SYNTHESIS OF α,β-UNSATURATED KETONES BY RHODIUM-CATALYZED CARBONYLATIVE ARYLATION OF INTERNAL ALKYNES WITH ARYLBORONIC ACIDS

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ABSTRACT

SYNTHESIS OF α,β-UNSATURATED KETONES BY RHODIUM-CATALYZED CARBONYLATIVE ARYLATION OF INTERNAL ALKYNES WITH ARYLBORONIC ACIDS

 α,β -Unsaturated ketones are important key reagents in organic synthesis. They are commonly synthesised through an aldol condensation reaction.

Since Hayashi et al. reported the first Rh-catalyzed addition of aryl- and alkenylboronic acids to α,β -unsaturated ketones, the Rh-catalyzed addition of organoboron reagents to various unsaturated systems has become increasingly popular as a method of constructing C–C bonds. Organoboron reagents readily undergo transmetalation with Rh to form arylrhodium(I) species that are capable of inducing the nucleophilic arylation of various electrophilic sites (Sakai, et al. 1997, Hayashi and Yamasaki 2003).

In this work, we have succesfully performed another example of the Rhcatalyzed reaction of arylboronic acids: a reaction of arylboronic acids with alkynes under a CO atmosphere to yield α , β -unsaturated ketones (Kuş, et al. 2008).



As a consequence, the methodology established in this study proposes a relatively mild and simple way for the synthesis of α , β -unsaturated ketones.

ÖZET

ALKİNLERİN ARİLBORONİK ASİTLER İLE RODYUM KATALİZLİ KARBONİLATİF ARİLASYONU İLE α,β-DOYMAMIŞ KETONLARIN SENTEZİ

 α,β -doymamış ketonlar bir çok organik reaksiyonda çıkış maddesi olarak kullanılabilirler. α,β -doymamış ketonlar genellikle aldol kondensasyon reaksiyonları sonucu elde edilebilirler.

 α , β -doymamış ketonlara alkenil ve arilboronik asitlerin rodyum katalizli katılma tepkimeleri ilk olarak Hayashi ve grubu tarafından gerçekleştirilmesinden itibaren organoboron reaktiflerinin çeşitli doymamış sistemlere rodyum katalizli katılma tepkimeleri karbon-karbon bağı oluşturan bir metod olarak her geçen gün daha önem kazanmaktadır. Organoboron reaktifleri rodyum ile hızla transmetalasyona uğrayarak arilrodyum(I) yapılarını oluştururlar ve yapılar çeşitli elektrofilik kısımlarından nükleofilik arilasyonu sağlarlar (Sakai, et al. 1997, Hayashi and Yamasaki 2003).

Biz bu çalışmada arilboronik asitlerin rodyum katalizli diğer bir tip tepkimesini başarıyla gerçekleştirdik. Bu tepkimede alkinlerin arilboronik asitler ile rodyum katalizli karbonilatif arilasyonu ile α , β -doymamış ketonlar sentezlendi (Kuş, et al. 2008).



Sonuç olarak geliştirdiğimiz method α , β -doymamış ketonların sentezi için bizlere kolay ve ılımlı bir yol sağlamıştır.

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CHAPTER 1

INTRODUCTION

Addition of organometallic reagents to electrophilic systems is one of the most useful processes in organic synthesis. Often used organometallic reagents for these reactions are organolithiums, organomagnesiums, and organozincs in the presence of copper or nickel catalysts, usually give the desired conjugate addition products in high yields. The major problems are incompatibility with some functional groups, sensitivity of the organometallic substrates to air and noncatalyzed 1,2-addition as a competitive reaction. The use of an active catalyst in combination with a less reactive organometallic reagent like organoborons would solve these problems. Since the last decade, considerable efforts have been consumed on catalytic asymmetric conjugate addition (Sibi and Manyem 2000, Krause and Hoffmann-Röder 2001). The most successful example is given by Hayashi and co-workers, they achieved to add organoborons to unsaturated systems with high yield and enantioselectivity (Hayashi and Yamasaki 2003). The reaction has the advantage of permitting the introduction of sp^2 carbons to a wide range of electrophilic systems under mild reaction conditions.

In this thesis we have developed a mild and simple method for the rhodiumcatalyzed carbonylative synthesis of α,β -unsaturated ketones by using various alkynes and arylboronic acids. This method offered both atom economy and environmentally benign process for the synthesis of α,β -unsaturated ketones.

CHAPTER 2

TRANSITION METAL CATALYZED REACTIONS OF ORGANOBORONS

2.1. Cataytic Cycle of Rhodium and Group 10 Metals

Generalized Catalytic Cycle for Ni, Pd and Pt Catalysts



Possible Catalytic Cycles with Rh Catalysts



Figure 2.1. Generalized catalytic cycle for Rh and group 10 metals

When generalized catalytic cycles of nickel, palladium, platinum and rhodium catalysts are compared it is clear that rhodium presents new and interesting catalytic possibilities. In general nickel, platinum, and palladium typically operate within catalytic cycles shuttling between the (0) and (II) oxidation states. As a result, transmetalation can only occur with the metal(II) species. To design viable reactions, organometal(II) species should be produced so it is clear that a suitable electrophilic component must be incorporated that will oxidatively add to the metal(0) complex in order to produce organometal(II) species. After this step in order to undergo transmetalation with the metal(II) complex an organometallic component must be selected to subsequently reductively couple with the electrophile. The result is a catalytic cycle illustrated in Figure 2.1 as cycle A.

In contrast to nickel, platinum, and palladium, rhodium typically shuttles between the (I) and (III) oxidation states in catalytic reactions with organometallics. So theoretically transmetalation can occur at two points in the catalytic cycle. Transmetalation can occur with rhodium(I) to generate an organorhodium-(I) complex capable of reacting in new ways instead of an electrophile that will oxidize the metal in the early stages of the catalytic cycle.

Because oxidative addition is still a viable pathway, addition of a suitable electrophile will produce a catalytic cycle illustrated as Figure 2.1 cycle B. As illustrated in Figure 2.1 cycle C, the organorhodium complex could be coupled with units of unsaturation in organic compounds. This possibility takes advantage of the protic lability of rhodium-heteroatom and rhodium-carbon bonds. The outcome of cycle C is a net R,H-addition across the unsaturated unit.

The catalytic processes described in Figure 2.1 is the ability of several organometallics to undergo transmetalation with rhodium(I) and rhodium(III) complexes. Such processes are useful to understanding how rhodium can behave catalytically. In Figure 2.1, the newly generated organorhodium complex, Rh-R, is proposed to react both by oxidative insertion of suitable electrophiles and by the insertion of unsaturated species into the rhodium-carbon bond.

2.2. Boronic Acids

Boronic acids and their derivatives are the most useful classes of organoboron molecules. Unlike many organometallic derivatives, boronic acids are usually stable to air and moisture and they have relatively low toxicity and environmental impact. According to whether the boron-oxygen or the carbon-boron bonds are involved, the reactions of boronic acids can be divided into two categories.

2.2.1. Reactions involving the B-O bonds

Boroxine formation : Most boronic acids readily undergo dehydration to form cyclictrimeric anhydrides (boroxines). This tends to occur spontaneously at room temperature.

$$R = B \xrightarrow{OH} OH \xrightarrow{-3H_2O} R^{H} \xrightarrow{O} B^{-} O$$

Figure 2.2. Boroxine formation

Boronate formation : The ease with which boronic acids react with diols, to give cyclic boronic esters (boronates) has led to their application in a number of areas, especially in organic transformations (Figure 2.3).



Figure 2.3. Boronate formation

2.2.2. Most known reaction involving the C-B bond: Suzuki-Miyaura Reaction

In these reactions, displacement of boron by an electrophilic species takes place with formation of a new carbon-carbon or carbon-heteroatom bond.

The discovery by Suzuki and Miyaura that arylboronic acids undergo palladiumcatalyzed cross-coupling with aryl halides in the presence of a base (Figure 2.4) has stimulated enormous interest in the application of this type of coupling reactions.

$$R_1$$
-BY₂ + R_2 -X
Base
Pd
catalyst
Base

Figure 2.4. Suzuki and Miyaura reaction

Previously many of the methods employed for such syntheses involve the direct coupling of highly-reactive organometallic reagents (Grignard, organolithium, etc.) with aryl halides in the presence of various catalysts. Such reactions are of limited utility, since the presence of many functional groups interferes. On the other hand, boronic acids which are air-stable materials of relatively low toxicity, will undergo the Suzuki reaction in the presence of a wide variety of functional groups. The successful coupling of the more readily available, but normally unreactive aryl chlorides has been achieved under modified conditions, using either palladium (Shen 1997, Littke and Fu 1998) or nickel catalysts (Indolese 1997, Saito, et al. 1997).

A catalytic cycle for the Suzuki reaction is outlined in Figure 2.5.



Figure 2.5. Suzuki and Miyaura reaction mechanism

The addition of organometallic reagents to unsaturated systems is an important method for the construction of new carbon-carbon bonds. Reactions of transition metals in combination with organoborons have become increasingly popular method for this type of construction. It is known that organoboron reagents readily undergo transmetalation with Rh to form arylrhodium(I) species that are capable of inducing the nucleophilic arylation of various electrophilic species. Although organocopper complexes have been widely used as an arylating species other related reactions induced by transition metals with organoboron reagents provided additional applications and extension of this type of reactions.

2.3. Structural Properties of Aryl-Rhodium Complexes

Several crystal structures of aryl-rhodium complexes have been examined with various kinds of ligands. To minimize the steric interactions between the aryl group and the adjacent ligands the orientation of the aryl ring should be orthogonal to the square plane of the complex. (Figure 2.6)



Figure 2.6. Rhodium-aryl complexes characterized crystallographically

Also in Figure 2.7 it can be easily observed that *ortho*-substituents on the arene situated above or below the square plane block the vacant coordination sites. When bulky *ortho* substituents are present the rate of associative ligand processes with these complexes may retard. Bidentate binding of the arene becomes possible when an *ortho*-substituent bearing lone pair electrons exists. For example in Figure 2.7, as a result of the *ortho*-methoxy group binding to the rhodium metal this complex is proposed to possess a trigonal bipyramidal structure. Higher air and thermal stability is achieved with compared to the phenyl analogue as a result of this binding mode (Jones and Wilkinson 1979).



Figure 2.7. Effect of o-substituent (Source: Jones and Wilkinson 1979)

2.4. Reactions of Aryl-Rhodium Complexes

Almost all of the rhodium catalyzed carbon-carbon bond forming reactions, a common side reaction is the catalytic demetalation of the organometallic component due to the use of protic media. These demetalations are catalyzed by the rhodium metal and occur because the aryl-rhodium bond can be more sensitive to protonolysis than the original organometallic compound from which it is derived

2.4.1. Carbon-Carbon Bond Forming Processes where Rhodium Undergoes a Change in Oxidation

Hegedus and co-workers reported a rhodium-mediated alkylation/arylation reaction of carboxylic acid chlorides. Treatment of aryl-rhodium complex with acetyl chloride in THF at -78 °C generates benzophenone in good yield with regeneration of the rhodium-chloride complex. The mechanism was proposed as octahedral rhodium(III) complex was formed via oxidative addition of the acid chloride followed by reductive elimination of the acyl and aryl ligands. Compared to the corresponding rhodium-chloride, the high reactivity of the aryl-rhodium complex toward oxidative addition is illustrated by the fact that the rhodium-chloride complex is inert toward the acid chlorides under these conditions (Hegadus, et al. 1973).



