$ are specific only to P-123. Similarly, the strong peaks at 1422, 1310 and 1275 cm $^{-1}$ are specific to probucol. The fact that all these peaks are present in the spectra of the loaded chitosan nano-shells clearly indicate that not only P-123, but also probucol is present in the structure.

3.5. The uptake of probucol and preliminary release tests

Prior to the release studies, the amount of the probucol which was enveloped within the chitosan structure was estimated by deducting the amount of probucol in the centrifuge supernatant from the original probucol amount in the system [46]. The uptake in two repeat tests was found to be very high; 86.7% and 93.4% of the initial probucol amount. The release tests were carried out with a known amount of dried chitosan particles dispersed (0.4% by weight) in simulated body fluid [47] of different pH values (pH 6.8 and 7.4). These pH values were representative of the acidic environment of cancerous and healthy cells, respectively [55]. The amount of drug released into the solution was checked using UV–vis spectroscopy.

Two repeat tests were carried out at the specified pH values and the results are presented in Fig. 14. It can be seen that the release is very pH-specific. Under the pH conditions predominant with the healthy cells, a release of about 10% takes place within the first hour and no more drug release is observed. The reason for this initial release is most probably due to the release of the residual micelle-probucol structures which have not been enveloped within the chitosan shells but remained in the solution and clung to the surfaces of the chitosan particles during centrifuging and drying step since no washing was applied to the centrifuged particles. The same initial release was also observed at the acidic pH value of 6.8, but it was slightly higher at around 12%. The reason for the slightly higher release was most probably due to the

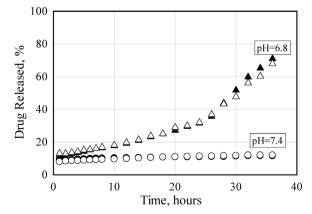


Fig. 14. The preliminary drug release data showing the release of the drug probucol from the chitosan nanoparticles dispersed in the simulated body fluid at two different pH.

additional release of the drug from inside the chitosan particles due to the initiation of chitosan degradation at this acidic pH. The release continued in an accelerated fashion and reached 75% after 36 h. The difference in the release profiles between pH 6.8 and pH 7.4 is very drastic and demonstrates simultaneously the presence of the drug within the chitosan nano-shells as well as the potential of the shelled chitosan nanoparticles as pH sensitive, target specific delivery vehicles for drug release.

4. Conclusions

In this study, a strongly lipophilic drug (Probucol) was embedded inside hollow, spherical, nano-sized chitosan particles (nano-shells) by combining the thin-film hydration and ionic gelation techniques. The procedure was based on first dissolving the drug in the hydrophobic core of the micelles of a biocompatible copolymer (P-123) by ionic gelation and subsequent cross-linking of the chitosan molecules around the micellar soft-templates by ionic gelation. The product was well-formed, hollow and perfectly spherical chitosan nanoparticles (nano-shells) in the size range of 30–300 nm.

The manufactured nanoparticles were characterized using scanning transmission and transmission electron microscopy, atomic force microscopy, dynamic light scattering, dynamic light scattering with laser velocimetry, Fourier transform infrared spectroscopy and surface tension analyses. The study has shown that:

- the strongly lipophilic drug probucol was successfully dissolved in the cores of the micelles of a PEO-PPO-PEO triblock copolymer (Pluronic P-123) using thin-film hydration method.
- Polymerization of the chitosan around the micelles by ionic gelation allowed incorporating this lipophilic drug into the structure of chitosan successfully. The final product was in the form of wellformed, nano-sized, spherical and hollow chitosan particles
- the procedure provides a very good control on the size and morphology of the particles manufactured as well as controlling the amount of the drug loading.
- The drug uptake by the chitosan nanoparticles was very high. Two repeat tests demonstrated that 86.7% and 93.4% of the initial probucol amount was incorporated into the chitosan structure.
- The preliminary release tests demonstrated that there was no appreciable release at pH 7.4 where the integrity of the chitosan shells should be preserved. The drastic increase in the release amounts at the acidic pH of 6.8 proved simultaneously the presence of the drug within the particles as well as their potential as pH sensitive, target specific delivery vehicles for drug release.

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