Figure 2.8. Rhodium-mediated alkylation/arylation reaction of carboxylic acid chlorides (Source: Hegadus, et al. 1973)

A similar type of reaction using alkyl halides as the electrophilic component reported by Schwartz, et al. in 1972. For the generation of rhodium (III) complex, vinyl-rhodium complex could be reacted with iodomethane. Reductive elimination of the vinyl and methyl ligands by heating generates a new carbon-carbon bond with retention of alkene stereochemistry (Schwartz, et al. 1972).



Figure 2.9. Rh-catalyzed alkylation using alkyl halides as an electrophilic component (Source: Schwartz, et al. 1972)

2.4.2. Carbon-Carbon Bond Forming Reactions Through the Formation of an Aryl-Rhodium Intermediate

In organic chemistry addition of organometallics to unsaturated system is an important process. In this regard the use of metal catalysts in combination with an organometallic reagent has been particularly effective. Although the most commonly used metal is copper, (Alexakis 1998, Krause 2001) works with other metals have appeared in recent years. Frequently as the organometallic component like grignard reagents, organolithiums, or diorganozincs are employed while they provide high yields in many cases like chemoselectivity and limits in their use.

Of particular importance, copper catalysts and Grignard or diorganozinc reagents have significant advances in arylative addition reactions, but for the success with these reagents the use of low temperatures and strictly anhydrous reaction conditions are required. Rhodium catalyzed reactions represent an attractive alternative to these other type addition reactions because these reactions are insensitive to the presence of water, occur under mild conditions, and can be carried out with a wide range of substrates.

In 1997, the important progress was achieved by Miyaura and co-workers. They reported that rhodium(I) complexes catalyze the 1,4-addition of aryl and alkenyl boronic acids to enones in excellent yield. They examined a variety of ligands by using [Rh(acac)(CO)₂] as the rhodium(I) source. Bis(phosphine) ligands possessing large bite angles were shown to give the best results. Providing good reactivity the presence of water was required. Associated with these reactions enals could undergo selectively 1,4-additions under a mild reaction conditions with high chemoselectivity (Sakai, et al. 1997).

$$R^{1}$$
 R^{2} + $R^{3}B(OH)_{2}$ $\frac{[Rh(acac)(CO)_{2}] / \text{ ligand}}{\text{aqueous solvent 50^{\circ}C}}$ R^{1} R^{2}

Figure 2.10. Rh-catalyzed addition reaction of boronic acids to enones (Source: Sakai, et al. 1997)

In 1998, the important progress was kept on. Hayashi and co-workers reported the first enantioselective variant of this transformation. To achieve high yields and enantioselectivity, the solvent was changed to a 10/1 mixture of dioxane and water, the temperature was increased to 100 °C, and the rhodium source was changed from $[Rh(acac)(CO)_2]$ to $[Rh(acac)(C_2H_4)_2]$ (Takaya, et al. 1997).



Figure 2.11. Enantioselective Rh-catalyzed addition reaction of boronic acids to enones (Source: Takaya, et al. 1997)

It is known that reactions with acrylates were much less reactive than the corresponding enones. The reactivity was affected by the nature of the ester substituent. For example, treatment of the given acrylate (R: Me, Et) with 5 equiv of PhB(OH)₂ and catalytic [Rh(acac)(C_2H_4)₂]/(*S*)- BINAP in dioxane/water (10/1) at 100 °C leads to complete consumption of starting materials. However when R is isopropyl or *tert*-butyl, the product is obtained in only 42 and 21% yields, respectively. Consumption of the nucleophile prior to complete reaction of reactant is the reason of the poor results with large ester substituents. This is the result of competitive deboronation of the phenylboronic acid. Changing the nucleophile to a lithium phenylborate species generated in situ from phenyllithium, trimethylborate, and water gives better results (Takaya, et al. 1999).

$$CO_2R$$
 + PhB(OH)₂ $\frac{[Rh(acac)(C_2H_4)] / S-BINAP}{3h, 100^{\circ}C}$

Dh

Figure 2.12. Rh-catalyzed addition reaction of boronic acids to acrylates (Source: Takaya, et al. 1999)

Compared to enones, like acrylates α , β -unsaturated amides also suffered from poor reactivity. A study on the effects of additives revealed that complete conversion can occur by the use of an aqueous base. According to the authors the addition bases will influence the transformation of the Rh(acac) precatalyst into the Rh(OH) catalyst (Sakuma and Miyaura 2001).



Figure 2.13. Rh-catalyzed addition reaction of boronic acids to α,β-unsaturated amides (Source: Sakuma and Miyaura 2001)

Slightly modified conditions were required in these reactions for α , β -unsaturated lactams to be employed as substrates. For the synthesis of (-)-paroxetine which is a biologically active species, Hayashi and co-workers envisioned that 1,4-addition of a 4-fluorobenzene nucleophile to lactam giving the product enantioselectively. The reaction of lactam with 4-FC₆H₄-B(OH)₂ gave 17% yield. The low yield was caused from the instability of the 4-fluorophenyl rhodium(I) intermediate toward protonolysis which is resulted in consumption of the arylboronic acid prior to complete reaction of lactam. To overcome this problem, Hayashi found that performing the reaction at 40 °C instead of 100 °C and also by using 4-fluorophenylboroxine in combination with only 1 equiv of water relative to boron, the product could be obtained in 63% yield and 97% ee (Senda, et al. 2001).



Figure 2.14. Rh-catalyzed addition reaction of boronic acids to α , β -unsaturated lactams (Source: Senda, et al. 2001)

Also Hayashi noted that theorically using arylboroxines in combination with 1 equiv. of water should give the same result with the corresponding arylboronic acid with no water added. Against all odds, the yields were regularly higher when the boroxine/ water mixture was employed.

$$Ar = \begin{pmatrix} Ar \\ 0 \\ B \\ 0 \\ Ar \end{pmatrix} + 3 H_2 O = 3 ArB(OH)_2$$

Figure 2.15. Formation of arylboronic acids from aryl boroxines

In asymmetric catalysis alkenylphosphonates have not received the same level of attention compared to other Michael acceptors. The first examples of asymmetric additions to alkenylphosphonates were reported by Hayashi, in 1999. High yields and excellent enantioselectivities could be obtained by using a catalyst generated from $[Rh(acac)(C_2H_4)_2]$ and (*S*)-BINAP. The solvent system of dioxane and water (10:1) that typically employed was found to deactivate the catalyst and provide the product in only 44% isolated yield and 84% ee. The large excess of water present in the medium was responsed in this catalyst inactivation. Using phenylboroxine, (PhBO)₃, as the nucleophile instead of pheylboronic acid and using only 1 equiv of water relative to boron, the product was obtained in 94% yield and 96% ee. No reaction occurred under anhydrous conditions showed us that the small amount of water is essential for good conversion (Hayashi, et al. 1999).



Figure 2.16. Rh-catalyzed addition reaction of boronic acids to alkenylphosphonates (Source: Hayashi, et al. 1999)

Because of their low reactivity, activated alkenes bearing R-substituents were typically not employed in these types of reactions. Recently, the rhodium-catalyzed asymmetric 1,4-addition of arylboronic acids to R-substituted nitroalkenes was performed by Hayashi and co-workers. Treatment of 1-nitrocyclohexene with 5 equiv of phenylboronic acid and catalytic $[Rh(acac)(C_2H_4)_2]/(S)$ -BINAP in a dioxane/water mixture (10/1) at 100 °C for 3 h gives the corresponding product in 79% yield as an 87/13 mixture of cis/trans isomers and >98% ee (Hayashi, et al. 2000).



Figure 2.17. Rh-catalyzed addition reaction of boronic acids to 1-nitroalkenes (Source: Hayashi, et al. 2000)

Unsaturated systems are considered as electrophilic systems. And Hayashi has described a rhodium-catalyzed hydroarylation reaction of internal alkynes that gives trisubstituted alkenes in high yield and *E*-selectivity (Hayashi, et al. 2001).

$$n-\Pr \longrightarrow n-\Pr + \Pr(OH)_2 \xrightarrow{[Rh(acac)(C_2H_4)_2] / dppb} \qquad \qquad H \qquad n-\Pr(1.2 \text{ equiv.}) \xrightarrow{(1.2 \text{ equiv.})} 100^{\circ} C$$

Figure 2.18. Rh-catalyzed addition reaction of boronic acids to alkynes (Source: Hayashi, et al. 2001)

All of these results show that an aryl group attached to a rhodium acts as a nucleophile and induces the nucleophilic arylation with various electrophiles. From this point of view transmetalation of arylboron species with the catalyst (Rh) and followed by insertion into CO which is an electrophilic species forms an aroylrhodium intermediate as in shown Figure 2.19.



Figure 2.19. Formation of aroyl-rhodium species

Aroylrhodium species now act as nucleophile and attack various electrophiles especially unsaturated systems. This type of mechanism leads to a reaction type which is named as "Carbonylative Arylation."

Transition metal catalyzed carbonylation type reactions are important methods for the synthesis of carbonyl compounds. The most common used transition metals are rhodium and palladium. The most common reactions are Pd(0) catalyzed carbonylation and Rh(I) catalyzed nucleophilic addition type reactions. In the formation of active arylation complex, behaviour of palladium is different than rhodium.

In Pd(0) catalyzed reactions, active arylation complex is formed via oxidative addition of Pd(0) to arylhalides.



Figure 2.20. Formation of arylative complex with Pd

After oxidative addition of Pd(0) to aryl halide, aryl palladium is formed, addition of this species to carbon monoxide resulted in the formation of aroyl-palladium intermediate. Aroyl-palladium behaves as an electrophile and depending on the nucleophile the carbonyl containing compounds are formed. But behaviour of Pd(II) is different than Pd(0) and similar to Rh(I). The Pd(II) is coordinated to π -electrons of the unsaturated systems. This coordination activating unsaturated hydrocarbons toward nucleophilic attacks.

Carbonylation of aryl chlorides requires harsher conditions than other C–C coupling reactions because of the presence of a good π -acceptor ligand like CO, which not only reduces the tendency towards oxidative addition at the metal centre but may also promote the formation of palladium clusters. The introduction of basic ligands also overcomes the problem of palladium agglomeration and palladium clustering (Rodriguez, et al. 2005).



Figure 2.21. Pd-catalyzed carbonylative synthesis of esters (Source: Rodriguez, et al. 2005)

Acrylamides are valuable monomers which are used to produce several important polymeric materials. An alternative method for the direct and clean synthesis of substituted acrylamides could be the carbonylation of alkynes in the presence of amines. Matteoli et al. reported that the carbonylation of phenylacetylene has been studied in the presence of the catalytic system formed by palladium acetate in combination with (2- pyridyl) diphenylphosphine and methanesulfonic acid. The catalytic activity is strongly influenced by the nature of the amine, good reaction rates are achieved using amines of low basicity such as aniline (Matteoli, et al. 2004).



Figure 2.22. Pd-catalyzed carbonylative synthesis of acrylamides (Source: Matteoli, et al. 2004)

Alkenes can be converted into carboxylic acids with carbon monoxide and water or in acidic medium using palladium catalysts. Effects of different palladium precursor systems as well as the influence of the phosphorus ligand on the hydroxycarbonylation of styrene have been studied. Mono- and diphosphines behave differently when they are used as ligands in this reaction and it has been proposed that there may be two catalytic systems. Regioselectivity in linear or branched acids can be controlled by using diphosphines or monophosphines, respectively (Claver, et al. 2000).

$$Ph \xrightarrow{(Pd],H_2C_2O_4} Ph \xrightarrow{COOH} + Ph \xrightarrow{COOH}$$

Figure 2.23. Pd-catalyzed carbonylative synthesis of carboxylic acids (Source: Claver, et al. 2000)

The carbonylative esterification reaction of 1-alkynes, CO, and methanol catalyzed by palladium–phosphine complexes has been studied in acetonitrile media. Branched α , β -unsaturated ester was mainly produced in the presence of a catalytic amount of a palladium complex containing PPh₃ (Akao, et al. 2000).

$$R \longrightarrow CO, R'OH \xrightarrow{R'O_2C} + \xrightarrow{CO_2R'}$$

$$R \longrightarrow R \xrightarrow{R'O_2C} + R$$

Figure 2.24. Pd-catalyzed carbonylative synthesis of α,β-unsaturated esters (Source: Akao, et al. 2000)

Negishi and co-workers showed that Pd-catalyzed carbonylation of alkynes in the presence of aryl halides and a base resulted in the formation of γ -lactams (Negishi, et al. 1995).



Figure 2.25.Pd-catalyzed carbonylative synthesis of γ-lactams (Source: Negishi, et al. 1995)

Another work was reported by Miura and co-workers that aryl iodides undergo cross-carbonylation with five-membered cyclic olefins, in the presence of palladium catalysts to give the corresponding aryl ketones (Satoh, et al. 1995).



Figure 2.26. Pd-catalyzed carbonylative synthesis of aryl ketones (Source: Satoh, et al. 1995)

Although the major limitation to the carbonylative approach of the Suzuki reactions often lies in the formation of significant amounts of biaryl products, which results from direct coupling without carbon monoxide insertion, Castanet and co-workers have shown that the carbonylative Suzuki cross-coupling of pyridyl iodides and bromides with arylboronic acids yielded pyridyl aryl ketones in good yields (Bonnaire, et al. 2003).



Figure 2.27. Pd-catalyzed carbonylative Suzuki cross-coupling of pyridyl iodides and bromides with arylboronic acids (Source: Bonnaire, et al. 2003)

And also Miyaura and co-workers showed that, carbonylative cross-coupling reaction of arylboronic acids with aryl electrophiles (ArI, ArBr,and ArOTf) to yield unsymmetrical biaryl ketones in the presence of a palladium catalyst and a base (Ishiyama, et al. 1998).



Figure 2.28. Pd-catalyzed carbonylative cross-coupling reaction of arylboronic acids with aryl electrophiles (Source: Ishiyama, et al. 1998)

In case, in the presence of rhodium complex, active arylation complex, arylrhodium is formed via the transmetalation between rhodium and organoboron species. Aryl-rhodium species can be carbonylated to led to an aroyl rhodium intermediate which shows nucleophilic character.

$$Ar-B(OH)_2 + [Rh(I)] \longrightarrow Ar-Rh(I) \longrightarrow ArCRh$$

aryl-rhodium $\begin{bmatrix} I \\ O \\ aroyl-rhodium \end{bmatrix}$

Figure 2.29. Formation of aroyl-rhodium species from arylboronic acids

These in-situ formed aroyl-rhodium species have been shown to react with methyl vinyl ketones to yield 1,4-diketones (Sauthier, et al. 2004), and with terminal alkynes to yield α , β -unsaturated ketones (Dheur, et al. 2007). The reactions of internal alkynes with arylboronic acids under 20 atm CO was shown to proceed through consecutive aroylation and carbonylative cyclization to form butenolides (Aksin, et al. 2006).



Figure 2.30. Rh-catalyzed carbonylative arylation of unsaturated systems (Source: Dheur, et al. 2007)

An important report was shown by Chatani and co-workers in the development of a new carbonylation reaction of alkynes with 2-bromophenylboronic acid leading to the formation of indenones. The reaction involves a consecutive Rh-catalyzed regioselective addition of an arylrhodium(I) species to alkynes and the oxidative addition of C-Br bonds in the adjacent phenyl ring to the resulting vinylrhodium(I) species as key steps (Harada, et al. 2007).



Figure 2.31. Rh-catalyzed carbonylative arylation of alkynes with 2bromophenylboronic acids (Source: Harada, et al. 2007)

Job and co-workers have reported the catalytic preparation of 3,4-disubstituted 2(5H)-furanones by the rhodium-catalyzed reductive carbonylation of alkynes under water-gas shift reaction conditions. When a mixture of alkyne and a catalytic amount of Rh₆(CO)₁₆, in the presence of Et₃N in THF was heated under a pressure of CO, 3,4-diphenyl-2(5*H*)-furanone was obtained in a good yield (Job, et al. 1994).



Figure 2.32. Rh-catalyzed reductive carbonylation of internal alkynes (Source: Job, et al. 1994)

Hayashi and co-workers describe that a rhodium catalyzed regioselective coupling of ortho-carbonylated arylboronic acids and alkynes under mild conditions, to gives indenols in relatively high enantioselectivity (Shintani, et al. 2005).



Figure 2.33. Rh-catalyzed coupling of *ortho*-carbonylated arylboronic acids and alkynes (Source: Shintani, et al. 2005)

CHAPTER 3

EXPERIMENTAL STUDY

3.1. General Procedures for Synthesis of Alkynes by Sonogashira C-C Coupling Reactions

Given procedure is representative procedure for the synthesis of alkynes **1h**, **1i**, **1j** and **1k** by Sonogashira reaction. (See appendices D) Aryl halide (bromo or iodo, 10 mmol) and alkyne (12 mmol) in 40 mL Et₃N was added 2 mol % Pd(PPh₃)₂Cl₂ with respect to the aryl halide. The mixture was stirred at room temperature for five minutes, after that 1 mol % CuI was added to the mixture. Then reaction flask was placed in a preheated oil bath at 50 °C and vigorously stirred under an argon atmosphere. The reaction was periodically followed by GC until the reaction was stopped when no further increase in the formation of coupling product was observed or all alkyne was consumed. The solution was cooled to the room temperature, and filtration was done in order to get rid of the ammonium salts and the solution was concentrated in vacuo under reduced pressure. Then the residue was purified by column chromatography on silica gel to give the pure product (Roesch and Larock 2001).

3.2. General Procedure for Drying of Solvents

For 200 ml MeOH, 1 g Mg-turnings, 100 mg iodine and 10 mL methanol was put into a 250 mL round-bottom flask. The mixture was heated under inert atmosphere until the iodine colour and the Mg-turnings disappear. Added more iodine (100 mg) if Mg-turnings did not disappear. Then the remainder MeOH (190ml) was added. The solution was refluxed for 3 hours and it was distilled onto 3A sieve beads (10% w/v). and stored at least 1 day before using it (Leonard, Lygo and Procter 1998).

3.3. General Procedure for Rh Catalyzed Carbonylative Addition Reactions of Arylboronic Acids to Alkynes

4-Chlorobenzeneboronic acid, 4-Methoxyphenylboronic acid, 4-Methylphenylboronic acid, 4-(triflouro)methyl phenylboronic acid 1-phenyl-1-propyne, methyl-2-butynoate, methyl-2-heptynoate, ethyl phenylpropiolate, 4,4-dimethyl-2pentyne were supplied from Alfa Aesar. Diphenylacetylene, bis(ethylene)rhodium(I) chloride dimer (53% Rh) and tetradecane were supplied from Merck. 3-Tolylboronic acid was supplied from Acros, 4-Octyne was supplied from ABCR and 1-phenyl-1pentyne was supplied from Avocado. Phenylboronic acid was supplied from Fluka. 4-Methoxyphenylboronic acid (Percec et al. 2001; Li et al. 2002) and $[Rh(C_2H_4)_2Cl]_2$ (Cramer et al. 1974) were also synthesized in our laboratory according to literature.

A mixture of arylboronic acid (3 mmol), alkyne (1.5 mmol), $[Rh(C_2H_4)_2Cl]_2$ (3 mol% Rh), CF₃COOH (2 mmol), and tetradecane (0.5 mmol, as an internal standard) in 10mL solvent (9.9:0.1-MeOH:H₂O, pre-dried and degassed before used) was added into glass insert which was then placed into a stainless-steel reactor. Reactor was evacuated and purged with 5 atm CO twice. Then reactor was pressurized to 5 atm with CO and the mixture was stirred magnetically in a pre-heated oil bath. After cooling reactor, the reaction mixture was recovered with ethyl acetate. After that, a sample was taken from reaction mixture and diluted with ethyl acetate, then analyzed by GC and GC-MS and isolated by flash chromatography.

3.4. Characterization of Products

3.4.1. GC Method

The samples were analyzed by GC/MS (HP GC/MS 6890/5973N on a HP-5MS, 30m, 0.25 mm capillary column, 5% phenylmethoxysiloxane with 0.25 μ m film thickness) and GC (19091J-413 HP-6890N on a 30m, 0.25 mm capillary column (5% dimetylsiloxane, 95% phenyldimethylsiloxane with a 0.25 μ m film thickness and FID detector).

The GC program applied throughout the analysis is as follows: the column temperature was 40 °C at the beginning of the program and it was heated with a rate of 10 °C/min up to 300 °C, then it was kept at this temperature for 15 min. Throughout the analysis the injector and detector temperatures were kept constant at 280 °C and 320 °C, respectively. The analysis was performed on a split mode with a split ratio of 1/50.

3.4.1.1. Calculation of Reactant and Product Amount on GC

For the calculation of amount of reactants and products, response factor of each reactant and product for the set temperature program of GC was determined. As internal standard, tetradecane was used. The amount of internal standard does not change throughout the reaction, so the response factor of each compound was determined according to the amounts and areas under the peaks of internal standard and standard compound of interest. For the determination of response factor of a compound, a known amount of standard compound together with a known amount of internal standard dissolved and diluted with ethyl acetate, and then was injected to GC. After the analysis was complete according to the set temperature program, the equation (3.1) was used for the determination of response factor of that compound.

R.F. =
$$\frac{\text{internal standart area}}{\text{compound area}}$$
 x $\left(\frac{\text{compound amount}}{\text{internal standart amount}}\right)$ (3.1)

In order to calculate the amount of both reactant and products at the end of reaction, aliquots of reaction sample taken from the glass-reactor and diluted samples were injected to GC. At the end of GC analysis, taking the amount of tetradecane and the area under the tetradecane peak into account, equation (3.2) was used in order to calculate the amount of reactant and products at the end of reaction:

amount of compound =
$$\left(\frac{\text{internal standart amount}}{\text{internal standart area}}\right) \times \text{R.F. x compound area}$$
 (3.2)

3.4.1.2. Calculation of Reactant Conversion, Product Yield and Recovery

Reactant conversion at any time is calculated using equation 3.3:

$$(\text{Reactant Conversion})_{t}\% = \frac{\left((\text{Reactant})_{i} - (\text{Reactant})_{t}\right)}{(\text{Reactant})_{i}} \times 100 \quad (3.3)$$

where $(reactant)_i$ is the weight of reactant at the beginning of the reaction and $(reactant)_t$ is the weight of reactant at time t.

Product yield of a molecule was calculated according to the following equation 3.4:

(3.4)

initial mole of alkyne

3.5. Other Methods

All products were determined by NMR (Varian VnmrJ 400), FT-IR (Perkin-Elmer Spectrum 100), GC-MS (GC-Varian star 3400CX, MS-VarianSaturn 2000 Gc-Ms-Ms), HPLC-ESI-HRMS, GC-EI-HRMS and DI-EI-HRMS (direct inlet ionization), (Thermo Electron). Melting Points were determined using an Electrothermal Melting Point Apparatus 9200.

(*E*,*Z*)-1,2,3-triphenylprop-2-en-1-one (3aa): Hexane/ethyl acetate; (*E*-3aa): white solid; M.P. (°C): 98.7-99.2 (lit.: 99-101,^{7a} 103-103.5,^{7b} 96.5-97.5^{7c}); ¹H NMR (400 MHz, CDCl₃) δ : 7.07-7.56 (m, 14H), 7.86 (d, *J* = 7.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 126.4, 128.0, 128.2, 128.4, 128.7, 128.8, 128.9, 129.7, 130.1, 133.6, 135.4, 136.3, 138.0, 140.8, 199.4; MS (EI, *m*/*z*): 284 (93, M⁺), 256 (5), 206 (9), 178 (31), 105 (100), 77 (20); FTIR (ATR) v (cm⁻¹) CO 1649 (lit.: 1661,^{7a} 1640^{7c}); HRMS (*m*/*z*, M⁺): 284.1196 (calculated), 284.1189 (found); (*Z*-3aa): yellow solid; M.P. (°C): 78.0-84.1 (lit.: 85-87,^{7a} 88-89,^{7b} 82.5-83.5^{7c}); ¹H NMR (400 MHz, CDCl₃) δ : 7.10-7.50 (m, 14H), 7.99 (d, *J* = 6.8 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 127.9, 128.2, 128.3, 128.8, 128.9, 129.7, 129.8, 130.3, 132.2, 134.8, 136.5, 138.2, 140.1, 140.8, 197.6; MS (EI, *m*/*z*): 284 (87, M⁺), 256 (7), 206 (20), 178 (47), 105 (100), 77 (83); FTIR (ATR) v (cm⁻¹) CO: 1660 (lit.: 1671,^{7a} 1661^{7c}); HRMS (*m*/*z*, M⁺): 284.1196 (calculated), 284.1197 (found).

(*E*,*Z*)-1-(4-methoxyphenyl)-2,3-diphenylprop-2-en-1-one (3ab): Hexane/benzene; hexane/dichloromethane; (*E*-3ab): white solid; M.P. (°C): 81.1-84.3 (lit.: 84-84.3^{7c}); ¹H NMR (400 MHz, CDCl₃) δ : 3.85 (s, 3H), 6.92 (d, *J* = 8.8 Hz, 2H), 7.09-7.36 (m, 11H), 7.90 (d, *J* = 8.8 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 55.5, 113.6, 127.9, 128.2, 128.6, 128.8, 129.6, 130.2, 130.4, 132.3, 135.0, 136.8, 137.8, 141.0, 163.1, 196.3; MS (EI, *m/z*): 314 (47, M⁺), 197 (14), 178 (14), 135 (100), 107 (9), 92 (10), 77 (19); FTIR (ATR) v (cm⁻¹) CO: 1633 (lit.: 1640^{7c}); HRMS (m/z, M⁺): 314.1301 (calculated), 314.1294 (found); (**Z-3ab)**: pale yellow solid; M:P. (°C): 88.7-89.2 (lit.: 78-79^{7c}); ¹H NMR (400 MHz, CDCl₃) δ : 3.79 (s, 3H), 6.83 (d, J = 8.8 Hz, 2H), 7.12-7.48 (m, 11H), 7.97 (d, J = 8.8 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 55.4, 114.0, 126.3, 127.9, 128.1, 128.4, 128.8, 129.4, 129.5, 132.1, 135.5, 138.2, 140.9, 164.0, 197.9; MS (EI, m/z): 314 (58, M⁺), 197 (18), 178 (19), 135 (100), 107 (12), 92 (13), 77 (23); FTIR (ATR) v (cm⁻¹) CO: 1657 (lit.: 1655^{7c}). HRMS (m/z, M⁺): 314.1301 (calculated), 314.1301 (found).

(*E*,*Z*)-2,3-diphenyl-1-*p*-tolylprop-2-en-1-one (3ac): Hexane/benzene; (*E*-3ac): white solid; M.P. (°C): 90.8-91.8; ¹H NMR (400 MHz, CDCl₃) δ : 2.41 (s, 3H), 7.08-7.39 (m, 13H), 7.79 (d, *J* = 8.0 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 21.6, 126.3, 127.9, 128.2, 128.8, 128.8, 129.0, 129.6, 130.1, 130.3, 134.9, 135.3, 136.7, 139.1, 140.9, 143.0, 197.5; MS (EI, *m/z*): 298 (75, M⁺), 283 (15), 205 (6), 181 (23), 178 (25), 119 (100), 91 (48); FTIR (ATR) v (cm⁻¹) CO: 1643; HRMS (*m/z*, M⁺): 298.1352 (calculated), 298.1346 (found); (*Z*-3ac): pale yellow solid; M.P. (°C): 59.8-61.8; ¹H NMR (400 MHz, CDCl₃) δ : 2.32 (s, 3H), 7.10-7.47 (m, 13H), 7.89 (d, *J* = 8.4 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 21.7, 126.3, 128.0, 128.1, 128.5, 128.8, 129.5, 129.7, 129.9, 134.0, 135.5, 138.1, 141.0, 144.7, 199.0; MS (EI, *m/z*): 298 (100, M⁺), 283 (12), 182 (20), 119 (55), 91 (11); FTIR (ATR) v (cm⁻¹) CO: 1654; HRMS (*m/z*, M⁺): 298.1352 (calculated), 298.1341 (found).

(*E*,*Z*)-2,3-diphenyl-1-*m*-tolylprop-2-en-1-one (3ad): Hexane/benzene; (*E*-3ad): further column chromatographed with hexane/ethyl acetate; white solid; M.P. (°C): 66.3-68.7; ¹H NMR (400 MHz, CDCl₃) δ : 2.40 (s, 3H), 7.06-7.38 (m, 13H); 7.64 (d, *J* = 7.6 Hz, 1H), 7.68 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ : 21.4, 127.06, 127.9, 128.08, 128.2, 128.8, 128.9, 129.7, 130.2, 130.3, 132.9 134.9, 136.6, 138.2, 138.2, 140.0, 140.9, 197.9; MS (EI, *m/z*): 298 (75, M⁺); 283 (10), 181 (23), 178 (25), 119 (100), 91 (48); FTIR (ATR) v (cm⁻¹) CO: 1635; HRMS (*m/z*, M⁺): 298.1352 (calculated), 298.1351 (found); *Z*-3ad: yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 2.32 (s, 3H), 7.10-7.48 (m, 13H), 7.65 (d, *J* = 8 Hz, 1H), 7.83 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ : 21.3, 126.3, 127.3, 128.0, 128.1, 128.4, 128.6, 128.8, 129.9, 129.9, 134.5, 135.5, 136.3, 138.1,
138.5, 141.0, 199.5; MS (EI, m/z): 298 (92, M⁺), 283 (11), 181 (25), 178 (30), 119 (100), 91 (33); FTIR (ATR) v (cm⁻¹) CO: 1660; HRMS (m/z, M⁺): 298.1352 (calculated), 298.1359 (found).

(E,Z)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one (3ae): Hexane/ethyl acetate; (E-3ae): yellow paste; ¹H NMR (400 MHz, CDCl₃) δ : 7.05-7.45 (m, 13H), 7.78 (d, J = 8.4, 2H); MS (EI, m/z): 318 (100, M⁺), 283 (27), 178 (55), 139 (52), 111 (25), 75 (13), 50 (10); FTIR (ATR) v (cm⁻¹) CO: 1645; HRMS(m/z, M⁺) 318.0806 (calculated); 318.0804 (found); (Z-3ae): yellow paste; ¹H NMR (400 MHz, CDCl₃) δ : 7.14-7.45 (m, 13H), 7.91 (d, J = 10.4, 2H); ¹³C NMR (100 MHz, CDCl₃) δ :126.3, 128.2, 128.3, 128.5, 128.8, 128.9, 129.1, 129.2, 129.8, 130.4, 130.5, 131.0, 131.1, 134.7, 135.2, 137.7, 140.1, 140.3, 198.1; MS (EI, m/z): 318 (100, M⁺), 283 (48), 178 (75), 139 (82), 111 (51), 75 (35), 50 (25); FTIR (ATR) v (cm⁻¹) CO: 1665; HRMS(m/z, M⁺) 318.0806 (calculated); 318.0805 (found).

(*E*)-1-phenyl-2-propylhex-2-en-1-one (3ba): Hexane/ethyl acetate; pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.94 (t, *J* = 7.4 Hz, 3H), 0.96 (t, *J* = 7.4 Hz, 3H), 1.41-1.52 (m, 4H), 2.27 (q, *J* = 7.2 Hz, 2H), 2.47 (t, *J* = 7.2 Hz, 2H), 6.20 (t, *J* = 7,6 Hz, 1H); 7.38-7.43 (m, 2H), 7.47-7.52 (m, 1H), 7.63-7.67 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.0, 14.1, 22.2, 28.7, 30.9, 128.0, 129.3, 131.4, 139.1, 141.2, 145.7, 199.0; MS (EI, *m*/*z*): 216 (100, M⁺), 173 (7), 145 (9), 105 (27), 77 (5); FTIR (ATR) v (cm⁻¹) CO: 1647; HRMS (*m*/*z*, M⁺): 216.1509 (calculated), 216.1507 (found).

(*E*)-1-(4-methoxyphenyl)-2-propylhex-2-en-1-one (3bb): Hexane/ethyl acetate; pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.91-0.98 (m, 6H), 1.41-1.51 (m, 4H), 2.26 (q, *J* = 7.2 Hz, 2H), 2.46 (t, *J* = 7.6 Hz, 2H), 3.86 (s, 3H), 6.11 (t, *J* = 7.6 Hz, 1H), 6.91 (d, *J* = 8.8 Hz, 2H), 7.71 (d, *J* = 8.8 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.0, 14.1, 22.2, 22.3, 29.2, 30.7, 55.4, 113.3, 131.4, 131.8, 141.1, 143.1, 162.6, 198.0; MS (EI, *m*/*z*): 246 (14, M⁺), 203 (22), 175 (18), 135 (100), 107 (10), 92 (14), 77 (21); FTIR (ATR) v (cm⁻¹) CO: 1641; HRMS: 246.1614 (calculated), 246.1603 (found).

(*E*)-2-propyl-1-p-tolylhex-2-en-1-one (3bc): Hexane/ethyl acetate; pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.94 (t, *J* = 7.6 Hz, 6H), 1.46 (sext, *J* = 7.6 Hz, 4H), 2.26 (q, *J* = 7.6 Hz, 2H), 2.40 (s, 3H), 2.46 (t, *J* = 8.0 Hz, 2H), 6.16 (t, *J* = 7.4 Hz, 1H), 7.21 (d, *J* = 8.0 Hz, 2H), 7.59 (d, *J* = 8.0 Hz, 1H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.0, 14.1, 21.5, 22.2, 22.3, 28.9, 30.8, 128.7, 129.6, 136.2, 141.2, 142.1, 144.6, 198.8; MS (EI, *m*/*z*): 230 (36, M⁺), 215 (92), 201 (20), 187 (38), 173 (29), 159 (39), 145 (22), 119 (100), 91 (71); FTIR (ATR) v (cm⁻¹) CO: 1645; HRMS (*m*/*z*, M⁺): 230.1665 (calculated), 230.1662 (found).

(E)-methyl 3-(4-methoxyphenyl)hept-2-enoate (3cb): Hexane/ethyl acetate; pale yellow oil; NMR (400 MHz, CDCl₃) δ : 0.89 (t, J = 7.2 Hz, 3H), 1.40-1.50 (m, 4H), 2.98 (t, J = 7.6 Hz, 2H), 3.76 (s, 3H), 3.88 (s, 3H), 5.99 (s, 1H), 6.95 (d, J = 9.2 Hz, 2H), 7.84 (d, J = 9.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 13.8, 22.9, 29.2, 30.5, 51.5, 55.5, 113.8, 122.0, 128.8, 132.2, 157.9, 163.9, 166.1, 196.5; MS (EI, m/z): 276 (28, M⁺), 245 (20), 217 (22), 135 (100); FTIR (ATR) v (cm⁻¹) CO: 1654, 1722 (-COOCH₃); HRMS: 276.1356 (calculated); 276.1355 (found)

(E)-methyl 4-(4-*p*-tolyl)-3-butyl-4-oxobut-2-enoate (3cc): Hexane/ethyl acetate, yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.9 (t, J = 7.4 Hz, 3H), 1.36-1.51 (m, 4H), 2.40 (s, 3H), 2.98 (t, J = 7.8 Hz, 2H), 3.76 (s, 3H), 6.0 (s, 1H), 7.27 (d, J = 8.0 Hz, 2H), 7.73 (d, J = 8.0 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 13.8, 21.7, 22.9, 28.9, 30.5, 51.5, 122.9, 129.3, 130.0, 133.5, 144.3, 157.5, 166.1, 197.6; MS (EI, *m/z*): 260 (25, M⁺), 245 (29), 229 (65), 214 (46), 119 (100); FTIR (ATR): v (cm⁻¹): 1659 (CO), 1724 (COOCH₃); HRMS(*m/z*, M⁺) 260.1407 (calculated); 260.1406 (found).

(*E*)-methyl 4-(4-methoxyphenyl)-3-methyl-4-oxobut-2-enoate (3db): Hexane/ethyl acetate; pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 2.42 (d, *J* = 1.6 Hz, 3H), 3.77 (s, 3H), 3.88 (s, 3H), 6.09 (q, *J* = 1.6, 1H), 6.95 (d, *J* = 9.2 Hz, 2H), 7.83 (d, *J* = 8.8 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 16.0, 51.6, 55.5, 113.9, 123.0, 128.1, 132.2, 153.1, 163.9, 166.3, 196.5; MS (EI, *m*/*z*): 234 (16, M⁺), 203 (20), 135 (100), 107 (10), 92 (10), 77 (10), 62 (9); FTIR (ATR) v (cm⁻¹) CO: 1651, 1720 (-COOCH₃); HRMS: 234.0887 (calculated), 234.0884 (found).

(*E*)-2-methyl-1,3-diphenylprop-2-en-1-one (3ea): Hexane/ethyl acetate; yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 2.27 (d, *J* = 1.6, 3H), 7.18 (d, *J* = 1.6, 1H), 7.30-7.56 (m, 8H), 7.71-7.77 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.4, 128.2, 128.5, 128.6, 129.5, 129.7, 131.7, 135.8, 136.9, 138.5, 142.2, 199.5; MS (EI, *m/z*): 222 (100, M⁺), 207 (33), 115 (32), 77 (48); FTIR (ATR) v (cm⁻¹) CO: 1642; HRMS (*m/z*, M⁺): 222.1039 (calculated), 222.1032 (found).

(*E*)-1-(4-methoxyphenyl)-2-methyl-3-phenylprop-2-en-1-one (3eb): Hexane/ethyl acetate; yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 2.25 (d, *J* = 1.6 Hz, 3H), 3.87 (s, 3H), 6.95 (d, *J* = 9.2 Hz, 2H), 7.10 (d, *J* = 1.6, 1H), 7.30-7.43 (m, 5H), 7.81 (d, *J* = 9.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.9, 55.5, 113.5, 128.3, 128.4, 129.6, 130.7, 132.0, 137.0, 140.0, 162.8, 198.3; MS (EI, *m*/*z*): 252 (38, M⁺), 135 (100), 145 (15), 115 (26); FTIR (ATR) v (cm⁻¹) CO: 1638; HRMS: 252.1145 (calculated), 252.1138 (found).

(E)-2-methyl-3-phenyl-1-*p*-tolylprop-2-en-1-one (3ec): Hexane/ethyl acetate; yellow oil ¹H NMR (400 MHz, CDCl₃) δ : 2.26 (d, *J* = 1.6 Hz, 3H), 2.42 (s, 3H), 7.15 (d, *J* = 1.6 Hz, 1H), 7.27 (d, *J* = 8.0 Hz, 2H), 7.30-7.42 (m, 5H), 7.68 (d, *J* = 8.0 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.6, 21.6, 128.5, 128.9, 129.7, 129.8, 135.6, 135.9, 137.0, 141.3, 142.4, 199.2; MS (EI, *m/z*): 236 (100, M⁺), 219 (12), 119 (25); FTIR (ATR): v (cm⁻¹) CO: 1642; HRMS(*m/z*, M⁺) 236.1196 (calculated); 236.1194 (found).

3fb and 3fb': Hexane/ethyl acetate; *(E)-2-benzylidene-1-(4-methoxyphenyl)pentan-***1-one (3fb):** pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.98 (t, J = 7.2 Hz, 3H), 1.57 (sext, J = 7.6 Hz, 2H), 2.71 (t, J = 7.8 Hz, 2H), 3.89 (s, 3H), 6.95 (d, J = 9.2 Hz, 2H), 6.98 (s, 1H), 7.30-7.42 (m, 5H), 7.85 (d, J = 9.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.3, 22.0, 30.2, 55.5, 113.5, 128.1, 128.4, 129.1, 130.9, 132.1, 135.9, 138.5, 142.4, 163.0, 198.2; MS (EI, m/z): 280 (56, M⁺), 251 (15), 173 (16), 135 (100); FTIR (ATR) v (cm⁻¹) CO: 1636; HRMS: 280.1458 (calculated); 280.1460 (found). *(E)-1-(4-methoxyphenyl)-2-phenylhex-2-en-1-one (3fb')*: pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.92 (t, J = 7.2 Hz, 3H), 1.49 (sext, J = 7.6 Hz, 2H), 2.24 (q, J = 7.2 Hz, 2H), 3.85 (s, 3H), 6.35 (t, J = 7.4 Hz, 1H), 6.89 (d, J = 9.2 Hz, 2H), 7.23-7.38 (m, 5H), 7.81 (d, J = 9.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 13.9, 22.5, 31.4, 55.4, 113.4, 127.3, 128.2, 129.4, 130.8, 132.2, 136.5, 141.6, 142.2, 162.9, 196.1; MS (EI, m/z): 280 (12, M⁺), 251 (39), 135 (100); FTIR (ATR) v (cm⁻¹) CO: 1638; HRMS (m/z, M⁺): 280.1458 (calculated), 280.1460 (found)

(E)-2-(4-methoxybenzylidene)-1-p-tolylhexan-1-one (3hc): Hexane/ethyl acetate; yellow paste; ¹H NMR (400 MHz, CDCl₃) δ : 0.93 (t, J = 7.2 Hz, 3H), 1.42 (sext, J = 7.2, 2H), 1.55 (pent, J = 7.6 Hz, 2H), 2.41 (s, 3H), 2.75 (t, J = 7.8 Hz, 2H), 3.82 (s, 1H), 6.92 (d, J = 8.8 Hz, 2H), 7.0 (s, 1H), 7.24 (d, J = 8.0 Hz, 2H), 7.33 (d, J = 9.2 Hz, 2H), 7.68 (d, J = 8.0 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 13.9, 21.6, 23.0, 27.6, 30.9, 55.3, 113.9, 128.3, 128.8, 129.8, 130.3, 130.9, 136.2, 140.2, 140.2, 140.6, 142.3, 159.8, 199.2: MS(EI, m/z): MS (EI, m/z): 308 (100, M⁺), 293 (14), 265 (10), 119 (32); FTIR (ATR): v (cm⁻¹) CO: 1641; HRMS(m/z, M⁺) 308.1771 (calculated); 308.1769 (found).

(E)-2-(2-methoxybenzylidene)-1-*p*-tolylhexan-1-one (3ic): Hexane/ethyl acetate; yellow oil: ¹H NMR (400 MHz, CDCl₃) δ : 0.87 (t, *J* = 7.2 Hz, 3H), 1.35 (sext, *J* = 7.6 Hz, 2H), 1.49 (pent, *J* = 7.6 Hz, 2 H), 2.40 (s, 3H), 2.65 (t, *J* = 7.2 Hz, 2H), 3.76 (s, 3H), 6.87 (d, *J* = 8.0 Hz, 1H), 6.98 (t, *J* = 7.6 Hz, 1H), 7.18 (s, 1H), 7.24 (d, *J* = 8.0 Hz, 2H), 7.30 (t, *J* = 7.6 Hz, 1H), 7.36 (d, *J* = 7.6 Hz, 1H), 7.78 (d, *J* = 8.0 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 13.9, 21.6, 22.9, 27.8, 30.9, 55.4, 110.5, 120.2, 125.1, 128.8, 129.5, 129.6, 130.1, 135.9, 136.0, 141.9, 142.6, 157.3, 199.0; MS (EI, *m*/z): 308 (57, M⁺), 277 (100), 119 (75); FTIR (ATR): v (cm⁻¹) CO: 1656; HRMS(*m*/z, M⁺) 308.1771 (calculated); 308.1775 (found).

(E)-1-(4-methoxyphenyl)-2-((thiophen-2-yl)methylene)hexan-1-one (3jb): Hexane/ethyl acetate; yellow oil; ¹H NMR (400 MHz, CDCl₃) δ : 0.96 (t, J = 7.2 Hz, 3H), 1.48 (pent, J = 6.8 Hz, 2H), 1.53-1.61 (m, 2H), 2.86 (t, J = 7.2 Hz, 2H), 3.88 (s, 3H), 6.95 (d, J = 9.2 Hz, 2H), 7.08 (dd, J = 3.6, 5.2, Hz 1H), 7.15 (d, J = 3.6 Hz, 1H), 7.19 (s, 1H), 7.47 (d, J = 5.2 Hz, 1H), 7.75 (d, J = 9.2 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 14.0, 23.2, 28.6, 30.4, 55.4, 113.6, 127.3, 128.9, 131.6, 131.8, 138.6, 139.2, 162.7, 197.8; MS(EI, m/z): 300 (62, M⁺), 269 (19), 135 (100), 203 (16); FTIR (ATR): v (cm⁻¹): 1636; HRMS(m/z, M⁺): 300.1179 (calculated), 300.1178 (found).

3-tert-butyl-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one (3mb): ¹H NMR (400 MHz, CDCl₃) δ: 1.39 (s, 9H), 1.91 (s, 3H), 3.81 (s, 3H), 5.37 (s, 1H), 6,89 (d, *J* = 9.2, 2H), 7.11 (d, *J* = 9.2, 2H); ¹³C NMR (100 MHz, CDCl₃) δ: 14.1, 29.3, 33.3, 55.3, 84.2,

114.3, 127.1, 128.5, 156.2, 160.3, 173.3; MS(EI, m/z): 260 (72, M⁺), 245 (30), 135 (100), 108 (71); FTIR (ATR) v (cm⁻¹) CO: 1740; HRMS(m/z, M⁺) 260.1407 (calculated); 260.1412 (found).

3-tert-butyl-5-hydroxy-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one (3mb'): ¹H NMR (400 MHz, CDCl₃) δ : 1.34 (s, 3H), 1.95 (s, 3H), 3.81 (s, 3H), 6.89 (d, J = 8.8, 2H), 7.34 (d, J = 8.8, 2H); ¹³C NMR (100 MHz, CDCl₃) δ : 12.3, 29.3, 33.2, 55.3, 104.3, 113.9, 127.1, 129.2, 133.1, 156.8, 160.2, 171.4; MS(EI, *m/z*): 276 (76, M⁺), 258 (9), 243 (17), 231 (100), 220 (26); FTIR (ATR) v (cm⁻¹) CO: 1763; HRMS(*m/z*, M⁺) 276.1365 (calculated); 276.1351 (found).

CHAPTER 4

RESULT AND DISCUSSION

Carbonylative coupling of arylboronic acids with unsaturated substrates is believed to proceed through an acylrhodation step by an in-situ generated acylrhodium complex.



Figure 4.1. Formation of acyl-rhodium species

Our research group has recently shown that carbonylation of an arylboronic acid and internal alkyne mixture in the presence of a rhodium complex can yield the α , β unsaturated ketone (3), 5-aryl-2(5*H*)-furanone (4), indenone (5), and indanone (6) products (Aksin et al. 2006). (Figure 4.2)



Figure 4.2. Products of carbonylative arylation reaction of alkynes with arylboronic acids

During optimization of reaction conditions for selective construction of **3**, we have determined that prompt protodemetalation of the alkenylrhodium intermediate (C), before insertion of CO, which leads to the product **4**, would result in an α , β -unsaturated ketone (**3**); hence, we have considered that the presence of an acidic additive would promote the protodemetalation step, provided that the catalyst retains its activity.



Using diphenyl acetylene and phenylboronic acid as a probe molecule effect of many variables, such as Rh complex, solvent, acid additives, CO pressure and temperature on the reaction efficiency were studied. (Table 4.1)

Ph	Ph + PhB(OH) ₂		Ph、 → O ⁷	Ph Ph	+ Ph Ph Ph Ph	O PI	⁻ Ph ⁺		Ph ∽Ph	+ Pr	COO Ph	CH ₃
	1a 2a			3aa	4aa	5aa		6aa		-	7aa	
								<u>%</u>	6 Prod	uct ^a		
<u>No</u>	<u>Catalyst</u>	<u>P.</u> <u>atm</u>	<u>Т.</u> °С	<u>Solvent</u>	<u>Additive, mmol</u>	<u>%</u> Conv.	<u>E-</u> <u>3aa</u>	<u>Z-</u> <u>3aa</u>	<u>4aa</u>	<u>5aa</u>	<u>6aa</u>	<u>7aa</u>
<u>1</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>80</u>	<u>CH₃OH</u>	<u>HCOOH, 1</u>	<u>100</u>	<u>6</u>	<u>5</u>	<u>30</u>	<u>1</u>	<u>2</u>	<u>26</u>
<u>2</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>80</u>	<u>CH₃OH</u>	<u>CH₃COOH, 1</u>	<u>100</u>	<u>6</u>	<u>5</u>	<u>60</u>	<u>1</u>	<u>2</u>	<u>17</u>
<u>3</u>	[Rh(cod)Cl]2	<u>20</u>	<u>80</u>	<u>CH₃OH</u>	<u>NH4Cl, 1</u>	<u>61</u>	<u>6</u>	<u>2</u>	<u>5</u>	<u>1</u>	<u>1</u>	<u>9</u>
<u>4</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF3COOH, 1</u>	<u>100</u>	<u>21</u>	<u>17</u>	<u>21</u>	<u>3</u>	<u>2</u>	<u>5</u>
<u>5</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 5</u>	<u>100</u>	<u>21</u>	<u>21</u>	<u>11</u>	<u>3</u>	<u>1</u>	<u>5</u>
<u>6</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>70</u>	<u>CH₃OH</u>	<u>CF₃COOH, 5</u>	<u>63</u>	<u>15</u>	<u>5</u>	<u>5</u>	<u>1</u>	<u>1</u>	<u>5</u>

Table 4.1 Optimization for the selective constraction of enone

(cont. on next page)

<u>7</u>	[Rh(cod)Cl] ₂	<u>20</u>	<u>120</u>	<u>CH₃OH</u>	<u>CF₃COOH, 5</u>	<u>98</u>	<u>16</u>	<u>21</u>	<u>12</u>	<u>7</u>	<u>2</u>	<u>8</u>
<u>8</u>	[Rh(cod)Cl] ₂	<u>10</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 6</u>	<u>100</u>	<u>33</u>	<u>29</u>	<u>9</u>	<u>3</u>	<u>5</u>	<u>7</u>
<u>9</u>	[Rh(cod)Cl] ₂	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 6</u>	<u>100</u>	<u>30</u>	<u>35</u>	<u>7</u>	<u>11</u>	<u>4</u>	<u>4</u>
<u>10</u>	[Rh(cod)Cl] ₂	<u>1</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 6</u>	<u>100</u>	<u>22</u>	<u>30</u>	<u>3</u>	<u>20</u>	<u>9</u>	<u>2</u>
<u>11</u>	[Rh(cod)Cl] ₂	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>31</u>	<u>35</u>	<u>6</u>	<u>11</u>	<u>4</u>	<u>3</u>
<u>12</u>	[Rh(cod)Cl] ₂	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	-	<u>100</u>	<u>19</u>	<u>28</u>	<u>11</u>	<u>6</u>	<u>8</u>	<u>5</u>
<u>13</u>	RhCl ₃ .3H ₂ O	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>24</u>	<u>2</u>	<u>3</u>	<u>11</u>	<u>11</u>	<u>12</u>	-
<u>14</u>	[Rh(CO)2Cl]2	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>32</u>	<u>38</u>	<u>8</u>	<u>12</u>	<u>5</u>	<u>4</u>
<u>15</u>	Rh(C ₂ H ₄) ₂ acac	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>25</u>	<u>37</u>	<u>9</u>	<u>5</u>	<u>4</u>	<u>5</u>
<u>16</u>	[Rh(cod)OH] ₂	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>27</u>	<u>40</u>	<u>6</u>	<u>8</u>	<u>7</u>	<u>3</u>
<u>17</u>	$[\underline{Rh}(\underline{C_2H_4})_2\underline{Cl}]_2$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>31</u>	<u>42</u>	<u>7</u>	<u>18</u>	<u>6</u>	<u>2</u>
<u>18</u>	$[\underline{Rh}(\underline{C_2H_4})_2\underline{Cl}]_2$	<u>5</u>	<u>80</u>	<u>C₂H₅OH</u>	<u>CF₃COOH, 10</u>	<u>88</u>	<u>26</u>	<u>25</u>	<u>41</u>	<u>13</u>	<u>4</u>	-
<u>19</u>	$[\underline{Rh}(\underline{C_2H_4})_2\underline{Cl}]_2$	<u>5</u>	<u>80</u>	<u>Dioxane</u>	<u>CF₃COOH, 10</u>	<u>100</u>	<u>15</u>	<u>24</u>	<u>18</u>	<u>4</u>	<u>1</u>	<u><1</u>
<u>20</u>	$[\underline{Rh}(\underline{C_2H_4})_2\underline{Cl}]_2$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 2</u>	<u>100</u>	<u>29</u>	<u>45</u>	<u>10</u>	<u>15</u>	<u>7</u>	<u>2</u>
<u>21</u>	$\underline{[Rh(C_2H_4)_2Cl]_2}^{\underline{b}}$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF3COOH, 2</u>	<u>100</u>	<u>27</u>	<u>40</u>	<u>10</u>	<u>9</u>	<u>4</u>	<u>3</u>
<u>22</u>	$\underline{[Rh(C_2H_4)_2Cl]_2}^{\underline{c}}$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF3COOH, 2</u>	<u>100</u>	<u>29</u>	<u>39</u>	<u>6</u>	<u>17</u>	<u>8</u>	<u>2</u>
<u>23</u>	$[\underline{Rh}(\underline{C_2H_4})_2\underline{Cl}]_2$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u><i>p</i>-CH₃-</u> <u>C₆H₄SO₃H, 2</u>	<u>71</u>	<u>20</u>	<u>19</u>	<u>1</u>	<u>4</u>	<u>5</u>	<u>1</u>
<u>24</u>	[<u>Rh(C₂H₄)₂Cl]₂/</u> dppb ^d	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF3COOH, 2</u>	<u>52</u>	<u>4</u>	<u>1</u>	<u>34</u>	<u>1</u>	<u>1</u>	<u>-</u>
<u>25^e</u>	$[Rh(C_2H_4)_2Cl]_2^{e}$	<u>5</u>	<u>80</u>	<u>CH₃OH</u>	<u>CF₃COOH, 2</u>	<u>100</u>	<u>29</u>	<u>40</u>	<u>11</u>	<u>3</u>	<u>11</u>	<u>4</u>

Table 4.2 (cont.) Optimization for the selective constraction of enone

^{*a*} GC yield, 1mmol 1a, 3 mmol 2a, Rh 3%, 9.9 mL solvent, 0.1 mL H₂O, cod: cyclooctadien, acac: acetyl acetonate, dppb: 1,4-bis(diphenylphosphino)butane, ^b 5% Rh. ^c 1% Rh. ^d 3% dppb, ^e 1.5 mmol 1a, 3 mmol 2a.

A mixture of diphenyl acetylene and phenylboronic acid (1 mmol and 3 mmol, respectively) was first reacted in a $CH_3OH:H_2O$ (9.9:0.1) solvent mixture in the presence of $[Rh(cod)Cl]_2$ (Rh 3%) and 1 mmol of HCOOH at 80°C, under 20 atm of CO

pressure for 16 h (Table 1, entry 1). The major yields of the reaction were **4aa** and a methoxycarbonylated product of diphenyl acetylene, methyl 2,3-diphenylacrylate (**7aa**). A related enone product, **3aa**, formed as a mixture of *E*- and *Z*-isomers in low yield. The use of CH₃COOH as a proton source caused a further increase in the production of **4aa** (entry 2). Overall product recovery was very low in the presence of the NH₄Cl additive (entry 3). The formation of the *E*- and *Z*-isomers of **3aa**, however, increased to a 38% GC yield when CF₃COOH was used as an acid additive (entry 4). A 5-fold increase in the concentration of CF₃COOH had little influence on the yield of **3aa** (entry 5). Decreasing the reaction temperature to 70°C led to a significant decrease in reactivity (entry 6) and a somewhat lower yields of enones were obtained at the elevated temperature, 120°C (entry 7).

The effect of CO pressure was also examined (entries 8-10). Decreasing the CO pressure to 5 atm increased the yield of **3aa** to 65%, and this pressure was set as an optimum parameter. Further decreases of the CO pressure to 1 atmosphere led to increased yields of the byproducts **5aa** and **6aa**.

Generally, variation of CF₃COOH concentration had little effect on the reaction efficiency (compare entries 4, 5,9, 17 and 20). Nevertheless, lower yields of the *E*- and *Z*-**3aa** were obtained in its absence (entry 12). Activities of several of rhodium catalyst precursors were also examined at a reaction temperature of 80 °C, under 5 atm of CO pressure and in the presence of 10 mmol of CF₃COOH (entries 13-17). RhCl₃.3H₂O was highly inactive, affording only 24% of conversion from **1a**. The reaction appeared to show little response upon variation of the other precursors; however, slightly higher *E*and *Z*-**3aa** products were obtained with [Rh(CO)₂Cl]₂ or [Rh(C₂H₄)₂Cl]₂ complexes.

Ethanol and dioxane can not substitute methyl alcohol as the lower yields of **3aa** products were obtained by the use of these solvents (entries 18 and 19). No further improvement was gained in the reaction efficiency when the Rh loading was increased to 5%, while the reaction proceeded efficiently even at 1% Rh level without significant loss of activity (entries 21 and 22). Employment of *p*-tolylsulfonic acid as an additive and dppb as a ligand reduced the activity of the catalyst (entries 23 and 24, respectively). It seems that the method does not require large excesses of arylboronic acids, since the reaction was also remarkably effective when a phenylboronic acid:diphenyl acetylene ratio of 3:1.5 was employed.

So all of these experimental results were considered and optimum reaction conditions were determined as 80 °C, 5 atm CO, $[Rh(C_2H_4)_2Cl]_2$ as a pre-catalyst (Rh 3%), and CF₃COOH as a proton source (2 mmol).

Rh-catalyzed carbonylative reactions of various *p*-, *m*- and *o*- substituted phenylboronic acids with diphenyl acetylene was investigated under the optimal conditions.

The reaction of diphenyl acetylene (1a) with several *p*-, *m*- and *o*- substituted phenylboronic acids yielded mixtures of both *Z*- and *E*-isomers of the corresponding enones (3a). The products were isolated separately by flash chromatography on silica gel (Table 2) along with byproducts furanones (4a), indenones (5a), indanones (6a) and a direct methoxycarbonylated product of diphenyl acetylene, methyl 2,3-diphenylacrylate (7a).

 Table 4.3 Reaction of diphenyl acetylene (1a) with several *p*-, *m*- and *o*- substituted phenylboronic acids

Ph ⁺⁺⁺ + 1a 1.5 mmol	B(OH) R 2 3 mmol	$[Rh(C_{2}H_{4})_{2}Cl]_{2} (3\% \text{ Rh})$ $CO (5 \text{ atm}) \rightarrow CF_{3}COOH (2 \text{ mmol})$ $CH_{3}OH:H_{2}O (9.9:0.1) \text{ mL}$ $80 ^{\circ}C. 16h$	Ph Ph Ph + O R 3a	R S Ph Ph Ph Ph	O, Ph Ph R 5a	e^{O} Ph + Ph R e^{O} Ph	COOCH ₃ + APh Ph 7a
2a: R = H 2b: R = p-C 2c: R = p-C 2d: R = m-C 2e: R =CI	ОСН ₃ :Н ₃ СН ₃						

		Yield (%)								
Entry	2	3a ^a	4a ^b	5a ^b	6a ^b	7a ^b				
1	2a	3aa (33 Z, 25 E)	4aa (11)	5aa (3)	6aa (12)	(4)				
2	2b	3ab (45 Z, 31 E)	4ab (5)	5ab (2)	6ab (1)	(3)				
3	2c	3ac (55 Z, 16 E)	4ac (8)	5ac (<1)	6ac (5)	(2)				
4	2d	3 ad (29 Z, 32 E)	4ad (7)	5ad (3)	6ad (9)	(5)				
5	2e	3ae (20 Z, 17 E)	4ad (3)	5ad (5)	6ad (4)	(20)				

^a: Isolated product. ^b: Determined by GC

The reaction of arylboronic acid 2a with alkyne 1a afforded the isolated products *E*- and *Z*-**3aa** in overall yield of 58% and produced byproducts at 30% yield (entry 1). Arylboronic acids, 2b and 2c, which have methoxy and methyl groups, respectively, at the *para*-position of the phenyl ring gave the corresponding hydroacylation products in yields of 76% for both *E*- and *Z*-**3ab** and 70% yields for both *E*- and *Z*-**3ac**. Lower amounts of byproducts (11 and 16%, respectively, entries 2 and 3) were generated when using these arylboronic acids. The reaction with *m*-tolylboronic acid, **2d**, proceeded to give the corresponding enones (*E*- and *Z*-**3ad**) in an overall yield of 61%, along with 24% formation of the byproducts (entry 4).

The reactions involving *o*-tolylboronic or *p*-(trifluoromethyl)phenylboronic acids with alkyne 1a resulted in correspondingly low enone yields, remarkably increased amount of side-products, and even yielded significant amounts of corresponding hydroarylation products, triarylacetylene structures. The lowest yield of enone was obtained due to reaction of 1a with 2e. These results indicate that enone formation is responsive to the sterical and electronic nature of arylboronic acids.

The isomers of the onene molecules 3a were identified or estimated according to comparing their IR carbonyl frequencies and melting points based on the literature. It has been reported that existence of steric inhibition of the enone resonance in *Z*-enones results in the appearance of their carbonyl absorption bands at relatively higher wavenumbers than those of the *E*-isomers. (Duke et al. 1972, Black et al.1953, Mittal et al. 1985).

Hydroaroylation proceeded exclusively with *syn*-selectivity for other alkynes, which have only one aryl substituent or none, yielding only the *E*-isomer of the corresponding enones as determined by NOE studies.



The carbonylative reaction of 4-octyne (1b) with 2a yielded relatively low isolated yield of E-3ba (30%) (entry 1). Better yields of E-3bb and E-3bc were obtained via the reaction of 1b and arylboronic acids, 2b and 2c, at yields of 57% and 53%, respectively (entries 2 and 3). Reactions were also regioselective for those alkynes that had been activated with an ester functionality. The aroyl group was introduced selectively at the β-position with respect to the electron-withdrawing group (entries 4-6). High regioselectivity was also observed with 1-phenylpropyne (1e), the aroyl group primarily introduced at the methyl substituted acetylenic carbon, giving 3ea, 3eb and **3ec** predominantly upon reaction with the arylboronic acids **2a**, **2b** and **2c** respectively, which are separable from their regioisomers (entries 7-9). Nevertheless, the presence of a larger alkyl group on the alkynyl carbon somewhat reduced its regioselectivity, probably due to increased steric hindrance (entry 10). The presence of an electrondonating methoxy group on the o- or p- positions of 1-phenylpentyne had modest effect on regioselectivity. (entry 12-14) A complex mixture of products was obtained for the reaction of 1-pyridylpentyne, 1k. The corresponding enone products were isolated as a 1:1 ratio of regio-isomers, whereas a higher yield and better regioselectivity could be attained when using 1-thienylpentyne, 1j. (entry 15 and 16) When we used an alkyne that had been activated with an ester functionality, 11, 9% dimer keton product was formed along with the formation of 17% enone product. This dimerization was responsible for this low enone formation. Suprisingly, 2(5H)-furanone product and an its hydrated compound were isolated from the carbonylative reaction of 4,4dimethylpent-2-yne (3mb) with 2b under the general conditions applied for enone synthesis. The latter product was formed by conversion of an isomer during silica gel column chromatography and determined by GC-MS.





Table 4.4 Reactions of alkynes with arylboronic acids

	Yield (%)							
Entry	1	2	3	3'				
1	1b	2a	3ba (30)	-				
2	1b	2b	3bb (57)	-				
3	1b	2c	3bc (53)	-				
4	1c	2b	3cb (64)	(<1) ^b				
5	1c	2c	3cc (52)	-				
6	1d	2b	3db (54)	(2) ^b				
7	1e	2a	3ea (42)	(3) ^b				
8	1e	2b	3eb (74)	(6) ^b				
9	1e	2c	3ec (76)	-				
10	1f	2b	3fb (41)	(13) ^a				
11	1m	2b	3mb (42)	(11) ^a				
12	1h	2c	3hc (37)	(6) ^b				
13	1i	2c	3ic (33)	(6) ^b				
14	1i ^c	2c	3ic (39)	(7) ^b				
15	1j	2b	3jb (52)	(5) ^b				
16	1k	2b	3kb (31)	(14) ^b				
17	11	2b	3lb (17)					

^a: isolated yield, ^b: GC yield, ^c: Rh 5%

As for the reaction mechanism, transmetalation of arylboronic acid with the Rh catalyst is probably followed by insertion of CO into the arylrhodium bond (Figure 4.3). The resultant aroylrhodium (**B**) undergoes 1,2-addition to the alkyne in a *syn* fashion to give a vinylrhodium species (**C**), which then should undergo protodemetalation to afford the *E*-enone. Nevertheless, one may consider that exchange of Rh on alkenyl carbon and aryl hydrogen at the *ortho*-position with respect to the carbonyl functionality (**D**) and subsequent protodemetalation of aryl-attached Rh could be an alternative route to the end product in analogy to the Rh-catalyzed hydroarylation of alkynes with arylboronic acids (1,4-shift) as shown by Hayashi et al. We eliminated this route by considering the result of the similar reaction of **1b** with D₅-PhB(OH)₂ under a CO atmosphere, where indeed no contribution of the proton on the carbonyl tethered phenyl ring or deuterium on the β -unsaturated carbon of the related enone product was detected.



Figure 4.3. General reaction mechanism for the enone synthesis

A question yet remained as to how the mixture of *E*- and *Z*-isomers are formed with diphenyl acetylene. An experiment using the pure *E*-isomer of **3ab** under the general reaction protocol, but in the absence of arylboronic acid brought about an isomerization to the *Z*-configuration in about 25% yield. Although this result shows that *E*-enones formed from diphenyl acetylene can isomerize partly during the course of the carbonylative arylation reactions, it can not account for the *Z*:*E* ratios given in Table 1. Probably the intermediate **C** can also undergo isomerization which is facilitated by the extended conjugation when the alkenyl carbons are substituted with two aryl groups.



CHAPTER 5

CONCLUSION

In this thesis study, rhodium-catalyzed carbonylative additions of phenylboronic acids to various alkynes were investigated.

Electronic nature of the arylboronic acids is responsible for the enone formation. Electron rich arylboronic acids resulted in good yields.

Reactions were regioselective for alkynes that had been activated with an ester functionality. The aroyl group was introduced selectively at the β -position with respect to the electron-withdrawing group. And also an internal alkyne which have only one aryl substituent or none, gave the corresponding enone stereospesifically, only the *E*-isomer of the enone is formed.

In summary, the methodology established in this study demonstrates, an atom economical, relatively mild, and simple way to synthesize α , β -unsaturated ketones selectively.

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100%

75%

E-3aa









Figure A.4. Mass spectrum of (*E*)-2,3-diphenyl-1-*p*-tolylprop-2-en-1-one







Figure A.6. Mass spectrum of (*E*)-2,3-diphenyl-1-*m*-tolylprop-2-en-1-one









Figure A.8. Mass spectrum of (Z)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one



Figure A.9. Mass spectrum of (E)-1-phenyl-2-propylhex-2-en-1-one



Figure A.10. Mass spectrum of (*E*)-1-(4-methoxyphenyl)-2-propylhex-2-en-1-one



Figure A.11. Mass spectrum of (*E*)-2-propyl-1-p-tolylhex-2-en-1-one







Figure A.13. Mass spectrum of (*E*)-methyl 3-(4-methylphenyl)hept-2-enoate







Figure A.15. Mass spectrum of (*E*)-2-methyl-1,3-diphenylprop-2-en-1-one



Figure A.16. Mass spectrum of (*E*)-2-methyl-3-phenyl-1-p-tolylprop-2-en-1-one


Figure A.17. Mass spectrum of (*E*)-2-benzylidene-1-(4-methoxyphenyl)pentan-1-one



Figure A.18. Mass spectrum of (*E*)-1-(4-methoxyphenyl)-2-phenylhex-2-en-1-one



Figure A.19. Mass spectrum of (*E*)-2-(4-methoxybenzylidene)-1-p-tolylhexan-1-one



Figure A.20. Mass spectrum of (*E*)-2-(2-methoxybenzylidene)-1-p-tolylhexan-1-one



Figure A.21. Mass spectrum of (*E*)-1-(4-methoxyphenyl)-2-((thiophen-3-yl)methylene)hexan-1-one



Figure A.22. Mass spectrum of (*E*)-1-(4-methoxyphenyl)-2-((pyridin-2-yl)methylene)hexan-1-one



Figure A.23. Mass spectrum of (*E*)-ethyl 4-(4-methoxyphenyl)-4-oxo-3-phenylbut-2-enoate











APPENDIX B

Figure B.2. FTIR spectrum of (*Z*)-1,2,3-triphenylprop-2-en-1-one



Figure B.3. FTIR spectrum of (*E*)-1-(4-methoxyphenyl)-2,3-diphenylprop-2-en-1-one



Figure B.4. FTIR spectrum of (*Z*)-1-(4-methoxyphenyl)-2,3-diphenylprop-2-en-1-one



Figure B.5. FTIR spectrum of (*E*)-2,3-diphenyl-1-*p*-tolylprop-2-en-1-one











Figure B.8. FTIR spectrum of (*Z*)-2,3-diphenyl-1-*m*-tolylprop-2-en-1-one



Figure B.9. FTIR spectrum of (*E*)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one



Figure B.10. FTIR spectrum of (*Z*)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one



Figure B.11. FTIR spectrum of (*E*)-1-phenyl-2-propylhex-2-en-1-one



Figure B.12. FTIR spectrum of (*E*)-1-(4-methoxyphenyl)-2-propylhex-2-en-1-one



Figure B.13. FTIR spectrum of (*E*)-2-propyl-1-p-tolylhex-2-en-1-one







Figure B.15. FTIR spectrum of (*E*)-methyl 3-(4-methylphenyl)hept-2-enoate



Figure B.16. FTIR spectrum of (*E*)-methyl 4-(4-methoxyphenyl)-3-methyl-4-oxobut-2-enoate



Figure B.17. FTIR spectrum of (*E*)-2-methyl-1,3-diphenylprop-2-en-1-one



Figure B.18. FTIR spectrum of (*E*)-1-(4-methoxyphenyl)-2-methyl-3-phenylprop-2-en-1-one



Figure B.19. FTIR spectrum of (*E*)-2-methyl-3-phenyl-1-p-tolylprop-2-en-1-one



Figure B.20. FTIR spectrum of (*E*)-2-benzylidene-1-(4-methoxyphenyl)pentan-1-one



Figure B.21. FTIR spectrum of 3-(4-methoxyphenyl)-4,5-diphenylfuran-2(5H)-one



Figure B.22. FTIR spectrum of (*E*)-2-(4-methoxybenzylidene)-1-p-tolylhexan-1-one



Figure B.23. FTIR spectrum of (*E*)-2-(2-methoxybenzylidene)-1-p-tolylhexan-1-one







Figure B.25. FTIR spectrum of (*E*)-1-(4-methoxyphenyl)-2-((thiophen-3-yl)methylene)hexan-1-one












APPENDIX C

VARIAN

MK-KK2F3568 20 KASIM 2007 Sample Name: MK--KK2F3568-20KASIM2007 Archive directory:

Sample directory:

FidFile: Proton

Pulse Sequence: Proton (s2pul) Solvent: cdcl3 Data collected on: Nov 20 2007

Temp. 25.0 C / 298.1 K Operator: walkupl VNMRS-400 "xmr400" Relax. delay 1.000 sec Pulse 45.0 degrees Acq. time 2.049 sec Width 6410.3 Hz Sepetitions OBSERVE H1, 399.5219926 WHz DRFR PROCESSING Line broadening 0.2 Hz FT size 65526 FT size 65526 Total time 0 min 24 sec



Figure C.1. ¹H NMR of (E)-1,2,3-triphenylprop-2-en-1-one



VARIAN



Solvent: cdcl3









VARIAN

Sample Name: KK2K3 12 11 2007 Archive directory:

Sample directory:

FidFile: Proton

Fulse Sequence: Proton (s2pul) Solvent: cdcl3 Data collected on: Mov 12 2007

Temp. 23.0 C / 296.1 K Operator: welkup1 VMMRS-400 "imr400" Relax. delay 1.000 sec Pulse 45.0 degrees Acq. time 2.049 sec Width 6410.3 Hz 9 repetitions OSERVE H1, 399.5220000 MHz DATA PROESSING DATA PROESSING DATA PROESSING Taize 65536 Total time 0 min 24 sec



Figure C.3. ¹H NMR of (Z)-1,2,3-triphenylprop-2-en-1-one







Tamp. 25.0 C / 298.1 K

Archive directory: Sample directory:

Sample Name:

MK-KK3K80100 23 kasim 2007

FidFile: Proton

VNMRS-400 "IMIT400"

Operator: walkup1

Relax. delay 1.000 sec

Pulse 45.0 degrees Acq. time 2.049 sec

Width 6410.3 Hz

Line broadening 0.2 Bz Total time 0 min 24 sec

FT size 65536

DATA PROCESSING 8 repetitions













Figure C.8. 13 C NMR of (*E*)-1-(4-methoxyphenyl)-2,3-diphenylprop-2-en-1-one



Data collected on: Jan 21 2008

Solvent: oddl3

Fidrile: Proton Minsw

Temp. 25.0 C / 298.1 K Operator: walkup1 VNMRS-400 "rmr400"

Relax. delay 1.000 sec

Acq. time 2.049 sec Width 4166.7 Bz Fulse 45.0 degrees

MK--XK55172--210CAK2008

Sample Name:

MK-KK55172 1. IZOMER 21 OCAK 2008

Archive directory: Sample directory: OBSERVE H1, 399.5219969 MHz

FT size 65536 Fotal time 0 min 24 sec

Line broadening 0.2 Hz

DATA PROCESSING 8 repetitions

Figure C.9. ¹H NMR of (Z)-2,3-diphenyl-1-*p*-tolylprop-2-en-1-one



Pulse 45.0 degrees

Operator: walkupl VNMRS-400 "nenr400"

Archive directory:

 IZOMER
ZI OCAK 2008

Sample directory: Fidfile: Carbon

Solvent: odc13

WALT2-16 modulated

DATA PROCESSING

FT Size 65536



MK-KK5-100 2. I20MER 22 OCAN 2008

VARIAN

0

Sample Name: MK-KK5-86100-220CAK2008 Archive directory:

Sample directory:

FidFile: Proton Minsw

Fulse Sequence: Froton_Minsw (s2pul) Solvent: cdc13 Data collected on: Jan 22 2008

Temp. 25.0 C / 298.1 K Operator: walkup1 VNMRS-400 "rmr400" Relax, delay 1.000 sec Pulse 45.0 degrees Acq. time 2.049 sec width 4134.6 Hz B repetitions OBSERVE H1, 399.5219922 WHz DAHA PROCESSING DAHA P



Figure C.11. ¹H NMR of (E)-2,3-diphenyl-1-*p*-tolylprop-2-en-1-one



























Figure C.18. 13 C NMR of (*E*)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one





Figure C.20. 13 C NMR of (Z)-1-(4-chlorophenyl)-2,3-diphenylprop-2-en-1-one











MELLH-KK21 SAF



946.51

141.41-

-55'125 -55'312 -55'312

949.08



udd

20

07

09

80

100

120

140

160

180

200

220







Figure C.26. 13 C NMR of (*E*)-2-propyl-1-p-tolylhex-2-en-1-one



a lqxa	ROTON			
U)	AMPLE	PRESS	VIURATION	
date	Feb 16 2008	satmode	8	
solvent	cdc13	Wet	E	
file /b	ome/walkup1~	25	PECIAL	<u></u> Е-3с
/vranzsy	s/data/arro~	temp	25.0	
K GROUP	/MELLH/MK-K~	gain	not used	
KI TSAF	16Feb2008/P~	ards	20	
24	OTON 01. Fid	hst	0.008	
ACQU	NOILISI	06Md	9.100	
准价	6410.3	21.58	10.000	
at	2.556	k t	TLAGS	
đa	32768	11	q	
a	0009	ur.	g	
2s	32	dip	A	
d1	1.000	hs	uu	
nt	85	Jud	XESSING	0
t	8	£D	not used	22
TRAN	YXIIINS	CQ	(SPLAY	
tra	IH	as	804,4	
82.20	399.524	dre	6410.3	
tof	339.1	rfl	804.4	
tpwr	61	ttp	0	
MC ²	4.550	Q.Y	13.9	
DHO	NOUPLER.	Q.	0	
din	CI3		TOIG	
dof	0	3MC	265	
din	uaa	0.00	0	
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Figure C.27. ¹H NMR of (E)-methyl 3-(4-methoxyphenyl)hept-2-enoate

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Figure C.29. ¹H NMR of (E)-methyl 3-(4-methylphenyl)hept-2-enoate



Figure C.30. 13 C NMR of (*E*)-methyl 3-(4-methylphenyl)hept-2-enoate



Figure C.31. ¹H NMR of (E)-methyl 4-(4-methoxyphenyl)-3-methyl-4-oxobut-2-enoate

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Figure C.32. 13 C NMR of (*E*)-methyl 4-(4-methoxyphenyl)-3-methyl-4-oxobut-2-enoate



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Figure C.35. ¹H NMR of (E)-1-(4-methoxyphenyl)-2-methyl-3-phenylprop-2-en-1-one






Figure C.37. ¹H NMR of (E)-2-methyl-3-phenyl-1-p-tolylprop-2-en-1-one



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Figure C.42.  13 C NMR of (*E*)-1-(4-methoxyphenyl)-2-phenylhex-2-en-1-one





















Figure C.49. ¹H NMR of (E)-1-(4-methoxyphenyl)-2-((pyridin-2-yl)methylene)hexan-1-one



Figure C.50.  13 C NMR of (*E*)-1-(4-methoxyphenyl)-2-((pyridin-2-yl)methylene)hexan-1-one







Figure C.52.  13 C NMR of (*E*)-ethyl 4-(4-methoxyphenyl)-4-oxo-3-phenylbut-2-enoate



Figure C.53. ¹H NMR of 3-tert-butyl-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one



Figure C.54. ¹³C NMR of 3-tert-butyl-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one



Figure C.55. ¹H NMR of 3-tert-butyl-5-hydroxy-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one



Figure C.56. ¹³C NMR of 3-tert-butyl-5-hydroxy-5-(4-methoxyphenyl)-4-methylfuran-2(5H)-one





Figure D.2. ¹³C NMR of 2-(hex-1-ynyl)thiophene



Figure D.3. ¹H NMR of 2-(hex-1-ynyl)pyridine



Figure D.4. ¹³C NMR of 2-(hex-1-ynyl)pyridine







Figure D.6. ¹³C NMR of 1-(hex-1-ynyl)-2-methoxybenzene





Figure D.8. ¹³C NMR of 1-(hex-1-ynyl)-4-methoxybenzene

## **APPENDIX D**



Figure D.9. Mass spectrum of 1-(hex-1-ynyl)-4-methoxybenzene



Figure D.10. Mass spectrum of 2-(hex-1-ynyl)pyridine



